

Format of Holding of Specified securities

1. Name of Listed Entity: KOTAK MAHINDRA BANK LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 500247, KOTAKBANK, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
 - a. if under 31(1)(b) then indicate the report for quarter ending 26/05/2020
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?		No
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+G+C2))	Number of Voting Rights Securities	No of Voting Rights			Total as a % of (A+G+C)	No of Shares Underlying convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	Class X	Class Y	Total	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)
(A) Promoter & Promoter Group	8	572464964	0	0	0	572464964	29.91	572464964	0	572464964	29.91	0	29.91	0	0	572464964
(B) Public	407444	1341339413	0	0	0	1341339413	70.09	1341339413	0	1341339413	70.09	0	70.09	0	0	1341339413
(C) Non Promoter-Non Public	0	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	NA	0
(C1) Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0
(C2) Shares held by Employees Trusts	0	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0
Total:	407452	1913804377	0	0	0	1913804377	100.00	1913804377	0	1913804377	100.00	0	100.00	0	0	1908494415

Note:

Voting rights of the promoters of the Bank are capped to 15% of paid-up voting equity share capital of the Bank from 1st April 2020.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V1+V2)	Shareholding as a % of total No of Shares (V+V1+V2) as a % of 1997 (VII) A as a % of (A+B+C2)	No of Voting Rights Class X Class Y Total	Total as a % of (A+B+C2)	No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % of (V1+V2) as a % of diluted share capital (A+B+C2)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
1.			(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)
(1)	Indians														
(a)	Individuals/Individuals related Family		7	57184608	0	0	57184608	29.88	0	29.88	0	29.88	0	0	57184608
(b)	UDAY SURESH KOTAK	AASPK6899F	1	566977100	0	0	566977100	29.62	0	29.62	0	29.62	0	0	566977100
	SURESH A KOTAK (HUF)	AAARS5568B	1	110000	0	0	110000	0.01	0	0.01	0	0.01	0	0	110000
	PALLAB KOTAK	AASPK623BP	1	1111580	0	0	1111580	0.05	0	0.05	0	0.05	0	0	1111580
	SURESH AMITJIT KOTAK	AABPK652AA	1	200000	0	0	200000	0.01	0	0.01	0	0.01	0	0	200000
	INDIRA SURESH KOTAK	AABPK675AA	1	2300000	0	0	2300000	0.12	0	0.12	0	0.12	0	0	2300000
	JANAK CHINMARLA DESAI	ACUPP968SR	1	1134384	0	0	1134384	0.05	0	0.05	0	0.05	0	0	1134384
(c)	PARTY REAL CHANDAN	ACUPC2713N	0	57180	0	0	57180	0.00	0	0.00	0	0.00	0	0	57180
(d)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(e)	Any Other		1	624556	0	0	624556	0.03	0	0.03	0	0.03	0	0	624556
	KOTAK TRUSTEE COMPANY PVT. LTD (NFIICAL	AAATU4495F	1	624556	0	0	624556	0.03	0	0.03	0	0.03	0	0	624556
	Sub-Total (A12)		8	572464964	0	0	572464964	29.91	0	29.91	0	29.91	0	0	572464964
(f)	Foreign		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(g)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(h)	Government		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(i)	Institutions		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(j)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0
(k)	Any other		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0
	Sub-Total (A12)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0
	Total Shareholding of Promoter and Promoter Group (A12)+(A13)		8	572464964	0	0	572464964	29.91	0	29.91	0	29.91	0	0	572464964

Note:
Voting rights of the promoters of the Bank are capped to 15% of paid-up voting equity share capital of the Bank from 1st April 2020.

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholder	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities	Total as a % of (A+B+C)	No of Shares Underlying convertible securities (including)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
			(II)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	(IX)	(X)	(XI)	(XII)	(XIII)
(1)	Investors														
(2)	Mutual Funds		37	168803552	0	0	168803552	8.72	168803552	0	168803552	8.72	0	0.00	NA
(3)	SEI MANULIA EQUITY FUND	AART56407Q	1	45794663	0	0	45794663	2.39	45794663	0	45794663	2.39	0	0.00	NA
(4)	AXIS MUTUAL FUND	AACT15025A	1	28209741	0	0	28209741	1.47	28209741	0	28209741	1.47	0	0.00	NA
(5)	Vanguard Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(6)	Allegiant Investment Fund		37	3717617	0	0	3717617	0.19	3717617	0	3717617	0.19	0	0.00	NA
(7)	Foreign Venture Capital Investors		6	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(8)	EUROPEAN PORTFOLIO INVESTORS		665	745517146	0	0	745517146	38.95	745517146	0	745517146	38.95	0	0.00	NA
(9)	EUROPEAN GROWTH FUND	AAAE0997R	1	95139478	0	0	95139478	4.97	95139478	0	95139478	4.97	0	0.00	NA
(10)	INVESTCO OPPENHEIMER DEVELOPING MARKETS FUND	AAAT0090N	1	56529857	0	0	56529857	2.95	56529857	0	56529857	2.95	0	0.00	NA
(11)	ARBCN1653H		1	36123905	0	0	36123905	1.89	36123905	0	36123905	1.89	0	0.00	NA
(12)	NEW WORLD FUND INC		1	36123905	0	0	36123905	1.89	36123905	0	36123905	1.89	0	0.00	NA
(13)	CAPITAL WORLD GROWTH AND INCOME FUND	AART0275SE	1	36412279	0	0	36412279	1.90	36412279	0	36412279	1.90	0	0.00	NA
(14)	CAISSE DE DEPOT ET PLACEMENT DU QUEBEC-FIRST STATE	AAQCC4411B	2	21898195	0	0	21898195	1.14	21898195	0	21898195	1.14	0	0.00	NA
(15)	Canada Pension Plan Investment Board	AAQCC4411B	2	21898195	0	0	21898195	1.14	21898195	0	21898195	1.14	0	0.00	NA
(16)	Financial Institutions/Banks		17	1340238	0	0	1340238	0.07	1340238	0	1340238	0.07	0	0.00	NA
(17)	Insurance Companies		24	70954590	0	0	70954590	3.71	70954590	0	70954590	3.71	0	0.00	NA
(18)	LIFE INSURANCE CORPORATION OF INDIA	AAAC0882H	1	48469166	0	0	48469166	2.53	48469166	0	48469166	2.53	0	0.00	NA
(19)	Prudential Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(20)	Any Other		802	98833147	0	0	98833147	51.44	98833147	0	98833147	51.44	0	0.00	NA
(21)	Sub Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(22)	Central Government/State Government/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(23)	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(24)	Non-Institutions		389702	87792041	0	0	87792041	4.84	87792041	0	87792041	4.84	0	0.00	NA
(25)	Individual Institutional holding nominal share capital up to Rs.2 lakhs		892	92664540	0	0	92664540	4.84	92664540	0	92664540	4.84	0	0.00	NA
(26)	Individual Institutional holding nominal share capital in excess of Rs. 2 lakhs		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(27)	NRFCs (Notified with RBI)		14	368881	0	0	368881	0.02	368881	0	368881	0.02	0	0.00	NA
(28)	Employees Provident Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(29)	Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(30)	Sub Total (B)(3)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA
(31)	FOREIGN BANK		1	32800000	0	0	32800000	1.71	32800000	0	32800000	1.71	0	0.00	NA
(32)	SHANTO MITSUI BANKING CORPORATION	AAACT8732P	1	32800000	0	0	32800000	1.71	32800000	0	32800000	1.71	0	0.00	NA
(33)	FOREIGN NATIONALS		2	1509	0	0	1509	0.00	1509	0	1509	0.00	0	0.00	NA
(34)	IEP F		1	3153345	0	0	3153345	0.16	3153345	0	3153345	0.16	0	0.00	NA
(35)	CLEARING MEMBERS		311	5364627	0	0	5364627	0.28	5364627	0	5364627	0.28	0	0.00	NA
(36)	FOREIGN CORPORATE BODIES		1	25966992	0	0	25966992	1.36	25966992	0	25966992	1.36	0	0.00	NA
(37)	CANADIAN INVESTMENT PTE LTD	AAACH9331D	1	25966992	0	0	25966992	1.36	25966992	0	25966992	1.36	0	0.00	NA
(38)	NON RESIDENT INDIANS		0756	4740751	0	0	4740751	0.25	4740751	0	4740751	0.25	0	0.00	NA
(39)	BODIES CORPORATES		3186	56937624	0	0	56937624	2.88	56937624	0	56937624	2.88	0	0.00	NA
(40)	OVERSEAS CORPORATE BODIES		1	8222960	0	0	8222960	0.43	8222960	0	8222960	0.43	0	0.00	NA
(41)	TRUSTS		67	19322034	0	0	19322034	1.01	19322034	0	19322034	1.01	0	0.00	NA
(42)	Qualified Institutional Buyer		13	11172541	0	0	11172541	0.58	11172541	0	11172541	0.58	0	0.00	NA
(43)	NON RESIDENT INDIAN NON-REPAYABLE		4233	3488421	0	0	3488421	0.18	3488421	0	3488421	0.18	0	0.00	NA
(44)	Sub Total (B)(4)		405643	95306766	0	0	95306766	18.45	95306766	0	95306766	18.45	0	0.00	NA
(45)	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)+(B)(4)		497444	134139413	0	0	134139413	70.09	134139413	0	134139413	70.09	0	0.00	NA

Note:

* Shareholding under 'Insurance companies' category reflects the shareholding of IRDA regulated insurance companies.

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Nil	Nil	Nil	Nil
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares
Nil	Nil