

THURSDAY, MAY 16, 2019

FINANCIAL EXPRESS

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BSE
EXPERIENCE THE NEW

BSE LIMITED

Registered office: 25th Floor, P J Towers, Dalal Street, Mumbai – 400001.
Tel.: +91 (022) 2272 1233/34 • CIN: L67120MH2005PLC155188

PUBLIC NOTICE

**Inviting claims against GUINNESS SECURITIES LIMITED
declared as defaulter as well as expelled**

This is to inform that GUINNESS SECURITIES LIMITED (Clg. No.3027), a corporate Trading Member of the Exchange has been declared as defaulter and also expelled w.e.f. May 8, 2019 pursuant to declaration of GUINNESS SECURITIES LIMITED as defaulter as well as expulsion by NSE vide its circular no. NSE.: 34/2019 dated May 7, 2019.

Investors having any outstanding claims against GUINNESS SECURITIES LIMITED are advised to file their claims with the Exchange, if they so desire, within 90 days from the date of issue of this notice, as provided under SEBI Circular No. MRD/DoP/SE/Cir-38/2004 dated October 28, 2004, circular no. MRD/DP/06/2011 dated June 16, 2011, circular no. SEBI/HO/DMS/CIR/P/2017/15 dated February 23, 2017 and any other modifications/circular as may be issue by SEBI in this regard, from time to time.

Where the deposits of the GUINNESS SECURITIES LIMITED available with the Exchange are inadequate, the eligible claims of investors will be considered for compensation from Investor Protection Fund (IPF) to the maximum extent of Rs. 15 Lac per client, provided the eligible claims are filed within the aforesaid specified period, as per the provision of SEBI Circular no. CIR/MRD/DP/28/2014 dated September 29, 2014. Further eligible claims filed by investors after the specified period are required to provide reasons for delay in filing the claim and should satisfy the IPF that the claim could not have been filed during the specified period for the reasons beyond the control of the claimant.

Investors are hereby advised to go through the norms for eligibility of claims for compensation from IPF to the clients of the defaulter Member which are available on Exchange website at the following link: <https://www.bseindia.com/downloads1/Normseligibilityclaimsdefaultermember.pdf>.

The investors can file their claim against Guinness Securities Ltd.

- a) at the concerned regional Investor Centre of BSE Ltd. or through email on the email ids indicated on Exchange's website at the link - <https://www.bseindia.com/static/investors/services.aspx> or
- b) through Exchange's website under e-Complaint Registration at the link - <https://bsecrs.bseindia.com/ecomplaint/frminvestorHome.aspx>

For BSE Limited
Sd/-

Chief General Manager
Dept. of Investors Services

Place : Mumbai
Date : 16th May, 2019