



BUSINESS STANDARD
9/01/2016

BSE Limited
23rd Floor, P. J. Towers, Dalal Street, Mumbai - 400 001

PUBLIC NOTICE

Inviting claims against Dreams Broking Pvt. Ltd., a defaulter member

This is to inform that Dreams Broking Pvt. Ltd. (Ctg No 6501), a corporate Trading Member of the Exchange having SEBI registration No INB011458438, has been declared as defaulter, w.e.f. 31st December, 2015 under Bye-law 316 read with Bye-law 317 of Rules, Bye-laws and Regulations of Exchange for non-implementation of claim values decided in IGRC against Dreams Broking Pvt. Ltd.

Investors who have any outstanding claims against Dreams Broking Pvt. Ltd., are advised to file arbitration reference/s with the Exchange, if they so desire, within 120 days from the date of issue of Exchange notice dated 31st December, 2015, as provided under SEBI circular No. MRD/DoP/SE/Cir-38/2004 dated October 28, 2004 and circular No. MRD/DP/06/2011 dated June 16, 2011 and any other modifications/circulars as may be issued by SEBI in this regard, from time to time.

Such clients would be considered for payment from IPF as per provisions of the Trust deed and Rules of IPF and in compliance with provisions of SEBI circular No. MRD/DoP/SE/Cir-38/2004 dated October 28, 2004 and CIR/MRD/DP/28/2014 dated September 29, 2014.

The maximum compensation limit per investor, if payable out of Investor Protection Fund under the Rules, Bye-laws and Regulations of the Exchange is Rs. 15 lacs.

The investors can file their claims against trading member Dreams Broking Pvt. Ltd. at concerned regional Investor Centre of BSE, the list of which is available on besindia.com.

Place : Mumbai
Date : 31st December, 2015

For BSE Limited
Sd/-
Sr. General Manager
Dept. of Investor Services