## **STEPS TO FILE STATEMENT OF INVESTOR COMPLAINT DETAILS**

- Login to Listing Centre website i.e. listing.bseindia.com
- Click on Corporate Announcement Compliances Reg. 13(3) Statement of Investor Complaints
- Click on Submit Statement of Investor Complaints

The following page will appear:-

→ C ③ test.bseindia.com/Li	isting/Reg13.aspx	C = Welcome LISTING = ×					<u>م</u> با
Compl   Inves Interna	liance Module   Listing I stor Complaints   XBRL ational Convention Hall	Module   Change Password   Corporate   GST Preparedness   Sustainability Ol   Reg13(3)Statement	Announcement <sup>eav</sup>   L ficer Details   CGS To	isting Fee Bills ( ol ( Company R	Market Intelligence egistration 🐜 🛛   User M	Circulars <sup>vew</sup>  GST <sup>New</sup>  BSE's Manual Help Desk	
de w.e.t	f. August 10, 2017	OTP is required for submission of filing Compliance Module Companies are advised to upload all B under the category 'Corporate Action' of Submit stateme	, kindly select 'Contact ook Closure & Record of Corporate Announce nt of Investor Complaints	For OTP' for filings Date related intim ment (CAFS) sepa	under ations irately		
S	Statement of Investor C	complaints					
	Reporting Quarter*			Select	•		
1	No. of Investor complaints p	ending at the beginning of the quarter*					
	No. of Investor complaints re	eceived during the quarter*					
1	No. of Investor complaints d	isposed of during the quarter*					
1	No. of Investor complaints u	nresolved at the end of the quarter*					
4	Add Notes				li.		
s	Signatory Details						
	Name of the Signatory*						
1	Designation*			Select		Ŧ	
1	DIN						
1	Membership Number						
. (	Contact For OTP			Select	•		
_		Sub	mit Back				
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							6:23 P

- Fill in all the requisite details and click on submit button
- After clicking the submit button, corporate announcement would be generated and would be made visible in Corporate Announcement page of your company

## Example:-

11 Dec 2017				
Ended September 2017	Stateme	nt Of Investor Complaints For The	Quarter Company Update	KC10
Exchange Received Time 11/12/2017	11.22.24 Exchange Disse	minated Time 11/12/2017 11 22 30	Time Taken	00 00 06
No.of Investor complaints pending at the beginning of the quarter	No.of Investor complaints received during the quarter	No.of Investor complaints disposed of during the quarter	No.of Investor cor unresolved at the e quarter	nplaints nd of the
5	5	5	5	
Name of the Signatory Designation - Authorised Si	gnatory			

 Details and acknowledgement of submission can be viewed on the same page i.e. Corporate Announcement - Compliances – Reg. 13(3) – Statement of Investor Complaints

	Submit statement of Investor Complaints								
	Name of the Signatory	Quarter	Submission Date	Status	Acknowledgement				
	RAG	September 2017	26-02-2018	Submitted	Download	Edit	View		
ſ	ABCD	March 2017	22-03-2018	Submitted	Download	Edit	View		
	RTY	September 2017	27-03-2018	Submitted	Download	Edit	View		

- In order to file revised Investor Grievance Statement, click on Edit button for the required quarter row, make the necessary changes and click on submit button
- On revised submission, corporate announcement would be re-generated and word "**REVISED**" would be visible in the Corporate Announcement generated

Example:-

Discharmen				
11 Dec 2917				
Quarter Ended September 2017 (Revis	sed)	ement Of Investor Complaints Fo	r The Company Update	8330
Exchange Received Time 11/12/2017	12.02.19 Exchange Disse	minated Time 11/12/2017 12:02:24	Time Taken	00:00:05
No.of Investor complaints pending at the beginning of the quarter	No.of Investor complaints received during the quarter	No.of Investor complaints disposed of during the quarter	No.of Investor complaint unresolved at the end of t guarter	
8	5	5	8	
Name of the Signatory - Authorised Se	gnatory			

• Once Investor Grievance Statement is submitted thru listing centre-web form, no PDF file is required to be submitted for the same purpose