

# BSE LIMITED



Registered office: 25<sup>th</sup> Floor, P J Towers, Dalal Street, Mumbai – 400001  
Tel.: +91 (022) 2272 1233 / 34 • CIN: L67120MH2005PLC155188

## PUBLIC NOTICE

### Inviting claims against ACTION FINANCIAL SERVICES (INDIA) LIMITED declared as defaulter as well as expelled

This is to inform that pursuant to declaration of ACTION FINANCIAL SERVICES (INDIA) LIMITED as defaulter as well as its expulsion by NSE vide its circular no. 12/2021 dated January 22, 2021 w.e.f. January 22, 2021, the Exchange has declared ACTION FINANCIAL SERVICES (INDIA) LIMITED (Clg. No. 444) a corporate trading member as defaulter, in terms of the provisions of SEBI Circular No. SEBI/MIRSD/Master Cir-04/2010 dated March 17, 2010 and also expelled the said Trading Member in compliance with the requirement of Circular No. F. No. 1/26/SE/91 dated August 12, 1991 issued by Ministry of Finance (Department of Economic Affairs), Government of India, w.e.f. January 22, 2021.

Investors having any outstanding claims against ACTION FINANCIAL SERVICES (INDIA) LIMITED are advised to file their claims with the Exchange, if they so desire, within 90 days from the date of issue of this notice ("specified period"), as provided under SEBI Circular No. MRD/DoP/SE/Cir-38/2004 dated October 28, 2004, circular no. MRD/DP/06/2011 dated June 16, 2011, circular no. SEBI/HO/DMS/CIR/P/2017/15 dated February 23, 2017 and any other modifications/circulars as may be issued by SEBI in this regard, from time to time.

The investors can file their claim against ACTION FINANCIAL SERVICES (INDIA) LIMITED at the concerned regional Investor Centre of BSE Ltd., the list of which is available on Exchange's website at the following link:  
[https://www.bseindia.com/static/investors/cac\\_tm.aspx](https://www.bseindia.com/static/investors/cac_tm.aspx)

The investors can also lodge their claims through Exchange's website under e-Complaint Registration, a link for which is given below:  
<https://bsecrec.bseindia.com/eocomplaint/frmlInvestorHome.aspx>

The Investors can also submit their claims alongwith documents on email id: [Claims.defaulter@bseindia.com](mailto:Claims.defaulter@bseindia.com)

The investors are hereby advised to go through the documentation requirement for filing their claim against defaulter, which is available on the Exchange website at following link:  
[https://www.bseindia.com/downloads1/Lodging\\_claim\\_against\\_Defaulter\\_Expelled\\_Member\\_Checklist.pdf](https://www.bseindia.com/downloads1/Lodging_claim_against_Defaulter_Expelled_Member_Checklist.pdf)

Further, the investors are hereby advised to go through the norms for eligibility of claims for recommending for compensation from IPF to the clients of the Defaulter Member which are available on Exchange website at the following link:  
<https://www.bseindia.com/downloads1/Normseligibilityclaimsdefaultermember.pdf>

FAQs on processing of investors claims against defaulter members are available on Exchange website at the following link:  
<https://www.bseindia.com/downloads1/FAQonprocessingofinvestorsclaimsagainstDefaultermembers.pdf>

The eligible claims filed before the end of aforesaid specified period would be considered for compensation from the Investor Protection Fund (IPF), as per the provision of SEBI circular no. CIR/MRD/DP/28/2014 dated September 29, 2014 to the maximum extent of Rs.15 Lacs per client. Further, investors filing their claims after the specified period are required to provide reasons for delay in filing the claim and should satisfy the IPF that the claim could not have been filed before the end of specified period for the reasons beyond the control of the claimant.

For BSE Limited  
Sd/-  
General Manager  
Dept. of Investors Services

Place: Mumbai  
Date : January 30, 2021