

MARCH 10, 2018

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FINANCIAL EXPRESS



**BSE LIMITED**

Registered Off: 25<sup>th</sup> Floor, P J Towers, Dalal Street, Mumbai - 400001  
Tel: +91 (022) 2272 1233 / 34 CIN: L67120MH2005PLC155188

**PUBLIC NOTICE**

**Inviting claims against FICUS SECURITIES PRIVATE LIMITED  
declared as defaulter as well as expelled**

This is to inform you that pursuant to declaration of FICUS SECURITIES PRIVATE LIMITED as defaulter and expulsion by NSE vide its circular no. NSE 16/2018 dated March 5, 2018, the Exchange has declared FICUS SECURITIES PRIVATE LIMITED (Cig. No.6274), a corporate Trading Member of the Exchange as defaulter, in terms of the provisions of SEBI Circular No. SEBI/MIRSD/Master Cir-04/2010 dated March 17, 2010 and also expelled the said Trading Member w.e.f. March 6, 2018 in compliance with the requirement of Circular No. F.No.1/26/SE/91 dated August 12, 1991 issued by Ministry of Finance (Department of Economic Affairs), Government of India.

Investors having any outstanding claims against FICUS SECURITIES PRIVATE LIMITED are advised to file their claims with the Exchange, if they so desire, within 90 days from the date of issue of this notice, as provided under SEBI Circular No. MRD/DoP/SE/Cir-38/2004 dated October 28, 2004, circular no. MRD/DP/06/2011 dated June 16, 2011, circular no. SEBI/HO/DMS/CIR/P/2017/15 dated February 23, 2017 and any other modifications/circular as may be issue by SEBI in this regard, from time to time.

The eligible claims which cannot be satisfied from the deposits of FICUS SECURITIES PRIVATE LIMITED available with the Exchange, will be considered for compensation from Investor Protection Fund (IPF) to the maximum extent of Rs. 15 Lac per client, provided the eligible claims are filed before the aforesaid specified period, as per the provision of SEBI Circular no. CIR/MRD/DP/28/2014 dated September 29, 2014. Further eligible claims filed by investors after the specified date are required to provide reasons for delay in filing the claim and should satisfy the IPF that the claim could not have been filed during the specified period for the reasons beyond the control of the claimant.

The investors can file their claims against FICUS SECURITIES PRIVATE LIMITED at the concerned regional Investor Centre of BSE, the list of which is available on [www.bseindia.com](http://www.bseindia.com) at following link:-

[https://www.bseindia.com/investors/cac\\_tm.aspx?expandable=2](https://www.bseindia.com/investors/cac_tm.aspx?expandable=2)

Place: Mumbai  
Date: 10<sup>th</sup> March, 2018

For BSE Limited  
Sd/-  
Sr. General Manager  
Dept. of Investor Services

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