

BSE LIMITED

Registered office: 25th Floor, P J Towers, Dalal Street, Mumbai - 400001
Tel.: +91 (022) 2272-1233 / 34 • CIN: L67120MH2005PLC155188



PUBLIC NOTICE

Inviting claims against C. M. GOENKA STOCK BROKERS PRIVATE LIMITED
declared as defaulter as well as expelled

This is to inform that pursuant to declaration of C.M.GOENKA STOCK BROKERS PRIVATE LIMITED as defaulter as well as its expulsion by NSE vide its circular no. 06/2022 dated February 15, 2022 w.e.f. February 15, 2022, the Exchange has declared C.M.GOENKA STOCK BROKERS PRIVATE LIMITED (Ctg. No. 6607), a corporate trading member, as defaulter, in terms of the provisions of SEBI Circular No. SEBI/MIRSD/Master Cir-04/2010 dated March 17, 2010 and also expelled the said trading Member in compliance with the requirement of Circular No. F. No. 1/26/SE/91 dated August 12, 1991 issued by Ministry of Finance (Department of Economic Affairs), Government of India, w.e.f. February 15, 2022.

Investors having any outstanding claims against C.M.GOENKA STOCK BROKERS PRIVATE LIMITED are advised to file their claims with the Exchange, if they so desire, within 90 days from the date of issue of this notice ("specified period"), as provided under SEBI Circular No. MRD/DoP/SE/Cir-38/2004 dated October 28, 2004, circular No. MRD/DP/06/2011 dated June 16, 2011, circular no. SEBI/HO/DMS/CIR/P/2017/15 dated February 23, 2017 and any other modifications/circulars as may be issued by SEBI in this regard, from time to time.

The investors can file their claim against C.M.GOENKA STOCK BROKERS PRIVATE LIMITED at the concerned regional Investor Centre of BSE Ltd., the list of which is available on Exchange's website at the following link:
https://www.bseindia.com/static/Investors/Claim_against_Defaulter.aspx

The investors can also lodge their claims through Exchange's website under e-Complaint Registration, a link for which is given below:

<https://bseclrs.bseindia.com/eocomplaint/frminvestorHome.aspx>

The investors can also submit their claims along with documents on email id:

Claims.defaulter@bseindia.com

The investors are hereby advised to go through the below mentioned Exchange website link for documentation requirement for filing their claim against defaulter, norms for eligibility of claims for recommending for compensation from IPF to the clients of the Defaulter Member, FAQs on processing of investors claims against defaulter members:

https://www.bseindia.com/static/Investors/Claim_against_Defaulter.aspx

The eligible claims filed before the end of aforesaid specified period would be considered for compensation from the Investor Protection Fund (IPF), as per the provision of SEBI circular no. CIR/MRD/DP/28/2014 dated September 29, 2014 to the maximum extent of Rs.15 Lacs per client. Further, investors filing their claims after the specified period are required to provide reasons for delay in filing the claim and should satisfy the IPF that the claim could not have been filed before the end of specified period for the reasons beyond the control of the claimant.

Place: Mumbai
Date: February 18, 2022

For BSE Limited
Sd/-
General Manager
Dept. of Investors Services