



# BAMPSL SECURITIES LIMITED



**21<sup>st</sup> ANNUAL REPORT 2015-2016**





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## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

### Board of Directors

Sh. Bhasham Kumar Gupta	Managing Director
Smt. Sheela Gupta	Director
Sh. Sandeep Kumar Khandelwal	Director
Sh. Vinubhai Prabhudas Patel	Director

### Company Secretary

Ms. Prerna Bajaj  
Company Secretary & Compliance Officer

### Statutory Auditors

M/s G.C. Agarwal & Associates  
Chartered Accountants  
240, Ghalib Apartments, Parwana Road, Pitampura, Delhi - 110034

### BANKERS

KARNATAKA BANK LTD.  
Savita Vihar, Delhi -110092

PUNJAB NATIONAL BANK  
Patel Nagar, New Delhi-110008

STATE BANK OF INDIA  
Jhandewalan, Rani Jhansi Road  
New Delhi-110055

### REGISTRAR & SHARE TRANSFER AGENTS

ALANKIT ASSIGNMENTS LTD.  
2E/21 Jhandewalan Extn.,  
New Delhi-110055

### REGISTERED OFFICE

100-A, Cycle Market, Jhandewalan Extension, New Delhi-110055  
CIN : L74899DL1995PLC065028, Tele No. - 23556436 Fax : 011-23617013  
Email Id : [bampslsecurities@yahoo.co.in](mailto:bampslsecurities@yahoo.co.in)  
Website : [www.bampslsecurities.co.in](http://www.bampslsecurities.co.in)



## DIRECTOR'S REPORT

To,

**The Members,**

Your Directors take pleasure in presenting the 21<sup>st</sup> Annual Report on the business and operations of the Company and the accounts for the Financial Year ended March 31, 2016.

**1. Financial Summary or Highlights/Performance of the Company (Standalone)**

The Board's Report shall be prepared based on the stand alone financial statements of the company.

Particulars	2015-2016	2014-2015
	(In rupees)	(In rupees)
Gross Income	463928866.62	83600349.57
Profit Before Interest and Depreciation	6429057.91	-2408942.99
Finance Charges	NIL	NIL
Gross Profit	6429057.91	2408942.99
Provision for Depreciation	467348.38	216439.20
Net Profit Before Tax	5961709.53	-2625382.19
Provision for Tax	Nil	4322332.90
Net Profit After Tax	4013938.73	1696950.71
Balance of Profit brought forward	Nil	NIL
Balance available for appropriation	Nil	NIL
Proposed Dividend on Equity Shares	Nil	NIL
Tax on proposed Dividend	Nil	NIL
Transfer to General Reserve	3211150.98	135760.57
Surplus carried to Balance Sheet	4013938.73	1696950.71

**2. Brief description of the Company's working during the year/State of Company's affair**

The Company continues to be engaged in the business of dealing and investing in shares and other securities along with financial services like spot financing, short term financial accommodation, long term financial accommodation, and in other similar financial sector. For F.Y. 2015-2016, Company's Revenue increased from Rs. 83600349.57 in the Previous Year to Rs. 463928866.62 in the Current Year. Despite the current market scenario, the company has maintained adequate margin of profit.

**3. Change in the nature of business, if any**

During the year under review the Company has not changed the nature of business.



#### 4. Dividend

On account of the urgent liquidity needs against the business expansion plans in hand, no dividend is recommended for the current year.

#### 5. Reserves

The Amount of Rs. 3211150.98 is transferred to General Reserve and Rs. 802787.75 is transferred to Statutory Reserve under section 451 C of RBI Act, 1934.

#### 6. Change of Name

During the year under review the Company has not changed the nature of business.

#### 7. Share Capital

As on 31<sup>st</sup> March, 2016, the issued, subscribed and paid up share capital of our Company stood at Rs. 29,59,57,915 comprising 29,59,57,915 Equity Shares of Rs. 1 each.

#### Issue of Equity Shares With Differential Rights

During the year under review, the Company has not issued shares with differential voting rights.

#### Issue of Sweat Equity Share

During the year under review, the Company has not granted sweat equity shares.

#### 8. Directors and Key Managerial Personnel

Mrs. Sheela Gupta Directors retire by rotation at the forthcoming Annual General Meeting and being eligible, offer herself for reappointment.

**[IMPORTANT: Ensure to provide the details of directors or key managerial personnel who were appointed or have resigned during the year.]**

#### 9. Particulars of Employees

The Company during the period under review did not have any person on its rolls with an annual remuneration of Rs.1,02,00,000 or above or employed part of the year with a remuneration of Rs. 8,50,000 or above per month or employed throughout the year or part thereof, with a remuneration in that year which, in the aggregate, or as the case may be, at a rate which, in the aggregate, is in excess of that drawn by the managing director or whole time director or manger and holds by himself or along with his spouse and dependent children, not less than two percent of the equity shares of the company.



## **10. Meetings**

A calendar of Meetings is prepared and circulated in advance to the Directors. During the year eight Board Meetings and four Audit Committee Meetings, two Nomination & Remuneration Committee Meetings and One Stakeholder's Relationship Committee were convened and held. The details of which are given in the Corporate Governance Report. The intervening gap between the Meetings was within the period prescribed under the Companies Act, 2013.

## **11. Board Evaluation**

Pursuant to the provisions of the Companies Act, 2013 and Clause 49 of the Listing Agreement, the Board has carried out an annual performance evaluation of its own performance, the directors individually as well as the evaluation of the working of its Audit, Nomination & Remuneration and Stakeholder's Relationship Committees. The manner in which the evaluation has been carried out has been explained in the Corporate Governance Report.

## **12. Declaration by an Independent Director(s) and re- appointment, if any**

A declaration by an Independent Director(s) that he/they meet the criteria of independence as provided in sub-section (6) of Section 149 of the Companies Act, 2013 shall be enclosed as **Annexure II**.

Shri Sandeep Kumar Khandelwal and Shri Vinubhai Prabhudas Patel are independent Directors on the Board of your Company. In the opinion of the Board and as confirmed by these Directors, they fulfill the conditions specified in section 149 of the Act and the Rules made thereunder about their status as Independent Directors of the Company.

## **13. Remuneration Policy**

The Board has, on the recommendation of the Nomination & Remuneration Committee framed a policy for selection and appointment of Directors, Senior Management and their remuneration. The Remuneration Policy is stated in the Corporate Governance Report.

### **Managerial Remuneration:**

A) Details of the ratio of the remuneration of each director to the median employee's remuneration and other details as required pursuant to Rule 5(1) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014.

(1) During the year under review:

(i) The ratio of the remuneration of each director to the median remuneration of the employees of the company for the financial year was 1:4 and 1:1 respectively;

(ii) There has been no increase in remuneration of directors, Chief Financial Officer, Managing Director and Company Secretary in the financial year;

(iii) There has been no increase in the median remuneration of employees in the financial year;



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- (iv) There were 2(Two ) permanent employees on the rolls of the company;
- (v) There has been no increase in remuneration hence explanation on the relationship between average increase in remuneration and company performance is not applicable;
- (vi) The performance of the company was satisfactory in comparison to the remuneration paid to the Key managerial Personnel;
- (vii) There has been no increase in the salaries of employees other than the managerial personnel in the last financial year; hence its comparison with the percentile increase in the managerial remuneration and justification thereof is not valid;
- (viii) The performance of the company was satisfactory in comparison to the remuneration paid to the Key managerial Personnel;
- (ix) There has been no variable component of remuneration availed by the directors;
- (x) The ratio of the remuneration of the highest paid director to that of the employees who are not directors but receive remuneration in excess of the highest paid director during the year was 80:639;
- (xi) The Board affirms that the remuneration is as per the remuneration policy of the company.

Explanation – For the purposes of this rule – (i) the expression “median” means the numerical value separating the higher half of a population from the lower half and the median of a finite list of numbers may be found by arranging all the observations from lowest value to highest value And picking the middle one;

(ii) If there is an even number of observations, the median shall be the average of the two middle values.

### **14. Details of Subsidiary/Joint Ventures/Associate Companies**

During the year under review the Company has no Subsidiary/Joint Ventures/Associate Companies in pursuance to sub-section (3) of section 129 of the Act.

### **15. Auditors**

The Statutory Auditor of the Company M/s G.C. Agarwal & Associates, Chartered Accountants, will retire at the ensuing Annual General Meeting of the Company and, being eligible; offer themselves for reappointment for a period of two years from the conclusion of this Annual General Meeting [AGM] till the conclusion of the twenty-third AGM of the Company to be held in the year 2018.



## 16. Auditors' Report

The report of the Statutory Auditors along with notes to Schedules is enclosed to this report. Auditor's observations are suitably explained in notes to the Accounts and are self-explanatory.

## 17. Disclosure about Cost Audit

Since the Company does not fulfill the provisions of Section 148(3) of Companies Act, 2013 and rule 6(2) & 6(3A) of the Companies (Cost Records and Audit) Rules, 2014 therefore Cost Audit is not applicable.

## 18. Secretarial Audit Report

In terms of Section 204 of the Act and Rules made there under, M/s M. K. Mandal & Associates, Practicing Company Secretary have been appointed Secretarial Auditors of the Company. The report of the Secretarial Auditors is enclosed as **Annexure III** to this report. The report is self-explanatory and do not call for any further comments.

## 19. Internal Audit & Controls

The Company engages M/s Manoj Ved & Company, Chartered Accountants as its Internal Auditor. During the year, the Company continued to implement their suggestions and recommendations to improve the control environment. Their scope of work includes review of processes for safeguarding the assets of the Company, review of operational efficiency, effectiveness of systems and processes, and assessing the internal control strengths in all areas. Internal Auditors findings are discussed with the process owners and suitable corrective actions taken as per the directions of Audit Committee on an ongoing basis to improve efficiency in operations.

## 20. Issue of employee stock options

The Board of directors, shall, inter alia, disclose in the Directors' Report for the year, the details as provided in rule 12 (9) of Companies (Share Capital and Debentures) Rules, 2014.

Particulars	
Approval	NIL
Options granted	NIL
Options vested	NIL
Options exercised	NIL
Total number of shares arising out of exercise of options	NIL
Options forfeited/lapsed/cancelled	NIL





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Variations of terms of options	NIL
Money realized by exercise of options	NIL
Total number of options in force	NIL

Notes: -

1. Details of options granted during the fiscal year to:

Particulars	
Directors and key managerial personnel	
1.	NIL
2.	NIL
(b) Any other employee who received a grant in any one year of options amounting to 5% or more of the options granted during the year (includes employees and group company employees)	NIL
(c) Identified employees who are granted options, during any one year equal to exceeding 1% of the issued capital (excluding outstanding warrants and conversions) of the Company at the time of grant	NIL

### **21. Vigil Mechanism :**

In pursuant to the provisions of section 177(9) & (10) of the Companies Act, 2013, a Vigil Mechanism for directors and employees to report genuine concerns has been established. The Vigil Mechanism Policy has been uploaded on the website of the Company at [www.bampslsecurities.co.in](http://www.bampslsecurities.co.in) under Vigil Mechanism/Whistle Blower Policy link.

### **22. Risk management policy**

During the year under review, the Company has not constituted any risk management policy.

### **23. Extract of Annual Return**

As required pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014, an extract of annual return in MGT 9 as a part of this Annual Report is enclosed as ANNEXURE I.

### **24. Material changes and commitments, if any, affecting the financial position of the company which have occurred between the end of the financial year of the company to which the financial statements relate and the date of the report**

Only litigation of the Company, against order ITO passed in Assessment Year 2012-13 is pending before Commissioner of Income Tax (Appeal) for deletions of some additions made by

the ITO due to which Income Tax Department raised a demand of Rs. 6,47,84,220. The directors of the Company are sure to win the appeal filed and the demand will be deleted.

**25. Details in significant and material orders passed by the regulations or courts or tribunals impacting the going concern status and company's operations in future**

There is no pending suit/litigation/case with any Court or Regulators.

**26. Details in respect of adequacy of internal financial controls with reference to the Financial Statements**

The internal financial controls with reference to the Financial Statement are commensurate with the size and nature of business of the Company. Adequate records and documents are maintained as required by laws. The Company's Audit Committee reviewed the internal control system. All efforts are being made to make the internal control systems more effective.

**27. Deposits**

During the period under review, your Company has not accepted any deposit from public in accordance with Section 73 of the Companies Act, 2013 and rules made there under and hence no amount of principal or interest was outstanding as on 31/03/2016. No buy Back of shares was proposed or pending during the financial year ended on 31/03/2016.

**28. Particulars of loans, guarantees or investments under section 186**

The Company has not taken/made any Loans, Guarantees and Investments under the provisions of Section 186 of the Companies Act, 2013 during the financial year ended 31<sup>st</sup> March 2016.

**Details of Loans:-**

Sl No	Date of making loan	Details of Borrower	Amount	Purpose for which the loan is to be utilized by the recipient	Time period for which it is given	Date of BR	Date of SR (if reqd)	Rate of Interest	Security
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

**Details of Investments:-**

SL No	Date of Investment	Details of Investee	Amount	Purpose for which the proceeds from Investment is proposed to be utilized by the recipient	Date of BR	Date of SR (if reqd)	Expected rate of return



1	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	NIL	NIL	NIL	NIL	NIL	NIL	NIL

**Details of Guarantee / Security Provided:-**

SL No	Date of providing security/guarantee	Details of recipient	Amount	Purpose for which the security/guarantee is proposed to be utilized by the recipient	Date of BR	Date of SR (if any)	Commission
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	NIL	NIL	NIL	NIL	NIL	NIL	NIL

**29. Particulars of contracts or arrangements with related parties**

The company has not entered into contract or arrangements with related parties referred to in sub-section (1) of section 188 of the Companies Act, 2013 including certain arm's length transactions during the Financial Year ended 31st March 2016.

**30. Corporate Governance Certificate**

The Compliance certificate from the auditors or practicing company secretaries regarding compliance of conditions of corporate governance as stipulated in Clause 49 of the Listing agreement shall be annexed with the report.

**31. Management Discussion And Analysis**

The Management Discussion and Analysis forms part of this Annual Report for the year ended 31st March, 2016.

**32. Statutory Disclosures**

In terms of the provisions of Section 217 (2A) of the Companies Act, 1956, read with the Companies (Particulars of Employees) Rules, 2011 as amended, the names and other particulars of the employees are set out in the annexure to the Directors' Report. However, as per the provisions of Section 219 (b) (iv) of the said Act read with Clause 32 of the Listing Agreement, the Annual Report excluding the aforesaid information is being sent to all the members of the Company and others entitled thereto. Any member interested in obtaining such particulars may write to the Company at the registered office of the Company.

**33. Obligation of Company under the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013**

Company has adopted a policy for prevention of Sexual Harassment of Women at workplace as the Company does not exceed the prescribed limits applicable on the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013

### **34. Conservation of energy, technology absorption and foreign exchange earnings and outgo**

The current nature of the business of the Company of dealing in shares and securities does not entail it to reporting matters on energy conservation, technology absorption and the other matters. However, there was no foreign exchange inflow or outgo during the period under report.

The details of conservation of energy, technology absorption, foreign exchange earnings and outgo are as follows:

#### **(a) Conservation of energy**

(i)	The steps taken or impact on conservation of energy	NIL
(ii)	The steps taken by the company for utilizing alternate sources of energy	NIL
(iii)	The capital investment on energy conservation equipments	NIL

#### **(b) Technology absorption**

(i)	The efforts made towards technology adsorption	NIL
(ii)	The benefits derived like product improvement, cost reduction, product development or import substitution	NIL
(iii)	In case of imported technology (imported during the last three years reckoned from the beginning of the financial year)	NIL
	(a) the details of technology imported	NIL
	(b) the year of import	NIL
	(c) whether the technology been fully absorbed	NIL
	(d) if not fully absorbed, areas where absorption has not taken place, and the reasons thereof	NIL
(iv)	The expenditure incurred on Research and Development	NIL

#### **(c) Foreign exchange earnings and Outgo**

During the year, there was no foreign exchange earnings and outgo during the period under report.

### **35. Corporate Social Responsibility (CSR)**

Since the Company does not fulfill the provisions of Section 135 of Companies Act, 2013, therefore Corporate Social Responsibility is not applicable.

### **36. Human Resources**

Your Company treats its "human resources" as one of its most important assets.



Your Company continuously invests in attraction, retention and development of talent on an ongoing basis. A number of programs that provide focused people attention are currently underway. Your Company thrust is on the promotion of talent internally through job rotation and job enlargement.

## **37. Directors' Responsibility Statement**

Pursuant to the requirement clause (c) of sub-section (3) of Section 134 of the Companies Act, 2013, the Directors state that—

- (a) In the preparation of the annual accounts, the applicable accounting standards had been followed along with proper explanation relating to material departures, if any;
- (b) Accounting policies have been selected and applied them consistently and judgments and estimates made are reasonable and prudent so as to give a true and fair view of the state of affairs of the company at the end of the close of the financial year and of the profit and loss of the company for that period;
- (c) Proper and sufficient care has been taken for the maintenance of adequate accounting records in accordance with the provisions of this Act, 2013, for safeguarding the assets of the company and for preventing and detecting fraud and other irregularities;
- (d) The annual accounts have been prepared on a going concern basis;
- (e) Internal financial controls have been laid down to be followed by the company and that such internal financial controls are adequate and were operating effectively.
- (f) Proper systems have been devised to ensure compliance with the provisions of all applicable laws and that such systems were adequate and operating effectively.

## **38. Transfer of Amounts to Investor Education and Protection Fund**

Pursuant to the provisions of the Investor Education Protection Fund (Uploading of information regarding unpaid and unclaimed amounts lying with companies) Rules, 2012, the Company has already filed the necessary form and uploaded the details of unpaid and unclaimed amounts lying with the Company, as on the date of last AGM (i.e. 30<sup>th</sup>, September 2016), with the Ministry of Corporate Affairs.

## **39. Listing With Stock Exchanges**

The Company confirms that it has paid the Annual Listing Fees to BSE where the Company's Shares are listed.

## **40. Acknowledgements**

Your Directors place on record their sincere appreciation for significant contribution made by the employees through their dedication, hard work and commitment. Despite severe competition, the enthusiasm and unstinting efforts of the employees have enabled the Company to remain at the forefront of the industry.

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enthusiasm and unstinting efforts of the employees have enabled the Company to remain at the forefront of the industry.

Your Directors take this opportunity to express their deep sense of gratitude to the banks, financial institutions, central and state governments and their departments and the local authorities for their continued guidance and support.

Your Directors would also like to place on record their sincere appreciation for the total commitment, dedication and hard work put in by every member of the family of Bampsl Securities Limited.

Your Directors are also grateful to the shareholders for their confidence and faith reposed in the Company.

**BY ORDER OF THE BOARD  
For Bampsl Securities Limited**

**Sd/-  
(Bhisham Kumar Gupta)  
Managing Director  
DIN : 00110915  
Address: C-7/100, Yamuna Vihar,  
Delhi, 110053**

**Place: New Delhi  
Date: 25 / 08 / 2016**



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## ANNEXURE INDEX

Annexure	Content
i.	Annual Return Extracts in MGT 9
ii	Format of declaration by Independent Director
iii	MR-3 Secretarial Audit Report

### Annexure I

#### FORM NO. MGT 9

#### EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2016

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

#### I. REGISTRATION & OTHER DETAILS:

1.	CIN	L74899DL1995PLC065028
2.	Registration Date	03/02/1995
3.	Name of the Company	BAMPSL SECURITIES LIMITED
4.	Category/Sub-category of the Company	Public Company NBFC Limited by Shares Company having share capital
5.	Address of the Registered office & contact details	100-A Cycle Market, Jhandewalan Extn. New Delhi-110055 Telephone : 91-011-23556436 Fax Number : 91-011-23617013 Email Address : bampslsecurities@yahoo.co.in
6.	Whether listed company	Yes BSE Ltd. (Stock Exchange), Code-531591
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Alankit Assignments Limited 2E/21,Jhandewalan Extn. , New Delhi-110055 91-11-42541234,91-11-23552001 info@alankit.com



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## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

Sl. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	To invest in, acquire and hold, buy or sell or otherwise dispose of or deal in securities and to provide financial & Investment assistance.	803	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :

Sl. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	NIL	NIL	NIL	NIL	NIL
2.	NIL	NIL	NIL	NIL	NIL
3.	NIL	NIL	NIL	NIL	NIL

## IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

### A. Category-wise Share Holding

Category of Shareholders	No. of Shares held at the end of the year [As on 31-March-2016]			
	Demat	Physical	Total	% of Total Shares
<b>A. Promoters</b>				
(1) Indian				
a) Individual/ HUF	32057296	Nil	32057296	10.83
b) Central Govt	Nil	Nil	Nil	Nil
c) State Govt(s)	Nil	Nil	Nil	Nil





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d) Bodies Corp.	NIL	Nil	Nil	Nil
e) Banks / FI	Nil	Nil	Nil	Nil
f) Any other	Nil	Nil	Nil	Nil
<b>Total shareholding of Promoter (A)</b>	32057296	Nil	32057296	10.83
<b>B. Public Shareholding</b>				
<b>1. Institutions</b>				
a) Mutual Funds	Nil	Nil	Nil	Nil
b) Banks / FI	Nil	Nil	Nil	Nil
c) Central Govt	Nil	Nil	Nil	Nil
d) State Govt(s)	Nil	Nil	Nil	Nil
e) Venture Capital Funds	Nil	Nil	Nil	Nil
f) Insurance Companies	Nil	Nil	Nil	Nil
g) Flls	Nil	Nil	Nil	Nil
h) Foreign Venture Capital Funds	Nil	Nil	Nil	Nil
i) Others (specify)	Nil	Nil	Nil	Nil
<b>Sub-total (B)(1):-</b>	Nil	Nil	Nil	Nil
<b>2. Non-Institutions</b>				
a) Individuals				
i) Individual shareholders holding nominal share capital up to Rs. 2 lakh	4267798 8	2267303	4494529 1	15.19%
ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh	1057702 43	Nil	1057702 43	35.74%

b) Others (specify)				
Non Resident Indians	903162	Nil	903162	0.31%
Bodies Corporate	1028711 53	Nil	1028711 53	34.76%
Client Margin	400740	Nil	400740	0.14%
HUF	8145879	864151	9010030	3.04%
<b>Sub-total (B)(2):-</b>	1123209 34	864151	1131850 85	38.25%
Total Public Shareholding (B)=(B)(1)+ (B)(2)	2607691 65	3131454	2639006 19	89.17%
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	Nil	Nil	Nil	Nil
<b>Grand Total (A+B+C)</b>	2928264 61	3131454	2959579 15	100%

**B. Shareholding of Promoter**

S N	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Mr. Bhisham Kumar Gupta	32031426	10.82	Nil	32057296	10.83	Nil	0.01



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### C. Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	32031426	10.82	-	-
	At the end of the year	32057296	10.83	-	-

### D. Shareholding Pattern of top ten Shareholders:

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	BHAIJEE COMMODITIES PVT. LTD.	34564854.000	11.679	34564854.000	11.679
2.	BHAIJEE OVERSEAS LIMITED	14807969.000	5.003	14807969.000	5.003
3.	BHAIJEE PORTFOLIO LIMITED	12520726.000	4.231	12520726.000	4.231
4.	SATENDER KUMAR GOYAL	8234144.000	2.782	8234144.000	2.782
5.	GG OVERSEAS PRIVATE LIMITED	8143510.000	2.752	8143510.000	2.752
6.	VIDYASAGAR MAHAVIRPRASAD SAH	NIL	NIL	7915886.000	2.675
7.	NITIN GUPTA	NIL	NIL	7690823.000	2.599
8.	AMIT JAIN	NIL	NIL	7557644.000	2.554
9.	ASHLAR SECURITIES PVT. LTD.	7000000.000	2.365	7000000.000	2.365
10.	JAGDISH CHAND GUPTA	5500000.000	1.858	5500000.000	1.858

### E. Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Bhisham Kumar Gupta	32031426	10.82	32057296	10.83
2	Sandeep Kumar Khandelwal	4900	0.002	4900	0.002
3	Suresh Kumar Singhal	19600	0.007	19600	0.007
4.	Vinubhai Prabhudas Patel	19600	0.007	19600	0.007
5.	Subhash Bandil (CFO)	Nil	Nil	Nil	Nil
6.	Sheela Gupta	Nil	Nil	Nil	Nil

**V. INDEBTEDNESS** -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
<b>Total (i+ii+iii)</b>	Nil	Nil	Nil	Nil
<b>Change in Indebtedness during the financial year</b>				
* Addition	Nil	Nil	Nil	Nil
* Reduction	Nil	Nil	Nil	Nil
<b>Net Change</b>	Nil	Nil	Nil	Nil
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid	Nil	Nil	Nil	Nil
iii) Interest accrued but not due	Nil	Nil	Nil	Nil
<b>Total (i+ii+iii)</b>	Nil	Nil	Nil	Nil

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-**
**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	
		Bhisham Kumar Gupta	Suresh Kumar Singhal
1	Gross salary	12,000	48,000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission - as % of profit - others, specify...	Nil	Nil
5	Others, please specify	Nil	Nil
	<b>Total (A)</b>	12,000	48,000



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

## B. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SN	Particulars of Remuneration	Key Managerial Personnel	
		CS Ms. Swati Bakshi	CFO Mr. Subhash Bandil
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	112000	300000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil
2	Stock Option	Nil	Nil
3	Sweat Equity	Nil	Nil
4	Commission		
	- as % of profit	Nil	Nil
	others, specify...	Nil	Nil

## XII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
<b>B. DIRECTORS</b>					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil



**Annexure II**

**DECLARATION OF INDEPENDENCE**

To  
The Board of Directors  
Bampsl Securities Limited  
100-A, Cycle Market,  
Jhandewalan Extn. ,  
New Delhi - 110055

**Sub: Declaration of independence under clause 49 of the Listing Agreement and sub-section (6) of section 149 of the Companies Act, 2013.**

I, **Mr. Sandeep Kumar Khandelwal**, hereby certify that I am a Non-executive Independent Director of **Bampsl Securities Limited**, New Delhi and comply with all the criteria of independent director as envisaged in Clause 49 of the Listing Agreement and the Companies Act, 2013.

**I certify that:**

- I possess relevant expertise and experience to be an independent director in the Company;
- I am/was not a promoter of the company or its holding, subsidiary or associate company;
- I am not related to promoters / directors / persons occupying management position at the board level or level below the board in the company, its holding, subsidiary or associate company;
- Apart from receiving director sitting fees / remuneration, I have/had no pecuniary relationship / transactions with the company, its promoters, its directors, its senior management or its holding, subsidiary or associate company, or their promoters, or directors, during the two immediately preceding financial years or during the current financial;
- none of my relatives has or had any pecuniary relationship or transaction with the company, its holding, subsidiary or associate company, or their promoters, or directors, amounting to 2% or more of its gross turnover **or** total income **or** Rs. 50 Lacs **or** such higher amount as may be prescribed, whichever is lower, during the two immediately preceding financial years or during the current financial year;
- Neither me nor any of my relatives:
  - a) holds or has held the position of a key managerial personnel or is or has been employee/executive of the company or its holding, subsidiary or associate



company in any of the three financial years immediately preceding the financial year;

- b) is or has been an employee or proprietor or a partner, in any of the three financial years immediately preceding the financial year of;
    - a. a firm of auditors or company secretaries in practice or cost auditors of the company or its holding, subsidiary or associate company; or
    - b. any legal or a consulting firm that has or had any transaction with the company, its holding, subsidiary or associate company amounting to 10% or more of the gross turnover of such firm;
  - c) holds together with my relatives 2% or more of the total voting power of the company; or
  - d) is a Chief Executive or director, by whatever name called, of any nonprofit organization that receives 25% or more of its receipts from the company, any of its promoters, directors or its holding, subsidiary or associate company or that holds 2% or more of the total voting power of the company; or
- I am not a material supplier, service provider or customer or a lessor or lessee of the company;
  - I am not less than 21 years of age.

**Declaration**

I undertake that I shall seek prior approval of the Board if and when I have any such relationship / transactions, whether material or non-material. If I fail to do so I shall cease to be an independent director from the date of entering in to such relationship / transactions.

Further, I do hereby declare and confirm that the above said information's are true and correct to the best of my knowledge as on the date of this declaration of independence and I shall take responsibility for its correctness and shall be liable for fine if any imposed on the Company, its directors, if the same found wrong or incorrect in future.

I further undertake to intimate immediately upon changes, if any, to the Company for updating of the same.

Thanking you,

Yours faithfully,

Sd/-

Sandeep Kumar Khandelwal

DIN : 00131294

R/o : B-4/81 , 2<sup>nd</sup> Floor,

Ashok Vihar, Phase-II,

Delhi-110052

Mobile No. : 9811475015

Email : Sandeep879@gmail.com



**DECLARATION OF INDEPENDENCE**

To  
The Board of Directors  
Bampsl Securities Limited  
100-A, Cycle Market,  
Jhandewalan Extn. ,  
New Delhi - 110055

**Sub: Declaration of independence under clause 49 of the Listing Agreement and sub-section (6) of section 149 of the Companies Act, 2013.**

I, **Mr. Vinubhai Prabhudas Patel**, hereby certify that I am a Non-executive Independent Director of **Bampsl Securities Limited**, New Delhi and comply with all the criteria of independent director as envisaged in Clause 49 of the Listing Agreement and the Companies Act, 2013.

**I certify that:**

- I possess relevant expertise and experience to be an independent director in the Company;
- I am/was not a promoter of the company or its holding, subsidiary or associate company;
- I am not related to promoters / directors / persons occupying management position at the board level or level below the board in the company, its holding, subsidiary or associate company;
- Apart from receiving director sitting fees / remuneration, I have/had no pecuniary relationship / transactions with the company, its promoters, its directors, its senior management or its holding, subsidiary or associate company, or their promoters, or directors, during the two immediately preceding financial years or during the current financial;
- none of my relatives has or had any pecuniary relationship or transaction with the company, its holding, subsidiary or associate company, or their promoters, or directors, amounting to 2% or more of its gross turnover or total income or Rs. 50 Lacs or such higher amount as may be prescribed, whichever is lower, during the two immediately preceding financial years or during the current financial year;
- Neither me nor any of my relatives:
  - a) holds or has held the position of a key managerial personnel or is or has been employee/executive of the company or its holding, subsidiary or associate





company in any of the three financial years immediately preceding the financial year;

b) is or has been an employee or proprietor or a partner, in any of the three financial years immediately preceding the financial year of;

c. a firm of auditors or company secretaries in practice or cost auditors of the company or its holding, subsidiary or associate company; or

d. any legal or a consulting firm that has or had any transaction with the company, its holding, subsidiary or associate company amounting to 10% or more of the gross turnover of such firm;

c) holds together with my relatives 2% or more of the total voting power of the company; or

d) is a Chief Executive or director, by whatever name called, of any nonprofit organization that receives 25% or more of its receipts from the company, any of its promoters, directors or its holding, subsidiary or associate company or that holds 2% or more of the total voting power of the company; or

- I am not a material supplier, service provider or customer or a lessor or lessee of the company;

- I am not less than 21 years of age.

**Declaration**

I undertake that I shall seek prior approval of the Board if and when I have any such relationship / transactions, whether material or non-material. If I fail to do so I shall cease to be an independent director from the date of entering in to such relationship / transactions.

Further, I do hereby declare and confirm that the above said information's are true and correct to the best of my knowledge as on the date of this declaration of independence and I shall take responsibility for its correctness and shall be liable for fine if any imposed on the Company, its directors, if the same found wrong or incorrect in future.

I further undertake to intimate immediately upon changes, if any, to the Company for updating of the same.

Thanking you,  
Yours faithfully,

Sd/-

Vinubhai Prabhudas Patel

DIN : 00906780

R/o : Karita Faliya, Kehda ,Thasra,

Gujrat -380450

Mobile No. : 9429031833

Email: bhavinessae@gmail.com



**Annexure-III**

**Form No. MR-3**

**SECRETARIAL AUDIT REPORT**

**FOR THE FINANCIAL YEAR ENDED 31<sup>st</sup> March, 2016**

[Pursuant to section 204(1) of the Companies Act, 2013 and rule No.9 of the Companies (Appointment and Remuneration Personnel) Rules, 2014]

**SECRETARIAL AUDIT REPORT**

**FOR THE FINANCIAL YEAR ENDED**

To,  
The Members,  
**BAMPSL SECURITIES LIMITED**  
100-A Cycle Market  
Jhandewalan Extn  
New Delhi-110055

Our report of even date is to be read along with this letter.

1. Maintenance of secretarial record is the responsibility of the management of the company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and Books of Accounts of the company.
4. Where ever required, we have obtained the Management representation about the compliance of laws, rules and regulations and happening of events etc.
5. The compliance of the provisions of Corporate and other applicable laws, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of procedures on test basis.
6. The Secretarial Audit report is neither an assurance as to the future viability of the company nor of the efficacy or effectiveness with which the management has conducted the affairs of the company.

Sd/-

**(Manoj Kumar Mandal)**  
Practicing Company Secretary  
M. No. : 5538  
C.P. No. : 4968

Place : New Delhi  
Date : 25.08.2016



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

To,  
The Members,  
BAMPSL SECURITIES LIMITED  
100-A Cycle Market  
Jhandewalan Extn  
New Delhi-110055

I/We have conducted the secretarial audit of the compliance of applicable statutory provisions and the adherence to good corporate practices by M/s. Bampsl Securities Limited, **CIN: L74899DL1995PLC065028** (hereinafter called the company). Secretarial Audit was conducted in a manner that provided me/us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing my opinion thereon.

Based on my/our verification of the M/s. Bampsl Securities Limited's books, papers, minute books, forms and returns filed and other records maintained by the company and also the information provided by the Company, its officers, agents and authorized representatives during the conduct of secretarial audit, I/We hereby report that in my/our opinion, the company has, during the audit period covering the financial year ended on 31<sup>st</sup> March 2016, complied with the statutory provisions listed hereunder and also that the Company has proper Board-processes and compliance-mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

### Corporate Information

I/we have examined the books, papers, minute books, forms and returns filed and other records maintained by M/s. Bampsl Securities Limited ("the Company") for the financial year ended on 31<sup>st</sup> March, 2016 according to the provisions of:

- (i) The Companies Act, 2013 and the Companies Act, 1956 (to the extent applicable) (the Act) and the rules made thereunder;
- (ii) The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made thereunder;
- (iii) The Depositories Act, 1996 and the Regulations and Bye-laws framed thereunder;
- (iv) Foreign Exchange Management Act, 1999 and the rules and regulations made thereunder to the extent of Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings;
- (v) The following Regulations and Guidelines prescribed under the Securities and Exchange Board of India Act, 1992 ('SEBI Act'):-
  - (a) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011. The Company has received disclosure under Regulation 30(1) and 30(2).
  - (b) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992. The Company has complied with Regulation 7(1)(a) in Form A. However the Company has adopted the prescribed code of conduct under the Regulations and Trading Plan has been complied.
  - (c) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009. The Company has not issued any securities during the Financial Year ended on 31<sup>st</sup> March 2016.



(d) The Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999. The Company has not issued any securities under Stock Option to its employees during the Financial Year ended on 31<sup>st</sup> March 2016.

(e) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008. Not applicable to the company during the audit period.

(f) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client. The company has appointed Alankit Assignments Limited for share registry work.

(g) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009. Not applicable to the company during the audit period.

(h) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998. Not applicable to the company during the audit period.

(vi) The other laws as may be applicable specifically to the Company are :

(a) The Reserve Bank of India Act, 1934. The Company has maintained Statutory Reserve under Section 451C of the Act.

(b) The Income tax Act, 1961

(c) The Securities and Exchange Board of India (Depositories and Participant) Regulations, 1996. The Company has complied with the regulation 55A regarding reconciliation of Share capital Audit.

I/we have also examined compliance with the applicable clauses of the following:

(i) Secretarial Standards on Meetings of the Board of Directors (SS-1) and General Meetings (SS-2) issued by The Institute of Company Secretaries of India (ICSI).

(ii) The Listing Agreements entered into by the Company with Stock Exchange(s), namely Bombay Stock Exchange (BSE) and The National Stock Exchange (NSE).

During the period under review the Company has complied with the provisions of the Act, Rules, Regulations, Guidelines, Standards, etc. mentioned above subject to the following observations:

1. The Directors have disclosed their interest in other firms/Companies to the Board of Directors pursuant to the provisions of the Act and the rules made there under, the Company has filed the resolution in form MGT-14 with the Registrar of Companies as required under the provisions of the Section 184, Section 179 along with Rule 8(5) under the Meeting of the Board and its Powers of the Companies Act, 2013.
2. The Company had filed the resolution in Form MGT-14 with the Registrar of Companies in respect of the Adoption of accounts and Boards report, as required under the provisions of the Section 179(3) (g) of the Companies Act, 2013.
3. The Company had filed the resolution in Form MGT-14, MR-1 and DIR-12 for appointment of the Company Secretary and Chief Financial Officer under the provisions of the Companies Act, 2013.
4. The Company had filed the resolution in Form MGT-14 for the unaudited financial statement for the quarter ended June,2014, September,2014 and December,2014 .
5. The Company had filed the resolution in Form 1INV for transfer of unpaid/ unclaimed dividends to Investor Education and Protection Fund (IEPF).



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

6. The Annual General Meeting for the financial year ended on 31<sup>st</sup> March 2014 was held on 29<sup>th</sup> September, 2014 after giving notice to the members of the Company and the resolutions passed thereat were recorded in the Minutes Book maintained for the purpose, the Company has filed MGT-15 in Form GNL-2 with the Registrar of Company.
7. The Company had filed resolution in form GNL-2 and MGT-10 for change in Promoter's stake holding.
8. The Company had filed the resolution in Form DIR-12 for appointment of Vinubhai Prabhudas Patel as Independent Director.
9. The Company has filed the report as required under the rule 30(1) and 30(2) of the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.
10. The Company has received a Demand Order from the Income Tax Department.

### **I/we further report that**

1. The Board of Directors of the Company is duly constituted with proper balance of Executive Directors, Non-Executive Directors and Independent Directors. The changes in the composition of the Board of Directors that took place during the period under review were carried out in compliance with the provisions of the Act.
2. Adequate notice is given to all directors to schedule the Board Meetings, agenda and detailed notes on agenda were sent at least seven days in advance, and a system exists for seeking and obtaining further information and clarifications on the agenda items before the meeting and for meaningful participation at the meeting.
3. Majority decision is carried through while the dissenting members' views are captured and recorded as part of the minutes.

**I/we further report** that there are adequate systems and processes in the company commensurate with the size and operations of the company to monitor and ensure compliance with applicable laws, rules, regulations and guidelines.

**I/we further report** that during the audit period there were no instances of :

1. Public/Right/Preferential issue of shares / debentures/sweat equity, etc.
2. Redemption / buy-back of securities
3. Major decisions taken by the members in pursuance to section 180 of the Companies Act, 2013
4. Merger / amalgamation / reconstruction, etc.
5. Foreign technical collaborations

Sd/-

**Manoj Kumar Mandal**

**FCS No.: 5538**

**C P No.: 4968**

**Place: New Delhi**

**Date: 25.08.2016**



# CORPORATE GOVERNANCE

## Corporate Governance Report for the year ended on 31<sup>st</sup> March 2016

### 1. Company Philosophy:

In order to ensure sustainable returns to all stakeholders of the business, it is imperative, especially for large organizations, to adopt and follow certain policies, procedures and processes, which together constitute a “Code of Corporate Governance”. It is important that such a Code is institutionalized, to ensure transparency, consistency and uniformity of decision making processes and actions. Bampsl Securities Limited has always believed in such a “Sound” Code of Corporate Governance, as a tool for highest standards of management and business integrity.

The Board recognizes that governance expectations are constantly evolving and is committed to keep its standards of transparency and dissemination of information under review.

### 2. Board of Directors:

Category	No. of Directors
Non-Executive & Independent Directors including the Chairman	2
Other Non-Executive Directors	1
Executive Director(CEO & Managing Director)	2
Total	5

The Chairman of the Board is an Independent Director.

As required under Section 149(3) of the Companies Act,2013 & Clause 49 of Listing Agreement, Mr. Vinubhai Prabhudas Patel, (Director), has been appointed as an Independent Director on the Board.



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

Other Relevant details of Directors :

Name of Director	Date of Appointment	Category	No. of Directorship(s) held in Indian public & private limited Companies (Including Bampsl)	Committee(s) position (including Bampsl)	
				Member	Chairman
Sh. Bhisham Kumar Gupta	06/02/2006	Promoter and Managing Director	5	0	0
Sh. Suresh Kumar Singhal	04/03/2005	Executive Director	1	2	0
Sh. Sandeep Kumar Khandelwal	04/03/2005	Independent Non-Executive Director	1	0	3
Sh. Vinubhai Prabhudas Patel	01/10/2014	Independent Non-Executive Director	3	1	0
Smt. Sheela Gupta	19/07/2015	Non-Independent Non-Executive Director	1	1	0

Board meeting held during the year

Dates on which the Board Meetings were held	Total Strength of the Board	No. of Directors Present
27/04/2015	5	5
22/06/2015	5	5
17/07/2015	5	5
25/08/2015	5	5
01/09/2015	5	5
14/11/2015	5	5
31/12/2015	5	5
14/02/2016	5	5

Attendance at the Board Meetings held on

Name of Director	27 <sup>th</sup> April 2015	22 <sup>nd</sup> June, 2015	17 <sup>th</sup> July 2015	25 <sup>th</sup> Aug, 2015	01 <sup>st</sup> Sep, 2015	14 <sup>th</sup> Nov 2015	31 <sup>st</sup> Dec, 2015	14 <sup>th</sup> Feb 2016	Attendance at the AGM held on 29 <sup>th</sup> day of September 2015
Shri Bhisham Kumar Gupta	P	P	P	P	P	P	P	P	P
Sh. Suresh Kumar Singhal	P	P	P	P	P	P	P	P	P
Sh. Vinubhai Prabhudas Patel	P	P	P	P	P	P	P	P	P
Sh. Sandeep Kumar Khandelwal	P	P	P	P	P	P	P	P	P
Smt. Neha Kumari (Resigned on 17/07/2015)	P	P	-	-	-	-	-	-	-
Smt. Sheela Gupta (Resigned on 25/05/2016)	-	-	P	P	P	P	P	P	P



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

Other Relevant details of Directors :

Name of Director	Date of Appointment	Category	No. of Directorship(s) held in Indian public & private limited Companies (Including Bampsl)	Committee(s) position (including Bampsl)	
				Member	Chairman
Sh. Bhisham Kumar Gupta	06/02/2006	Promoter and Managing Director	5	0	0
Sh. Suresh Kumar Singhal	04/03/2005	Executive Director	1	2	0
Sh. Sandeep Kumar Khandelwal	04/03/2005	Independent Non-Executive Director	1	0	3
Sh. Vinubhai Prabhudas Patel	01/10/2014	Independent Non-Executive Director	3	1	0
Smt. Sheela Gupta	19/07/2015	Non-Independent Non-Executive Director	1	1	0

Board meeting held during the year

Dates on which the Board Meetings were held	Total Strength of the Board	No. of Directors Present
27/04/2015	5	5
22/06/2015	5	5
17/07/2015	5	5
25/08/2015	5	5
01/09/2015	5	5
14/11/2015	5	5
31/12/2015	5	5
14/02/2016	5	5

Attendance at the Board Meetings held on

Name of Director	27 <sup>th</sup> April 2015	22 <sup>nd</sup> June, 2015	17 <sup>th</sup> July 2015	25 <sup>th</sup> Aug. 2015	01 <sup>st</sup> Sep, 2015	14 <sup>th</sup> Nov 2015	31 <sup>st</sup> Dec, 2015	14 <sup>th</sup> Feb 2016	Attendance at the AGM held on 29 <sup>th</sup> day of September 2015
Shri Bhisham Kumar Gupta	P	P	P	P	P	P	P	P	P
Sh. Suresh Kumar Singhal	P	P	P	P	P	P	P	P	P
Sh. Vinubhai Prabhudas Patel	P	P	P	P	P	P	P	P	P
Sh. Sandeep Kumar Khandelwal	P	P	P	P	P	P	P	P	P
Smt. Neha Kumari (Resigned on 17/07/2015)	P	P	-	-	-	-	-	-	-
Smt Sheela Gupta (Resigned on 25/05/2016)	-	-	P	P	P	P	P	P	P





**3. COMMITTEES OF THE BOARD**

**(a) Audit Committee**

Pursuant to the provisions of Section 177 of Companies Act, 2013 and Clause 49 of the Listing Agreement, Company has a duly qualified and independent Audit Committee.

The Audit Committee continued working under Chairmanship of Shri Sandeep Kumar Khandelwal with Vinubhai Prabhudas Patel and Smt. Sheela Gupta as co-members. During the year, the sub-committee met on four occasions with full attendance of all the members. The Managing director, Statutory Auditors, and the Financial Controller are permanent invitees to the meetings of the committee.

**Meeting and attendance during the year**

4 meeting of Audit committee was held during the year 2015-16 i.e on 27th May 2015, 17th August 2015, 14th November 2015 & 14th February 2016. The composition of the Audit Committee as at March 31, 2015 and details of the Members participation at the Meetings of the Committee are as under :

Name of Director	Category	Attendance at the Committee Meetings			
		27.05.2015	17.08.2015	14.11.2015	14.02.2016
Shri Sandeep Kumar Khandelwal	Independent Non-Executive Director	P	P	P	P
Shri Suresh Kumar Singhal	Executive Director	P	P	P	P
Smt. Sheela Gupta	Non-Independent Non-Executive Director	P	P	P	P

**Notes:**

- a) During the year, the time gap between two meetings doesn't exceed by more than four months, with adequate quorum in every meeting.



- b) The Chairman of the Audit Committee was present in Annual General Meeting to answer the queries of Shareholders.
- c) Company Secretary of the Company acts as the Secretary of Committee.

The Committee is governed by a Charter which is in line with the regulatory requirements mandated by the Companies Act, 2013 and Clause 49 of the Listing Agreement, the gist of terms of reference is as follows :

### Financial Reporting and Related Processes

- The Audit Committee has power, to investigate any activity, seek information from any employee and to obtain outside legal or other professional advice when ever consider fit and proper by the Committee.
- Oversight of the Company's financial reporting process and the disclosure of its financial information to ensure that the financial statement is correct, sufficient and credible.
- Recommending the Board, regarding the appointment, re-appointment, the replacement and removal of the statutory auditor, along with fixation of audit fees and other payments payable to auditor for other services rendered by him.
- Reviewing with the Management the quarterly financial statements, annual financial statements before submission to the Board for approval.
- Reviewing, with the management and performance of statutory auditors, and adequacy of the internal control systems including staffing and seniority of the official hrading the department, reporting structure coverage.
- The Audit Committee is empowered to review the Management Discussion and Analysis of financial condition and results of operations, statement of significant related party transactions, submitted by management, internal audit reports relating to internal control weaknesses etc.
- All the members on the Audit Committee have the requisite qualification for appointment on the Committee and process sound knowledge of finance, accounting practices and internal controls.

All the Members on the Audit Committee have the requisite qualification for appointment on the Committee and possess sound knowledge of finance, accounting practices and internal controls.

### (c) Remuneration Committee

In compliance with Section 178 of the Companies Act, 2013 and Clause 49 of the Listing Agreement, the company constituted a Nomination and Remuneration Committee. The present Nomination and Remuneration Committee comprises of three non-executive independent directors viz. Shri Sandeep Kumar Khadelwal, Smt. Sheela Gupta and Shri Vinubhai Prabhudas patel. The Committee is chaired by Mr. Sandeep Kumar Khadelwal.



The terms of reference of the Committee inter alia, include the following:

- Succession planning of the Board of Directors and Senior Management Employees;
- Identifying and selection of candidates for appointment as Directors / Independent Directors based on certain laid down criteria;
- Identifying potential individuals for appointment as Key Managerial Personnel and to other Senior Management positions;
- Formulate and review from time to time the policy for selection and appointment of Directors, Key Managerial Personnel and senior management employees and their remuneration;
- Review the performance of the Board of Directors and Senior Management Employees based on certain criteria as approved by the Board.

**Meeting and attendance during the year**

Two meetings of the committee were held on 21st November , 2015 and 10<sup>th</sup> February, 2016. The composition of the Nomination and Remuneration Committee as at March 31, 2016 and details of the Members participation at the Meetings of the Committee are as under :

Name of Director	Category	Attendance at the Committee Meeting	
		21/11/2015	10/02/2016
Shri Sandeep Kumar Khadelwal	Independent Non-Executive Director	P	P
Sh. Vinubhai Prabhudas Patel	Independent Non-Executive Director	P	P
Smt. Sheela Gupta	Non-Independent Non-Executive Director	P	P

**Remuneration Policy**

The company remunerates its managerial personnel by way of salary as per the terms and conditions and within the limits laid down under the Companies Act, 2013. Increment for each year is determined by the Remuneration Committee subject to approval of the Board of Directors and members of the Company at the General Meeting. Further, the Company pays or reimburses such fair and reasonable expenditure, as may have been incurred while performing role as an Independent Director of the Company.



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

The details of remuneration of Executive Directors for the year ended 31.03.2016 was as follows:

Whole-Time Directors	Salary
Shri Bhisham kumar Gupta	12,000
Shri Suresh Kumar Singhal	48,000

The appointments are contractual in nature and can be determined at any time by either party giving notice as per terms and conditions of appointment..

Non-Executive Directors are paid such remuneration by way of sitting fees for attending the meetings of the Board and the Committees as may be decided by the Board from time to time, subject to approval of the shareholders, if required. Sitting Fees paid to Non-Executive Directors during the Financial Year was as under:

Non-Executive Directors	Sitting Fees (Rs.)
Ms. Neha Kumari	25,000
Mr. Vinubahi Prabhudas Patel	8,000
Mr. Sandeep Kumar Khadelwal	25,000

### (d) Corporate Social Responsibility (CSR) Committee

Since the Company does not fulfill the provisions of Section 135 of Companies Act, 2013, therefore Corporate Social Responsibility is not applicable. The disclosures as per Rule 9 of Companies (Corporate Social Responsibility Policy) Rules, 2014 shall not be applicable.

The terms of reference of the Corporate Social Responsibility Committee (CSR) broadly comprises:

- To review the existing CSR Policy and to make it more comprehensive so as to indicate the activities to be undertaken by the Company as specified in Schedule VII of the Companies Act, 2013;
- To provide guidance on various CSR activities to be undertaken by the Company and to monitor its progress.

The composition of the Audit Committee as at March 31, 2016 and details of the Members participation at the Meetings of the Committee are as under:

Name of Director	Category	Attendance at the CSR held on					
NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

### (e) Risk Management Committee

During the year under review, the Company has not constituted any Risk Management Committee.

Business Risk Evaluation and Management is an on-going process within the Organization. The Company has a robust risk management framework to identify, monitor and minimize risks as also identify business opportunities.

The objectives and scope of the Risk Management Committee broadly comprises:

- Oversight of risk management performed by the executive management;
- Reviewing the BRM policy and framework in line with local legal requirements and SEBI guidelines;
- Reviewing risks and evaluate treatment including initiating mitigation actions and ownership as per a pre-defined cycle;
- Defining framework for identification, assessment, monitoring, mitigation and reporting of risks.

The composition of the Risk Management Committee as at March 31, 2016 and details of the Members participation at the Meetings of the Committee are as under:

Name of Member	Category	Attendance at the Risk Management Committee Meeting
NIL	NIL	NIL
NIL	NIL	NIL
NIL	NIL	NIL
NIL	NIL	NIL
NIL	NIL	NIL
NIL	NIL	NIL

### (f) Stakeholders' Relationship Committee

In compliance with the provisions of Section 178 of the Companies Act, 2013 and Clause 49 of the Listing Agreement, the erstwhile Shareholders & Investors Grievance Committee has been renamed as Stakeholders relationship Committee (SRC) by the Board of Directors at its meeting held on 27<sup>th</sup> April, 2015. The Committee is headed by Mr. Sandeep Kumar Khandelwal, Non-Executive Director to specifically look into redressal of Shareholder's/Investor's complaints. and Mr. Suresh Kumar Singhal are the other members of this committee. One meeting of the committee was held during the year 2015-16 i.e. on 10<sup>th</sup> March, 2016.



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

The terms of reference of the Committee are:

- transfer/transmission of shares/debentures and such other securities as may be issued by the Company from time to time;
- issue of duplicate share certificates for shares/debentures and other securities reported lost, defaced or destroyed, as per the laid down procedure;
- issue new certificates against subdivision of shares, renewal, split or consolidation of share certificates / certificates relating to other securities;
- issue and allot right shares / bonus shares pursuant to a Rights Issue / Bonus Issue made by the Company, subject to such approvals as may be required;
- to grant Employee Stock Options pursuant to approved Employees' Stock Option Scheme(s), if any, and to allot shares pursuant to options exercised;
- to issue and allot debentures, bonds and other securities, subject to such approvals as may be required;
- to approve and monitor dematerialization of shares / debentures / other securities and all matters incidental or related thereto;
- to authorize the Company Secretary and Head Compliance / other Officers of the Share Department to attend to matters relating to non-receipt of annual reports, notices, non-receipt of declared dividend / interest, change of address for correspondence etc. and to monitor action taken;
- monitoring expeditious redressal of investors / stakeholders grievances;
- all other matters incidental or related to shares, debenture

The composition of the Stakeholders' Relationship Committee as at March 31, 2016 and details of the Members participation at the Meetings of the Committee are as under:

Name of the Member	Category	Attendance at the Stakeholders' Relationship Committee meeting held on 10/03/2016
Sandeep Kumar Khandelwal	Independent Non-Executive Director	P
Neha Kumari	Independent Non-Executive Director	P
Suresh Kumar Singhal	Executive Director	P



No. of shareholder's complaints received during the year : Nil

No. of complaints not solved to the satisfaction of the Shareholders : Nil

No. of pending complaints : Nil

### **Independent Directors' Meeting**

Out of Five Directors on the Board; three Directors are Non Executive Independent Directors. The Directors on the Board who are Independent of Management meets as and when needed without members of management present and evaluate the performance of the Board and Management periodically.

During the year under review, the Independent Directors met on March 15, 2016, inter alia, to discuss:

- Evaluation of the performance of Non-independent Directors and the Board of Directors as a whole.
- Evaluation of the performance of the chairman of the Company, taking into account the views of the Executive and Non-executive directors.
- Evaluation of the quality, content and timelines of flow of information between the Management and the Board that is necessary for the Board to effectively and reasonably perform its duties.

All the Independent Directors were present at the Meeting.

### **Compliance Committee**

Since it is a non-mandatory committee so the Company has not constituted Compliance Committee.

### **Capex Committee**

Since it is a non-mandatory committee so the Company has not constituted Capex Committee.

### **FAMILIARISATION PROGRAMME FOR INDEPENDENT DIRECTORS**

The Company conducts formal induction program for its Independent Directors and provide suitable training to them which may include any or all of the following: Board roles, rights and responsibilities, whistle seeking to build working relationship among the Board members, Company's vision, mission, core values, Ethics and Corporate Governance practices, Familiarization with financial matters, management team and business operations, Meetings with stakeholders, and meetings with senior and middle management. The company supports Directors to continually update their skills and knowledge and improve their familiarity with the company and its business. The details of various familiarisation programmes provided to



the Directors of the Company is available on the Company's website  
[www.bampslsecurities.co.in](http://www.bampslsecurities.co.in)

## PERFORMANCE EVALUATION

Pursuant to the provisions of the Companies Act, 2013 and Clause 49 of the Listing Agreement, the Board has carried out the annual performance evaluation of its own performance, the Directors individually as well as the evaluation of the working of its Audit, Nomination and Remuneration and Stakeholders Relationship Committees. Evaluation of each director was done by all the other directors (excluding the director being evaluated). The criteria for evaluation have been determined by the Nomination Remuneration Committee. However, the actual evaluation process shall remain confidential and shall be a constructive mechanism to improve the effectiveness of the Board/Committee. An indicative list of factors that was evaluated as part of this exercise: Participation and contribution by a Director, Commitment (including guidance provided to senior management outside of Board/Committee meetings), Effective deployment of knowledge and expertise, Effective management of relationship with stakeholders, integrity and maintenance of confidentiality, independence of behaviour and judgement, and impact and influence. A structured questionnaire was prepared after taking into consideration inputs received from the Directors, covering various aspects of the Board's functioning such as adequacy of the composition of the Board and its Committees, Board culture, execution and performance of specific duties, obligations and governance.

The performance evaluation of the Independent Directors was carried out by the entire Board. The performance evaluation of the Chairman and the Non Independent Directors was carried out by the Independent Directors who also reviewed the performance of the Secretarial Department. The Directors expressed their satisfaction with the evaluation process.

## TERMS OF APPOINTMENT & REMUNERATION - CEO & MD

1.Mr. Bhisham Kumar Gupta, CEO & MD w.e.f. February 1<sup>st</sup> 2015

Period of Appointment	5 Years
Salary Grade	NIL
Allowances	NIL
Perquisites	NIL
Retrial Benefits	NIL
Performance Bonus	NIL
Sign- on Amount	NIL
Deferred Bonus	NIL
Minimum Remuneration	12000
Notice Period & Servance Fees	6 Months Notice
Other	NIL

Details of remuneration paid to the Directors are given in Form MGT - 9





## Disclosures:

### (a) Materially Significant related party transactions

There was no transaction of material nature with any of the related party, which is in conflict with the interest of the company.

### (b) Details of non compliance by the company, penalties, strictures imposed on the company by the Stock Exchange or SEBI or any authority on any matter related to capital markets during last 3 years.

There was no instance of levy of any penalties during the last three years.

## Compliance with Accounting Standards

The Financial Statements have been prepared in accordance with the Generally Accepted Accounting Principles in India (Indian GAAP). The company has prepared these financial statements to comply in all material respects with the Companies (Accounts) Rules, 2014 and the relevant provisions of the Companies Act, 2013. The significant accounting policies which are consistently applied have been set out in the Notes to the Financial Statements.

## Internal Controls

The Company has adequate internal control system, commensurate with the size of its operations. Adequate records and documents are maintained as required by laws. The Company's audit Committee reviewed the internal control system. All efforts are being made to make the internal control systems more effective. The company has strong internal control system which has contributed all around advantages to the members and all other associates with the affairs of the company.

## RECONCILIATION OF SHARE CAPITAL AUDIT

In terms of Clause 47 (c) of the Listing Agreement with the Stock Exchanges, certificate, on half-yearly basis, have been issued by a Company Secretary-in-practice with respect to due compliance of share transfer formalities by the Company. As required by the Securities & Exchange Board of India (SEBI), the Company Secretary-in-practice carried out a Reconciliation of Share Capital Audit to reconcile the total admitted capital with National Securities Depository Limited and Central Depository Services (India) Limited ("Depositories") and held in physical form with the total issued and listed capital. The Secretarial Auditor confirms that the total paid-up capital is in agreement with the aggregate of the total number of shares in physical form and the total number of shares in dematerialised form (held with Depositories). The auditors' Certificate in regard to the same is submitted to BSE Limited.



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

### CEO & MD / CFO Certification

The CEO & MD and the CFO have issued certificate pursuant to the provisions of Clause 49 of the Listing Agreement certifying that the financial statements do not contain any untrue statement and these statements represent a true and fair view of the Company's affairs. The said certificate is annexed and forms part of the Annual Report.

### CODE OF CONDUCT

The Board of Directors has approved a Code of Business Conduct which is applicable to the Members of the Board and all employees. The Code has been posted on the Company's website [www.bampslsecurities.co.in](http://www.bampslsecurities.co.in).

### VIGIL MECHANISM/WHISTLE BLOWER POLICY

The Board at its meeting held on 1<sup>st</sup> October, 2014, approved the Vigil Mechanism that provides a formal mechanism for all Directors, employees and vendors of the company to approach the Vigilance Officer/Chairman of the Audit Committee of the company and make protective disclosures about the unethical behaviour, actual or suspected fraud or violation of the Company's Code of Conduct. Under the Policy, every Director, employee or vendor of the company has an assured access to the Vigilance Officer/Chairman of the Audit Committee. Details of the vigil Mechanism are given in the Directors' Report and have been posted on the website of the Company [www.bampslsecurities.co.in](http://www.bampslsecurities.co.in).

### PREVENTION OF INSIDER TRADING

The Company has adopted a Code of Conduct for Prevention of Insider Trading with a view to regulate trading in securities by the Directors and designated employees of the Company. The Code requires pre-clearance for dealing in the Company's shares and prohibits the purchase or sale of Company shares by the Directors and the designated employees while in possession of unpublished price sensitive information in relation to the Company and during the period when the Trading Window is closed. The Compliance Officer is responsible for implementation of the Code. The Insider trading Code has been posted on the website of the Company [www.bampslsecurities.co.in](http://www.bampslsecurities.co.in).

All Board Directors and the designated employees have confirmed compliance with the Code.

#### 1 Means of Communication

- a) The company regularly intimates unaudited as well as audited financial results to the Stock Exchanges immediately after these are taken on record by the board. These financial results are normally published in the Financial Express (English Language) and Jansatta (Hindi Language). Further in compliance of Clause 52 of the Listing Agreement, the above information and other communication sent to Stock Exchanges have also been filed under Corporate Filing Dissemination System (CFDS) and are available at website. In accordance with the Circulars issued by the Ministry of Corporate Affairs on the Green Initiatives and amendment in Clause 32 of the Listing Agreements with the Stock Exchanges, the Company sends Annual Reports and notice of Annual General Meeting along with Proxy Form electronically/physically.
- b) Management Discussion and Analysis Report forms part of the Reports of the Directors.



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

Further results and other information are also displayed on the website of Company [www.bampslsecurities.co.in](http://www.bampslsecurities.co.in).

### Market Information

#### Listing on Stock Exchanges

The Company's shares are listed on the following Stock Exchanges and the Listing Fees have been paid to the Exchanges.

Name & Address of the Stock Exchanges	Stock Code/Scrip Code	ISIN Number for NSDL/CDSL (Dematerialized share)
BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400001	531591	INE802A01029

### SHARE TRANSFER SYSTEM / DIVIDEND AND OTHER RELATED MATTERS

#### Share Transfers System

The Company's shares are traded in the Stock Exchanges in Demat mode. These transfers are affected through NSDL & CDSL. Most of the transfers of shares take place in this form. Transfer of shares in the physical form is processed and approved weekly, and the certificates are returned to the shareholders with 15 days from the date of receipt, subject to documents being valid and complete in all respects. The Board has authorised Mr. Bhisham Kumar Gupta, Managing Director, to approve the transfer of shares.

#### Nomination facility for shareholding

As per the provisions of Section 72 of the Companies Act, 2013, facility for making nomination is available for Members in respect of shares held by them. Members holding shares in physical form may obtain nomination form, from the Company. Members holding shares in dematerialized form should contact their Depository Participants (DP) in this regard. Shareholders desirous of making nominations are requested to send their requests in Form No. SH-13 in Duplicate (which will be made available on request) to the R&T Agent.

#### Permanent Account Number (PAN)

Members who hold shares in physical form are advised that SEBI has made it mandatory that a copy of the PAN card of the transferee/s, members, surviving joint holders / legal heirs be furnished to the Company while obtaining the services of transfer, transposition, transmission and issue of duplicate share certificates.

#### Unclaimed Dividends

Pursuant to Section 125 of the Companies Act, 2013, dividends that are unpaid / unclaimed for a period of seven years from the date they become due for payment are required to be transferred by the Company to the Investor Education & Protection Fund (IEPF) administered by the Central Government. So no unclaimed dividend is there.



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

No dividend was declared by the company for the Financial Year 2015-16

### Pending Investors' Grievances

Any Member / Investor, whose grievance has not been resolved satisfactorily, may kindly write to the Company at the Registered Office with a copy of the earlier correspondence.

Address for Correspondence is given hereunder:

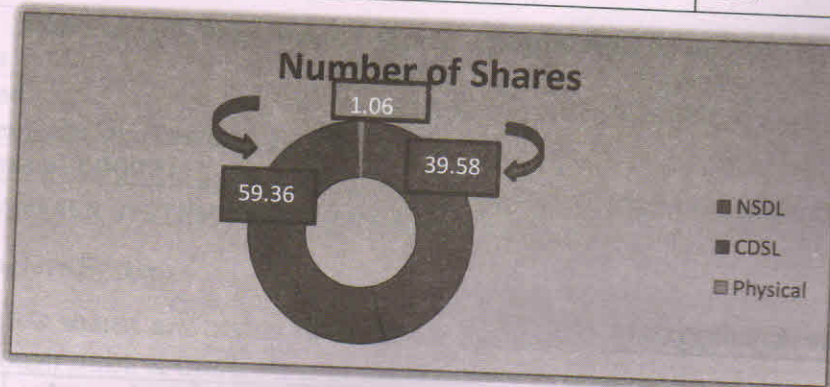
#### **Registered Office:**

Bampsl Securities Ltd.  
100-A, Cycle Market, Jhandewalan Extn.  
New Delhi-110055  
Ph. No. 011-23556436  
E-Mail : [bampslsecurities@yahoo.co.in](mailto:bampslsecurities@yahoo.co.in)

S. No.	Nature of Queries/Compliant	Pending as on April 1,2015	Received during the year	Redressed during the year	Pending as on March 31, 2016
1	Transfer/Transmission of Duplicate Share Certificate	NIL	NIL	NIL	NIL
2	Non-receipt of Dividend	NIL	NIL	NIL	NIL
3	Dematerialisation/Rematerialisation of Shares	NIL	NIL	NIL	NIL
4	Complaints received from:	NIL	NIL	NIL	NIL
	SEBI	NIL	NIL	NIL	NIL
	Stock Exchanges/NSDL/CDSL	NIL	NIL	NIL	NIL
	ROC/MCA/Others	NIL	NIL	NIL	NIL
	Advocates	NIL	NIL	NIL	NIL
	Consumer Forum/Court Case	NIL	NIL	NIL	NIL
5	Others	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

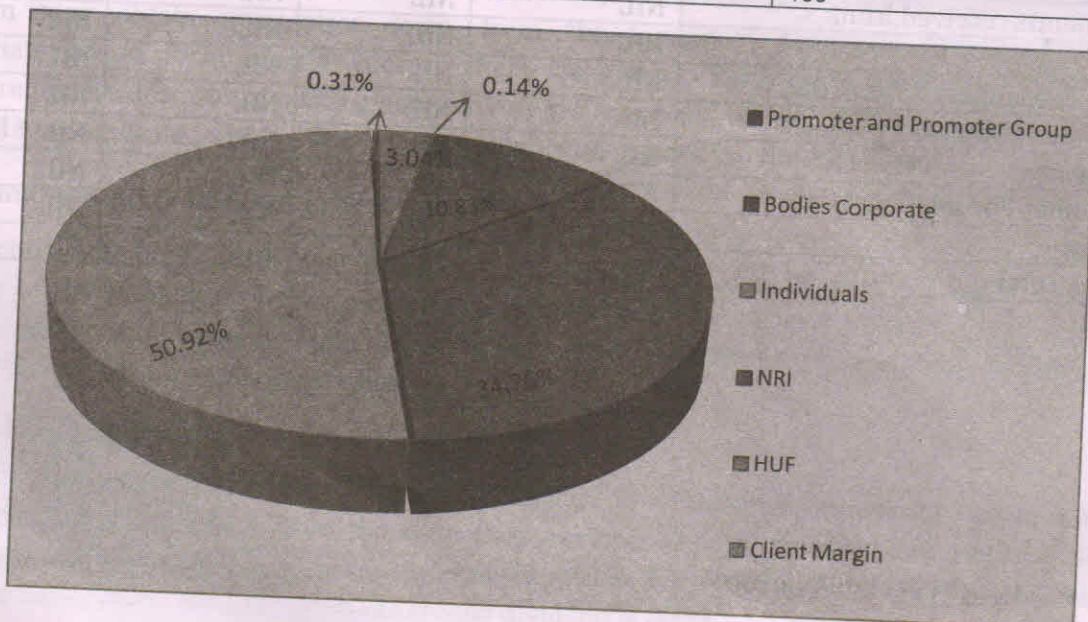
## Dematerialization of Shares and Liquidity

Particular	Number of Shares	Percentage (%)
<b>Dematerialization:</b>		
NSDL	117153543	39.58
CDSL	175672918	59.36
<b>Sub-Total:</b>	<b>292826461</b>	<b>98.94</b>
Physical:	3131454	1.06
<b>Total:</b>	<b>295957915</b>	<b>100</b>



## Brief Share Holding Pattern as on 31<sup>st</sup> March 2016 :

Category	No. of Shares Held	% of Share Holding
Promoter and Promoter Group	32,057,296	10.83
Bodies Corporate	1,02,871,153	34.76
Individuals	1,50,715,534	50.92
NRI	9,03,162	0.31
HUF	90,10,030	3.04
Client Margin	4,00,740	0.14
<b>Total</b>	<b>295,957,915</b>	<b>100</b>





## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

Statement showing Shareholding of more than 1% of the Capital as on March 31, 2016

Sr. No.	Name of Shareholders	No. of Shares	Percentage of Capital
1	SURENDRA KUMAR GUPTA	5055555.000	1.7082
2	AKG SECURITIES AND CONSULTANCY LTD.	5000000.000	1.6894
3	ADROIT FIN SER PVT LTD	3070743.000	1.0376
4	Vidyasagar Mahavirprasad sah	7915886.000	2.6747
5	ASHLAR SECURITIES PVT LTD	7000000.000	2.3652
6	SATINDER PAUL GUPTA	3012608.000	1.0179
7	SAHIL GUPTA	5350000.000	1.8077
8	NISHIL GUPTA	2991963.000	1.0109
9	AMAN GUPTA	3900000.000	1.3178
10	SMC GLOBAL SECURITIES LTD.	4600000.000	1.5543
11	BHAIJEE PORTFOLIO LTD	12520726.000	4.2306
12	JAGDISH CHAND GUPTA	5500000.000	1.8584
13	SATISH KUMAR GUPTA	3406622.000	1.1510
14	SATENDER KUMAR GOYAL	8234144.000	2.7822
15	AMIT JAIN	7557644.000	2.5536
16	BHAIJEE COMMODITIES PVT. LTD.	34564854.000	11.6790
17	BHAIJEE OVERSEAS LTD.	14807969.000	5.0034
18	GG OVERSEAS PVT. LTD.	8143510.000	2.7516
19	NITIN GUPTA	7690823.000	2.5986

### General Body Meetings

Particulars of last three Annual general meetings.

AGM	Year ended 31st March,	Venue	Date	Time	Special Resolutions Passed
18 <sup>TH</sup>	2013	Khasra No. 24/15 Kakrola, New Delhi- 110043	30.09.2013	10.00 a.m.	To consider change of name of company from Bampsl Securities limited to Bampsl India Limited
19 <sup>TH</sup>	2014		29.09.2014	10.00 a.m	NIL
20 <sup>TH</sup>	2015		29.09.2015	10.00 a.m	NIL

### Extraordinary General Meeting (EGM)

The Company did not held any Extraordinary General Meeting during the Financial Year.

During the year under review, no resolution has been passed through the exercise of postal ballot.



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

Meetings for approval of quarterly and annual financial results were held on the following dates

Quarter	Date of Board Meeting
1 <sup>st</sup> Quarter	27 <sup>th</sup> April 2015
2 <sup>nd</sup> Quarter	17 <sup>th</sup> July 2015
3 <sup>rd</sup> Quarter	14 <sup>th</sup> November 2015
4 <sup>th</sup> Quarter	14 <sup>th</sup> February 2016

## E-Voting Facility to members

In compliance with provisions of Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014, the Company is pleased to provide members the facility to exercise their right to vote at the 21st Annual General Meeting (AGM) by electronic means and the business may be transacted through e-Voting Services provided by National Securities Depository Limited (NSDL).

## For BamPSL Securities Limited

Sd/-  
(Bhisham Kumar Gupta)  
Managing Director  
DIN : 00110915  
Address : C-7/100, Yamuna Vihar,  
Delhi-110053

Date : 25.08.2016

Place: New Delhi



## MANAGEMENT DISCUSSION AND ANALYSIS REPORT

We have pleasure in submitting the Management Discussion & Analysis Report on the company's Business. We have attempted to include discussions on all specified matters to the extent relevant, or within such limits that in our opinion are imposed by the Company's own competitive Position and Market conditions in all areas of the company's operations.

### ■ FORWARD-LOOKING STATEMENTS

This report contains forward-looking statements based on certain assumptions and expectations of future events. The Company, therefore, cannot guarantee that these assumptions and expectations are accurate or will be realized. The Company's actual results, performance or achievements can thus differ materially from those projected in any such forward-looking statements. The Company assumes no responsibility to publicly amend, modify or revise any forward looking statements, on the basis of any subsequent developments, information or events.

### ■ INDUSTRY STRUCTURE AND DEVELOPMENTS

The company is engaged in the business of dealing and investing in shares and other securities along with financial services like spot financing, short term financial accommodation, and in other similar financial sector.

### ■ OPPORTUNITIES AND THREATS

Our strength is our determination and team work, weakness is the low equity base, opportunities are multiples and threats are the vibrations in the economy and government policies.

The financial highlights are as under :

Sales for the Year 2015-2016	463,928,866.62
Provision for taxation	
Profit after tax	4,013,938.73
Paid up equity share capital as on 31 <sup>st</sup> March 2016	295957915

### ■ SEGMENT WISE REPORTING

During the year under review, Company has achieved all sales through the business of dealing and investing in shares and other securities along with financial services like spot financing, short term financial accommodation, long term financial accommodation, and in other similar financial sector.

### ■ OUTLOOK

As on 31<sup>st</sup> March, 2016, the issued, subscribed and paid up share capital of the company stood at Rs. 29,59,57,915, comprising 29,59,57,915 Equity shares of Rs. 1 each. The Sales during the year 2015-16 are higher at Rs. 463,928,866.62 as compared to Rs. 83,600,349.57 in the previous year. Despite the current market scenario, the company has maintained adequate margin of profit.





## ■ RISKS AND CONCERNS

In any business, risks and prospects are inseparable. As a responsible management, the Company's principal Endeavour is to maximize returns. The Company continues to take all steps necessary to minimize its expenses through detailed studies and interaction with experts.

## ■ INTERNAL CONTROL AND THEIR ADEQUACY

The Company has adequate internal control system, commensurate with the size of its operations. Adequate records and documents are maintained as required by laws. The Company's audit Committee reviewed the internal control system. All efforts are being made to make the internal control systems more effective. The company has strong internal control system which has contributed all around advantages to the members and all other associates with the affairs of the company.

## ■ DISCUSSION ON FINANCIAL PERFORMANCE WITH RESPECT TO OPERATIONAL PERFORMANCE

For F.Y. 2015-16, Company's Profit after tax stood at Rs. 4,013,938.73 vis-à-vis Rs. 1,696,950.71 in the previous year with an increase in the Revenue of the Company from Rs. 83,600,349.57 in the previous year to Rs. 463,928,866.62 in the Current Year.

## ■ MATERIAL DEVELOPMENTS IN HUMAN RESOURCES/INDUSTRIAL RELATIONS FRONT, INCLUDING NUMBER OF PEOPLE EMPLOYED

The Company has sufficient well trained number of staff to handle the day-to-day business operations. Strength of the business of an institution solely depends on the skill and competitiveness of its workforce. The company has specialized personnel in all areas of its operations, which strength is reflected by the financial results under report.

## ■ CAUTIONARY STATEMENT

Statement in this Management's Discussion and Analysis detailing the Company's objectives, projections, estimates, estimates, expectations or predictions are "forward-looking statements" within the meaning of applicable securities laws and regulations. Actual results could differ materially from those expressed or implied.



**Compliance with Code of Business Conduct and Ethics**

As provided under Clause 49 of the Listing Agreement with the Stock Exchanges, the Board Members and the Senior Management Personnel have confirmed compliance with the Code of Conduct and Ethics for the year ended **December 31, 2015**.

**For Bampsl Securities Limited**

**Sd/-**  
**(Bhisham Kumar Gupta)**  
**Managing Director**  
**DIN : 00110915**  
**Address : C-7/100, Yamuna Vihar,**  
**Delhi-110053**

**Place : New Delhi**  
**Date: 25.08.2016**

**DECLARATION BY THE MANAGING DIRECTOR UNDER CLAUSE 49 OF THE LISTING AGREEMENT**

To,  
The Members  
Bampsl Securities Ltd.  
I hereby declare that all the Directors and the designated employees in the senior management of the Company have affirmed compliance with their respective codes for the Financial Year ended March 31, 2016.

**For Bampsl Securities Limited**

**Sd/-**  
**(Bhisham Kumar Gupta)**  
**Managing Director**  
**DIN : 00110915**  
**Address : C-7/100, Yamuna Vihar,**  
**Delhi-110053**

**Place : New Delhi**  
**Date: 25.08.2016**



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

### CERTIFICATION BY CEO/CFO UNDER CLAUSE 49 V OF THE LISTING AGREEMENT

The Board of Directors,

**Bampsl Securities Ltd.**

We have reviewed the financial statements and the cash flow statement of Bampsl Securities Ltd. for the year ended March 31, 2016 and to the best of our knowledge and belief:

(a) (i) these statements do not contain any materially untrue statement or omit any material fact or contain statements that might be misleading;

(ii) these statements together present a true and fair view of the Company's affairs and are in compliance with existing Accounting Standards, applicable laws and regulations.

(b) There are, to the best of our knowledge and belief, no transactions entered into by the Company during the year which are fraudulent, illegal or violative of the Company's Code of Conduct.

(c) We accept responsibility for establishing and maintaining internal controls for financial reporting and that we have evaluated the effectiveness of the internal control systems of the Company pertaining to financial reporting and have disclosed to the Auditors and the Audit Committee, deficiencies in the design or operation of such internal controls, if any, of which we are aware and the steps we have taken or propose to take for rectifying these deficiencies.

(d) We have indicated to the Auditors and the Audit Committee:

(i) significant changes in internal control over financial reporting during the year;

(ii) significant changes in accounting policies made during the year and the same have been disclosed in the notes to the financial statements; and

(iii) instances of significant fraud of which we have become aware and the involvement therein, if any, of the management or an employee having a significant role in the Company's internal control system over financial reporting.

Sd/-

**(Bhisham Kumar Gupta)**

**Managing Director**

**DIN : 00110915**

**Address : C-7/100, Yamuna Vihar,**

**Delhi-110053**

**Place : New Delhi**

**Date: 25.08.2016**



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

## AUDITORS CERTIFICATE OF CORPORATE GOVERNANCE

To,  
The Members,  
Bampsl Securities Ltd.

We have examined the compliance of conditions of Corporate Governance by ABC Co. Ltd. for the financial years ended March 31, 2016 as stipulated in clause 49 of the Listing Agreement of the said Company with the Stock Exchange(s).

The compliance of conditions of Corporate Governance is the responsibility of the management. Our examination was limited to procedures and implementation thereof, adopted by the Company for ensuring the compliance of the conditions of the Corporate Governance. It is neither an audit nor an expression of opinion on the financial statements of the Company.

In our opinion, and to the best of our information and according to the explanations given to us, we certify that the Company has complied with the conditions of Corporate Governance as stipulated in the abovementioned Listing Agreement.

We further state that such compliance is neither an assurance as to the future viability of the Company nor the efficiency or effectiveness with which the management has conducted the affairs of the Company.

**For G.C. Agarwal & Associates**  
Chartered Accountants  
(Firm Registration No. 017851N)

Sd/-  
(G.C. Agarwal)  
Prop.  
Membership No. 083820

Date : 25.08.2016  
Place : New Delhi



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

FORM A

(Pursuant to Clause 31(a) of Listing Agreement)

Format of covering letter of annual audit report to be filed with the stock exchange

S. No.	Particulars	Details
1.	Name of Company	Bampsl Securities Limited
2.	Annual Financial Statements for the year ended	31 <sup>st</sup> march, 2016
3.	Type of audit observation	Un-qualified
4.	Frequency of observation	No observation
5.	To be signed by CEO/Managing Director Auditors of the Company Audit Committee Chairman	

For Bampsl Securities Ltd.

Sd/-  
(Bhisham Kumar Gupta)  
Managing Director

Sd/-  
(Sandeep Kumar Khandelwal)  
Chairman of Audit Committee  
(During the period under review)

For G.C. Agarwal & Associates  
Chartered Accountants  
(Firm Registration No. 017851N)

Sd/-  
(G.C. Agarwal)  
Prop.  
Membership No. 083820



## AUDITOR'S REPORT

TO,  
THE MEMBERS OF  
BAMPSL SECURITIES LIMITED

### **Report on Financial Statements**

We have audited the accompanying financial statement of **BAMPSL SECURITIES LIMITED** (The Company), which comprise the Balance Sheet as at 31st March 2016, the Statement of Profit & Loss Account and Cash Flow Statement for the year then ended and the summary of significant accounting policies and other explanatory information.

### **Management's Responsibility for the financial statements**

The Company's Board of Directors is responsible for the matters stated in Section 134(5) of the Companies Act, 2013 ("the Act") with respect to preparation and presentation of these financial statements that give a true and fair view of the financial position, financial performance and cash flows of the Company in accordance with the accounting principles generally accepted in India, including the accounting standards specified under Section 133 of the Act, read with Rule 7 of the Companies (Accounts) Rule 2014. This responsibility also includes maintenance of adequate accounting records in accordance with the provisions of the Act for safeguarding the assets of the company and for preventing and detecting frauds and other irregularities, selection and application of appropriate accounting policies, making judgments and estimates that are reasonable and prudent, and design, implementation and maintenance of adequate internal financial controls, that were operating effectively for ensuring the accuracy and completeness of the accounting records, relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audit. We have taken into account the provisions of the Act, the accounting and auditing standards and matters which are required to be included in the audit report under the provisions of the Act and the Rules made there under. We conducted our audit in accordance with the standard on auditing specified under Section 143(10) of the Act. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risk of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessment, the auditor considers internal financial control relevant to the Company's preparation and fair presentation of the financial statements that give a true and fair view, in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on whether the Company has in place an adequate internal financial control system over financial reporting and operating effectiveness of such controls. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion on the financial statements.

### **Opinion**

In our opinion and to the best of our information and according to explanations given to us, the financial statements are subject to *Note no. 15 regarding non provision for gratuity in accordance with AS-15 issued by ICAI* give the information required by the Act in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India.

- (a) In the case of Balance Sheet, of the state of affairs of the Company as at 31st March 2016,
- (b) In the case of the Statement of Profit and Loss Account, of Profit for the year ended on that date, and



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

(c) In the case of Cash Flow Statement of the cash flows for the year ended on that date.

### Report on Other Legal and Regulatory Requirements

1. As required by the Companies (Auditors Report) order, 2015 (the order) issued by the Central Government of India in terms of sub-section (11) of Section 143 of the Act, we annex hereto a statement on the matters specified in Paragraph 3 and 4 of the said order, to the extent applicable.
2. As required by Section 143(3) of the Act, we report that:
  - a) We have sought and obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purpose of our audit.
  - b) In our opinion, proper books of account as required by law have been kept by the company so far as it appears from our examination of those books.
  - c) The Balance Sheet, the Statement of Profit & Loss, and the Cash Flow Statement dealt with by this report are in agreement with the books of account.
  - d) In our opinion, the aforesaid financial statements comply with the Accounting Standards specified under section 133 of the Act, read with Rule 7 of the companies (Accounts) Rules 2014.
  - e) On the basis of the written representations received from the Directors as at 31<sup>st</sup> March, 2016 and taken on record by the Board of Directors, none of the directors is disqualified as on 31<sup>st</sup> March, 2016 from being appointed as a director in term of section 164(2) of the Act.
  - f) With respect to the adequacy of internal financial controls over financial reporting of the Company and operating effectiveness of such controls, refer to our separate report in 'Annexure B'; and
  - g) With respect to the other matters to be included in the Auditor's Report in accordance with Rule 11 of the Companies (Audit and Auditors) Rules, 2014, in our opinion and to the best of our information and according to the explanations given to us,
    - i. The Company has disclosed the impact of pending litigations on its financial position in its financial Statements- Refer Note-21 to the financial statements.
    - ii. The Company has made provision, as required under applicable law or accounting standards, for material foreseeable losses, if any, on long term contracts including derivative contracts. Refer Note-22 to the financial statements.
    - iii. There were no amounts which were required to be transferred to the Investor Education and Protection Fund by the Company.

**For G.C. Agarwal & Associates**  
Chartered Accountants  
Firm Regn. No. 017851N

Sd/-  
**(G.C. Agarwal)**  
Prop.  
M.No. 083820

Place: Delhi  
Date: 30.05.2016



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

### ANNEXURE A TO THE AUDITORS' REPORT

(The Annexure referred to in Independent Auditors' Report to the members of BAMPSL Securities Ltd on the financial statements for the year ended 31<sup>st</sup> March, 2016)

#### 1. In respect of Fixed Assets:

- The Company has maintained proper records showing full particulars including quantitative details and situation of fixed assets.
- As explained to us, the fixed assets have been physically verified by the management during the year. We are informed that no material discrepancies were noticed on such verification.
- As per information and explanation given to us and on the basis of our examination of the records of the Company, land is held by the Company on the basis of Agreement to sell, the General Power of Attorney is in the name of Managing Director of the Company.

#### 2. In respect of the inventories:

As explained to us, the inventories of shares have been physically verified by the management and if the shares were in dematerialized form, the same were verified with demat accounts, at reasonable intervals during the year. No discrepancy was noticed on verification between the physical verification and the book records.

3. The Company has not granted any loans, secured or unsecured to companies, firms, limited liability partnership or other parties covered in the register maintained under section 189 of the Act, 2013 ('the Act').

4. In our opinion and according to the information and explanations given to us, the company has complied with the provisions of Section 185 and 186 of the Act, with respect to the loans and investment made.

5. In our opinion and according to the information and explanations given to us, the Company has not accepted any deposits from the public.

6. The Central Government has not prescribed the maintenance of cost records under section 148(1) of the Act, for any services rendered by the Company.

#### 7. In respect of statutory dues:

a) According to the records of the Company and information and explanation given to us and on the basis of our examination of the records of the Company, amount deducted/accrued in the books of account in respect of undisputed statutory dues including Provident fund, Income Tax, Sales Tax, value added tax, Custom D duty, service tax, Excise duty, Cess and other material statutory dues have been regularly deposited during the year by the Company with appropriate authorities. There are no undisputed amounts payable in respect of the aforesaid dues were outstanding as at 31<sup>st</sup> March, 2016 for a period of more than six months from the date of becoming payable.

b) According to the information and explanations given to us, following amounts have not been deposited on account of dispute.

Nature of Statute	Nature of the dues	Rs. In Crore	Period to which the matter pertains	Forum where matter is pending
Income Tax Act	Income Tax	6.48	Assessment Year 2012-13	Commissioner of Income Tax (Appeals)

8. The Company does not have any loans or borrowings from any financial institutions, banks, government or debenture holders during the year. Accordingly, paragraph 3(viii) of the order is not applicable.

9. The Company did not raise any money by way of initial public offer (including debt instruments) and term loans during the year. Accordingly, paragraph 3 (ix) of the Order is not applicable.

10. According to the information and explanations given to us, no material fraud by the Company or on the Company by its officers or employees has been noticed or reported during the course of our audit.

11. According to the information and explanations given to us and based on our examination of the records of the Company, the Company has paid/provided for managerial remuneration in accordance with the requisite approvals mandated by the provisions of section 197 read with Schedule V to the Act.

12. In our opinion and according to the information and explanations given to us, the Company is not a nidhi company. Accordingly, paragraph 3(xii) of the Order is not applicable.

13. According to the information and explanations given to us and based on our examination of the records of the Company, transactions with the related parties are in compliance with sections 177 and 188 of the Act where applicable and details of such transactions have been disclosed in the financial statements as required by the applicable accounting standards.





# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

14. According to the information and explanations given to us and based on our examination of the records of the Company, the Company has not made any preferential allotment or private placement of shares or fully convertible debentures during the year.
15. According to the information and explanations given to us and based on our examination of the records of the Company, the Company has not entered into non-cash transactions with directors or persons connected with him. Accordingly, paragraph 3(xv) of the Order is not applicable.
16. The Company is registered under section 45-IA of the Reserve Bank of India Act, 1934.

**For G.C. Agarwal & Associates**  
Chartered Accountants  
Firm Regn. No. 017851N

Place: Delhi  
Date: 30.05.2016

Sd/-  
**(G.C. Agarwal)**  
Prop.  
M. No. 083820

Form where matter is pending	Particulars	Assessment Year	Amount	Period of time	Remarks
Commissioner of Income Tax (Special)		2013-14	0.48		

8. The Company has not taken any loans or borrowings from any financial institutions, bank, Government or other financial institutions during the year. Accordingly, paragraph 3(vii) of the Order is not applicable.

9. The Company has not issued any public offer (including debt instruments) and has not raised any fresh equity during the year. Accordingly, paragraph 3(x) of the Order is not applicable.

10. According to the information and explanations given to us, no material fraud by the Company or on the Company has been reported or reported during the course of our audit.

11. According to the information and explanations given to us and based on our examination of the records of the Company, the Company has not provided for managerial remuneration in accordance with the request of the shareholders as mentioned in section 197 read with Schedule V to the Act.

12. In our opinion and according to the information and explanations given to us, the Company is not a fit company to be recommended for grant of certificate of registration under section 45-IA of the Act.

13. According to the information and explanations given to us and based on our examination of the records of the Company, transactions with the related parties are in compliance with sections 177 and 188 of the Act. Where applicable and details of such transactions have been disclosed in the financial statements as required by the applicable accounting standards.



## **Annexure - B to the Auditors' Report**

Report on Internal Financial Controls under Clause (i) of Sub-section 3 of Section 143 of the Companies Act, 2013 ("the Act")

We have audited the internal financial controls over financial reporting of **Bampsl Securities Limited** ("the Company") as of 31st March 2016 in conjunction with our audit of the standalone financial statements of the Company for the year ended on that date.

### **Management's Responsibility for the Financial Statements**

The Company's management is responsible for establishing and maintaining internal financial controls based on the internal control over financial reporting criteria established by the Company considering the essential components of internal control stated in the Guidance Note on Audit of Internal Financial Controls over Financial Reporting issued by the Institute of Chartered Accountants of India ('ICAI'). These responsibilities include the design, implementation and maintenance of adequate internal financial controls that were operating effectively for ensuring the orderly and efficient conduct of its business, including adherence to the company's policies, the safeguarding of its assets, the prevention and detection of frauds and errors, the accuracy and completeness of the accounting records, and the timely preparation of reliable financial information, as required under the Companies Act, 2013.

### **Auditors' Responsibility**

Our responsibility is to express an opinion on the Company's internal financial controls over financial reporting based on our audit. We conducted our audit in accordance with the Guidance Note on Audit of Internal Financial Controls over Financial Reporting (the "Guidance Note") and the Standards on Auditing, issued by the ICAI and deemed to be prescribed under section 143(10) of the Companies Act, 2013, to the extent applicable to an audit of internal financial controls, both applicable to an audit of Internal Financial Controls and, both issued by the Institute of Chartered Accountants of India. Those Standards and the Guidance Note require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether adequate internal financial controls over financial reporting was established and maintained and if such controls operated effectively in all material respects.

Our audit involves performing procedures to obtain audit evidence about the adequacy of the internal financial controls system over financial reporting and their operating effectiveness. Our audit of internal financial controls over financial reporting included obtaining an understanding of internal financial controls over financial reporting, assessing the risk that a material weakness exists, and testing and evaluating the design and operating effectiveness of internal control based on the assessed risk. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion on the Company's internal financial controls system over financial reporting.

### **Meaning of Internal Financial Controls over Financial Reporting**

A company's internal financial control over financial reporting is a process designed to provide reasonable assurance regarding the reliability of financial reporting and the preparation of financial statements for external purposes in accordance with generally accepted accounting principles. A company's internal financial control over financial reporting includes those policies and procedures that (1) pertain to the maintenance of records that, in reasonable detail, accurately and fairly reflect the transactions and dispositions of the assets of the company; (2) provide reasonable assurance that transactions are recorded as necessary to permit preparation of financial statements in accordance with generally accepted accounting principles, and that receipts and expenditures of the company are being made only in accordance with authorizations of management and directors of the company; and (3) provide reasonable assurance regarding prevention or timely detection of unauthorized acquisition, use, or disposition of the company's assets that could have a material effect on the financial statements.



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

## Inherent Limitations of Internal Financial Controls Over Financial Reporting

Because of the inherent limitations of internal financial controls over financial reporting, including the possibility of collusion or improper management override of controls, material misstatements due to error or fraud may occur and not be detected. Also, projections of any evaluation of the internal financial controls over financial reporting to future periods are subject to the risk that the internal financial control over financial reporting may become inadequate because of changes in conditions, or that the degree of compliance with the policies or procedures may deteriorate.

## Opinion

In our opinion, the Company has, in all material respects, an adequate internal financial controls system over financial reporting and such internal financial controls over financial reporting were operating effectively as at 31<sup>st</sup> March 2016, based on the internal control over financial reporting criteria established by the Company considering the essential components of internal control stated in the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting issued by the Institute of Chartered Accountants of India.

**For G.C. Agarwal & Associates**  
Chartered Accountants  
Firm Regn. No. 017851N

Place: Delhi  
Date: 30.05.2016

Sd/-  
**(G.C. Agarwal)**  
Prop.  
M. No. 083820



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

<b>BAMPSL SECURITIES LIMITED</b>				
<b>Balance Sheet as at 31 March, 2016</b>				
	Particulars	Note No.	As at 31 March, 2016	As at 31 March, 2015
			Amount In Rs.	Amount In Rs.
<b>A</b>	<b>EQUITY AND LIABILITIES</b>			
	<b>Shareholders' funds</b>			
	(a) Share capital	2	2959,57,915.00	2959,57,915.00
	(b) Reserves and surplus	3	772,90,414.99	732,76,476.26
			<b>3732,48,329.99</b>	<b>3692,34,391.26</b>
	<b>Non-current liabilities</b>			
	(a) Deferred tax liabilities (net)		36,662.70	1,09,911.43
			<b>36,662.70</b>	<b>1,09,911.43</b>
	<b>Current liabilities</b>			
	(a) Trade payables		-	-
	(b) Other current liabilities	4	4,07,797.40	1,75,225.00
	(c) Short-term provisions	5	24,77,398.53	4,32,142.00
			<b>28,85,195.93</b>	<b>6,07,367.00</b>
	<b>TOTAL</b>		<b>3761,70,188.62</b>	<b>3699,51,669.69</b>
<b>B</b>	<b>ASSETS</b>			
	<b>Non-current assets</b>			
	<b>Fixed assets</b>			
	Tangible assets	6	54,01,726.68	49,20,275.06
			<b>54,01,726.68</b>	<b>49,20,275.06</b>
	<b>Current assets</b>			
	(a) Inventories	7	22,03,696.90	1909,21,470.46
	(b) Trade receivables	8	1846,85,139.85	1,638.80
	(c) Cash and cash equivalents	9	7,87,324.34	8,83,452.37
	(d) Short-term loans and advances	10	1825,51,436.00	1728,56,726.00
	(e) Other current assets	11	5,40,864.85	3,68,107.00
			<b>3707,68,461.94</b>	<b>3650,31,394.63</b>
	<b>TOTAL</b>		<b>3761,70,188.62</b>	<b>3699,51,669.69</b>
	<b>Summary of significant accounting policies</b>	1		

Notes referred to above form an integral part of the Balance Sheet and should be read in conjunction therewith

**For and on behalf of the Board of Directors**

Sd/-  
**BHISHAM KUMAR GUPTA**  
Managing Director  
DIN:-00110915

Sd/-  
**VINUBHAI PRABHUDAS PATEL**  
Director  
DIN 00906780

Sd/-  
**PRERNA BAJAJ**  
Company Secretary  
Membership No. A36667

**Auditors' Report**  
As Per our report of even date attached  
For G.C. Agarwal & Associates  
Chartered Accountants  
Firm Regn. No 017851N

Sd/-  
**G. C. Agarwal**  
Proprietor  
M. No. 083820

Place :- New Delhi  
Dated:- 30-05-2016



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

## BAMPSL SECURITIES LIMITED

### Statement of Profit and Loss for the year ended 31 March, 2016

Particulars	Note No.	For the year ended	For the year ended
		31 March, 2016	31 March, 2015
		Amount In Rs.	Amount In Rs.
<b>A REVENUE FROM OPERATIONS</b>			
Sales of Stock in trade		4532,78,804.62	719,56,985.57
Interest Income(net)		106,50,062.00	116,43,289.00
Other income		-	75.00
<b>Total</b>	12	<b>4639,28,866.62</b>	<b>836,00,349.57</b>
<b>Expenses</b>			
Purchase of Stock in trade		2662,42,844.97	698,03,977.14
Change in Inventories of Stock in Trade		1887,17,773.56	135,15,146.17
Employee benefits expense	13	7,84,836.20	6,10,541.00
Other expenses	14	17,54,353.98	20,79,628.25
<b>Total</b>		<b>4574,99,808.71</b>	<b>860,09,292.56</b>
<b>Profit before Interest, Depreciation &amp; Tax</b>		64,29,057.91	(24,08,942.99)
Depreciation		4,67,348.38	2,16,439.20
<b>Profit before tax</b>		59,61,709.53	(26,25,382.19)
<b>Tax expense:</b>			
(a) Current tax		20,21,019.53	-
(b) Adjustment for Previous Years Taxes		-	43,22,848.00
(c) Deferred tax		(73,248.73)	515.10
<b>Profit for the year</b>		40,13,938.73	16,96,950.71
<b>Earnings per equity Share(Rs.)</b>			
Basic		0.01	0.01
Diluted		0.01	0.01
<b>Summary of significant accounting policies</b>	1		

Notes referred to above form an integral part of the Balance Sheet and should be read in conjunction therewith

For and on behalf of the Board of Directors

Sd/-  
**BHISHAM KUMAR GUPTA**  
 Managing Director  
 DIN:-00110915

Sd/-  
**VINUBHAI PRABHUDAS PATEL**  
 Director  
 DIN 00906780

Sd/-  
**PRERNA BAJAJ**  
 Company Secretary  
 Membership No. A36667

**Auditors' Report**  
 As Per our report of even date attached  
 For G.C. Agarwal & Associates  
 Chartered Accountants  
 Firm Regn. No 017851N

Sd/-  
**G. C. Agarwal**  
 Proprietor  
 M. No. 083820

Place :- New Delhi  
 Dated:- 30-05-2016



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

## BAMPSL SECURITIES LIMITED CASH FLOW STATEMENT FOR THE YEAR ENDED ON 31st MARCH 2016

Amount in Thousands

Particulars	As at 31 March 2016	As at 31 March 2015
<b>A. Cash Flow from operating Activities</b>		
Net Profit	4,013.94	1,696.95
Adjustment for non cash expenditure		
: Depreciation	467.35	216.44
: Provision for Deferred Tax Liabilities	(73.25)	0.52
: Misc Expenses w/o	-	511.24
: Provision for Income Tax	2,021.02	-
: Payment of Income Tax For Earlier Year	-	(39.15)
: Adjustment Previous Year Tax	-	(4,322.85)
	2,415.12	(3,633.80)
Operating Profit before Working Capital changes	6,429.06	(1,936.85)
Add/Less		
: Increase/Decrease in Stock	1,88,717.77	13,515.15
: Decrease/Increase in Trade Payable	0.00	(5,574.95)
: Decrease/Increase in Current Liabilities	232.57	(111.11)
: Decrease/Increase in Short term provision	24.24	432.14
: Decrease/Increase in Loan & Advances	(9,694.71)	(6,569.97)
: Decrease/Increase in Current Assets	(172.76)	36.00
: Decrease/Increase in Trade Receivables	(1,84,683.50)	(1.64)
Net Cash from operating activities	852.67	(211.23)
<b>B. Cash Flow from Investing Activities</b>		
Increase in Fixed Assets	(948.80)	-
<b>C. Cash Flow from Financial Activities</b>		
Add/Less		
Capital Issue Expenses		
Increase In Share Capital		
Net Decrease in Cash	(96.13)	(211.23)
Cash & Cash Equivalent(Opening Balance)	883.45	1,094.68
Cash & Cash Equivalent(Closing Balance)	787.32	883.45

As per our report of even date attached

**For G.C. Agarwal & Associates**

**Chartered Accountants**

**Firm Regn. No. 017851N**

Sd/-

**BHISHAM KUMAR GUPTA**

Managing Director

DIN 00110915

Sd/-

**G.C. Agarwal**

Prop.

**M.No 083820**

**For & on behalf of Board of Directors**

Sd/-

**VINU BHAI PATEL**

Director

DIN 00906780

Sd/-

**PRERNA BAJAJ**

Company Secretary

Membership no A36667

**Place :- New Delhi**

**Date :- 30-05-2016**



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

Note No.1

## SIGNIFICANT ACCOUNTING POLICIES AND NOTES ON ACCOUNTS

(a) **Basis of Accounting**

The financial statements have been prepared in accordance with the generally accepted accounting principles in India (Indian GAAP). The Company has prepared these financial statements to comply in all material respect with the companies (Accounts) Rules 2014 and the relevant provisions of the Companies Act, 2013. The Financial Statement have been prepared on an accrual basis and under the historical cost convention. The accounting policies adopted in the preparation of financial statements are consistent with those of previous year.

(b) **Use of Estimates**

The presentation of financial statements in conformity with the generally accepted accounting principles requires the management to make estimates and assumptions that effect the reported amount of assets and liabilities, revenues and expenses and disclosure of contingent liabilities, Such estimates and assumptions are based on management's evaluation of relevant facts and circumstances as on the date of financial statements.

(c) **Stock in Trade**

Stock in trade of shares is valued at cost or market value whichever is lower.

(d) **Employees Benefits**

These are accounted for on accrual basis.

(e) **Provision for Current and Deferred Tax**

Provision for current tax is made after taking into consideration benefits admissible under the provisions of the Income Tax Act, 1961. The Company adopts full provision basis for deferred tax in accordance with the Accounting Standard-22 on accounting for taxes on income. Deferred tax is recognized subject to the consideration of prudence, on timing difference, being the difference between taxable incomes and accounting income that originate in one period and are capable of reversal in one or more subsequent periods.

(f) **Earning per Share**

Basic Earning per Share is calculated by dividing the profit after tax for the year attributable to equity shareholders by the weighted average number of equity shares outstanding during the year. Diluted earnings per share is computed by dividing the profit after tax by the weighted average number of equity shares considered for deriving basic earnings per shares and weighted average number of equity shares which could have been issued.

(g) **Contingent Liabilities**

Contingent Liabilities are not provided for and are discussed by way of notes, if any.

(h) **Fixed Assets and Liabilities**

(a) Fixed Assets are stated at cost less Accumulated Depreciation.

(b) Depreciation on Fixed Assets has been provided on Straight Line Method at the rates and in the manner specified in Schedule II to the Companies Act, 2013.



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

	March 31, 2016	March 31, 2015																							
<b>2 Share Capital</b>																									
Authorised Capital 31,00,00,000/- Equity Shares of Rs. 1 Each	3100,00,000	3100,00,000																							
Issued, subscribed & Fully paid share Capital 29,59,57,915(P.Y. 29,59,57,915) Equity Shares of Rs. 1Each	2959,57,915	2959,57,915																							
	2959,57,915	2959,57,915																							
a) Reconciliation of the number of shares outstanding at the beginning and at the end of the reporting period																									
-Number of Shares at the beginning of the year	2959,57,915	2959,57,915																							
-Shares issued & allotted on exercise of right Issue during the year	-	-																							
-Number of shares at the end of the year	2959,57,915	2959,57,915																							
b) The company has only one class of equity shares having a par value of Rs. 1 per share. Each shareholder is eligible to one vote per fully paid equity share held (i.e. in proportion to the paid up shares in equity capital) and ranks pari passu. The Dividend proposed, if any, by the Board of Directors is subject to approval of shareholders in the ensuing Annual General Meeting. The repayment of equity share capital in the event of liquidation and buy back of shares are possible subject to prevalent regulations. In the event of liquidation, normally the equity shareholders are eligible to receive the remaining assets of the company in proportion to their shareholding.																									
c) The company has neither any holding company nor any subsidiary company.																									
d) Detail of shareholders holding more than 5% share in the Company																									
	<table border="1"> <thead> <tr> <th colspan="2">as at 31st March 2016</th> <th colspan="2">as at 31st March 2015</th> </tr> <tr> <th>No. of Shares</th> <th>% of Holding</th> <th>No. of Shares</th> <th>% of Holding</th> </tr> </thead> <tbody> <tr> <td>Bhisham Kumar Gupta</td> <td>320,57,296</td> <td>10.83%</td> <td>320,31,426</td> <td>10.82%</td> </tr> <tr> <td>Bhaijee Commodities Pvt Ltd.</td> <td>345,64,854</td> <td>11.68%</td> <td>345,64,854</td> <td>11.68%</td> </tr> <tr> <td>Bhaijee Oversease Limited</td> <td>148,07,969</td> <td>5.00%</td> <td>148,07,969</td> <td>5.00%</td> </tr> </tbody> </table>		as at 31st March 2016		as at 31st March 2015		No. of Shares	% of Holding	No. of Shares	% of Holding	Bhisham Kumar Gupta	320,57,296	10.83%	320,31,426	10.82%	Bhaijee Commodities Pvt Ltd.	345,64,854	11.68%	345,64,854	11.68%	Bhaijee Oversease Limited	148,07,969	5.00%	148,07,969	5.00%
as at 31st March 2016		as at 31st March 2015																							
No. of Shares	% of Holding	No. of Shares	% of Holding																						
Bhisham Kumar Gupta	320,57,296	10.83%	320,31,426	10.82%																					
Bhaijee Commodities Pvt Ltd.	345,64,854	11.68%	345,64,854	11.68%																					
Bhaijee Oversease Limited	148,07,969	5.00%	148,07,969	5.00%																					
<b>3 Reserves and Surplus</b>																									
Profit as per P&L A/c	40,13,938.73	16,96,950.71																							
Amount transferred to																									
General Reserve	32,11,150.98	13,57,560.57																							
Satutory Reserve(under section 45IC of RBI Act 1934)	8,02,787.75	3,39,390.14																							
<b>General Reserve</b>	462,57,320.81	448,99,760.24																							
Opening balance	32,11,150.98	13,57,560.57																							
Add Transfer from Profit & Loss A/c	494,68,471.79	462,57,320.81																							
<b>Satutory Reserve(under section 45IC of RBI Act 1934)</b>	270,19,155.45	266,79,765.31																							
Opening Balance	8,02,787.75	3,39,390.14																							
Add Transfer from Profit & Loss A/c	278,21,943.20	270,19,155.45																							
<b>Total</b>	772,90,414.99	732,76,476.26																							
<b>4 Other Current Liabilities</b>		5,000.00																							
Director Remuneration Payable	-	-																							
Unpaid dividends	29,000.00	29,000.00																							
Auditor Remuneration Payable	3,78,797.40	84,754.00																							
Exp Payable	-	471.00																							
TDS Payable	-	56,000.00																							
Salary Payable	4,07,797.40	1,75,225.00																							
<b>5 Short-Term Provisions</b>	20,21,019.53	-																							
Provision for Income Tax	4,56,379.00	4,32,142.00																							
Contingent Provision against Standard Assets	24,77,398.53	4,32,142.00																							
<b>7 Inventories</b>																									
<b>Quoted Equity Shares(Fully Paid Up)</b>																									
a) BT Technet Ltd. 1234387 Equity Share of Rs. 1/- each	21,96,014.70	21,96,014.70																							
b) Kay Power & Paper Ltd NIL Equity Share (P.Y. 860264 ) of Rs.10/- each	-	40,41,668.40																							
c) SML ISUZU Ltd 10 Equity Share (P.Y. NIL) of Rs 10/- each	7,682.20	-																							
<b>A</b>	22,03,696.90	62,37,683.10																							





# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

<b>Unquoted Equity Shares</b>		
d) Kakinada Fert Ltd 27500 Equity Share of Rs. 1/- each	-	3,22,283.50
e) Amit Hetrochem Labs (I)ltd 977301 Equity Shares of Rs. 10/- each	-	1056,37,503.86
f) Indo Japan Agrotech Ltd. 108200 Equity Shares of Rs. 10/- each	-	562,64,000.00
g) Orchid Bio Tech Ltd 150000 Equity Shares of Rs. 10/- each	-	108,00,000.00
h) Subham Gold & gems Pvt. Ltd 172000 Equity share of Rs. 10/- each	-	51,60,000.00
i) Som Freshners Pvt. Ltd. 650000 Equity share (P.Y. Nil) of Rs. 10/- each	-	65,00,000.00
	<b>B</b>	
		1846,83,787.36
<b>Total (A+B)</b>		<b>22,03,696.90</b>
		<b>1909,21,470.46</b>
<b>8 Trade Receivables</b>		
Outstanding for a period exceeding six months due date others	1846,85,139.85	1,638.80
	<b>1846,85,139.85</b>	<b>1,638.80</b>
<b>9 Cash and Cash equivalents</b>		
Cash in Hand		8,11,747.20
<b>Balance with scheduled Banks</b> in Current Accounts	7,84,987.00	71,705.17
in Unpaid Dividend Accounts	2,337.34	-
	<b>7,87,324.34</b>	<b>8,83,452.37</b>
<b>10 Short Term Loans and Advances</b>		
Loans-Unsecured but considered good		
	<b>1825,51,436.00</b>	<b>1728,56,726.00</b>
<b>11 Other Current Assets</b>		
Income tax refundable	5,23,656.00	3,68,107.00
Income Tax(A. Y. 12-13)	17,208.85	-
	<b>(A) 5,40,864.85</b>	<b>3,68,107.00</b>
<b>Mis. Expenses</b> (to the extent of not written off or adjusted)		
Right Issue Charges	-	5,11,242.30
Add Right Issue Charges Current Year	-	-
Less Written off during the year	-	5,11,242.30
	<b>b)</b>	<b>-</b>
Total other Current Assets	<b>(A+B)</b>	<b>5,40,864.85</b>
<b>12 Other Income</b>		
Dividend		75.00
		<b>75.00</b>
<b>13 Employee benefits expense</b>		
Salary to Employees		4,98,400.00
Staff Welfare	6,92,000.00	52,141.00
Director Remuneration	32,836.20	60,000.00
	60,000.00	6,10,541.00
<b>14 Other expenses</b>		
Advertisement Exp.	79,793.00	99,682.00
AGM Exp	65,175.00	54,135.00
Audit Exp.	29,000.00	29,000.00
Bad Debts	3,90,468.00	-
Bank Charges	6,221.60	4,917.49
Business Promotion Exp.	36,080.00	28,145.00
Computer Exp.	15,655.00	10,170.00
Courier Exp	2,613.00	4,085.00
Contingent Provision Against Standard Assets	24,237.00	41,669.61
Depository Exp	51,634.15	5,135.00
Diwali Expenses	6,280.00	70,273.85
Electricity Exp.	75,307.11	-



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Fees & Subscription	4,14,770.00	2,49,720.00
Intrest & Penalty	4,134.00	2,410.00
Legal & Secretarial Exp.	56,500.00	42,650.00
Mis. Exp.	29,531.00	33,514.00
Office Repair & Maintenance	48,620.00	36,385.00
Petrol & Conveyance Exp.	24,100.00	22,773.00
Photostate Exp.	6,745.00	4,805.00
Postage & Telegram Exp.	1,36,689.00	1,92,745.00
Printing & Stationary	1,32,822.00	1,13,251.00
Repair & Maintenance	27,065.00	15,200.00
Right Issue Exp. W/o	-	5,11,242.30
Sitting Fee	58,000.00	58,000.00
Telephone & Internet Exp.	23,360.12	17,578.00
Tours & Travels	6,994.00	-
Website Development	2,560.00	-
	<b>17,54,353.98</b>	<b>20,79,628.25</b>

15 No Provision for gratuity has been made as per As-15 as in the opinion of the Management no employee has put on qualifying period of service for entitlement to this benefit.

16 Breakup of Deferred Tax Assets as Deferred Tax Liabilities as 31st March 2016 comprise of following :-

Deferred Tax Liabilities

	as at 31st March 2016	as at 31st March 2015
a) Fixed Assets	36,662.70	1,09,396.33
b) Other Expenses	-	-
	<b>36,662.70</b>	<b>1,09,396.33</b>

17 Related Party disclosure under AS 18 (As identified by the management and relied upon by the auditor)  
Details of transactions during the year from key management personnel, their relatives and Companies/Firm in which key management personnel & their relatives have significant influence :

- Director's Remuneration paid to Mr. B. K. Gupta, director amounting 12000/-
- Director's Remuneration paid to Mr. S. K. Singhal, director amounting 48000/-
- Director's Sitting Fees paid to Miss Neha Kumari, director amounting 25000/-
- Director's Sitting Fees paid to Mr. Vinu Bhai Patel, director amounting 8000/-
- Director's Sitting Fees paid to Mr. Sandeep Khandelwal, director amounting 25000/-

18 As the Company's business activity falls within a single primary business segment the disclosure requirement of AS 17 "Segment Reporting" issued by ICAI is not applicable.

19 Balance of Debtors, Creditors, Loan & Advances Outstanding as on Balance Sheet date are subject to confirmation.

20 Due to the volume and peculiar nature of the business it is difficult to summarize the quantity of purchase and sales of each type of share.

21 a **Contingent Liabilities**

	As at 31st March 2016 (In Rs.)	As at 31st March 2015 (In Rs.)
Claims against the Company in respect of Income Tax	647,84,220	647,84,220

21 b Only litigation of the Company, against order ITO passed in Assessment Year 2012-13 is pending before Commissioner of Income Tax (Appeal) for deletions of some additions made by the ITO due to which Income Tax Department raised a demand of Rs. 6,47,84,220/- The directors of the Company are sure to win the appeal filed and the demand will be deleted.

22 Unsecured loans and advances given by the Company are call loans and payable, along with due interest, on demand. In view of the directors these loans will be collected in full along with due interest and all loans are Standard Assets. As required by Act, the provisions @ 0.25% of Standard Assets have been provided for contingencies. Since at the balance sheet date there are no outstanding derivative contracts. So provision for derivatives is not required



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

23 Earning per share:

Particulars	Year Ended 31.03.2016
Net Profit for the period attributable to equity shareholders.	40,13,938.73
Number of equity shares	2959,57,915
Basic and diluted earning per share (face Value of Re. 1/-)	0.01

Year Ended 31.03.2015
16,96,950.71
2959,57,915
0.01

24 Paymet To Auditors:

Particulars	Year Ended 31.03.2016
Audit Fees	22,000.00
Tax Audit Fees	7,000.00

Year Ended 31.03.2015
22,000.00
7,000.00

25 Previous Year's figures are re-arranged and regrouped wherever found necessary to make it comparable with the figure of current period

For and on behalf of the Board of Directors

Sd/-  
**BHISHAM KUMAR GUPTA**  
Managing Director  
DIN:-00110915

Sd/-  
**VINUBHAI PRABHUDAS PATEL**  
Director  
DIN 00906780

Sd/-  
**PRERNA BAJAJ**  
Company Secretary  
Membership No. A36667

**Auditors' Report**  
As Per our report of even date attached  
For G.C. Agarwal & Associates  
Chartered Accountants  
Firm Regn. No 017851N

Sd/-  
**G. C. Agarwal**  
Prop.  
M. No. 083820



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

## BAMPSL SECURITIES LIMITED

Note No- 6

PARTICULARS	GROSS BLOCK			DEPRECIATION			WRITTEN DOWN VALUE			
	As on 01.04.2015	Addition during the year	Assets Written off or sold during the year	As on 31.03.2016	Upto 31.03.2015	For the Year	Assets Written off or sold during the year	Total As on 31.03.2016	As on 31.03.2016	As on 31.03.2015
<b>FIXED ASSETS</b>										
Air- Conditioner	23,400.00	-	-	23,400.00	3,354.27	1,573.00	-	4,927.27	18,472.73	20,045.73
Computers	9,73,240.00	-	-	9,73,240.00	9,10,567.00	14,011.00	-	9,24,578.00	48,662.00	62,673.00
Laptop	46,000.00	-	-	46,000.00	17,614.00	26,086.00	-	43,700.00	2,300.00	28,386.00
Furniture & Fixtures	19,66,072.00	-	-	19,66,072.00	6,96,545.75	2,34,866.00	-	9,31,411.75	10,34,660.25	12,69,526.25
Office equipments	1,61,965.00	-	-	1,61,965.00	71,742.60	82,124.40	-	1,53,867.00	8,098.00	90,222.40
Fax	6,500.00	-	-	6,500.00	2,501.54	3,673.46	-	6,175.00	325.00	3,998.46
Machinery	9,15,250.00	-	-	9,15,250.00	4,05,411.15	66,297.00	-	4,71,708.15	4,43,541.85	5,09,838.85
Generator	1,12,640.00	-	-	1,12,640.00	50,367.48	8,091.52	-	58,459.00	54,181.00	62,272.52
Inventor	19,800.00	-	-	19,800.00	3,765.15	1,368.00	-	5,133.15	14,666.85	16,034.85
Printers	38,350.00	-	-	38,350.00	31,073.00	2,773.00	-	33,846.00	4,504.00	7,277.00
Car	-	9,48,800.00	-	9,48,800.00	-	26,485.00	-	26,485.00	9,22,315.00	-
land	28,50,000.00	-	-	28,50,000.00	-	-	-	-	28,50,000.00	28,50,000.00
<b>Total</b>	<b>71,13,217.00</b>	<b>9,48,800.00</b>	<b>-</b>	<b>80,62,017.00</b>	<b>21,92,941.94</b>	<b>4,67,348.38</b>	<b>-</b>	<b>26,60,290.32</b>	<b>54,01,726.68</b>	<b>49,20,275.06</b>
<b>Previous year</b>	<b>71,13,217.00</b>	<b>-</b>	<b>-</b>	<b>71,13,217.00</b>	<b>19,76,502.74</b>	<b>2,16,439.20</b>	<b>-</b>	<b>21,92,941.94</b>	<b>49,20,275.06</b>	<b>51,36,714.26</b>

Sd/-  
PRERNA BAJAJ  
Company Secretary  
Membership No. A36667

Sd/-  
VINUBHAI PRABHUDAS PATEL  
Director  
DIN 00906780

Sd/-  
BHISHAM KUMAR GUPTA  
Managing Director  
DIN:-00110915

Place : New Delhi  
Dated:- 30-05-2016



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

FIXED ASSETS AS PER INCOME TAX ACT

PARTICULARS	WDV AS ON 01.04.2015	PARTICULARS	WDV AS ON 01.04.2015	ADDITION DURING THE YEAR		ASSETS WRITTEN OFF OR SOLD DURING THE YEAR	AS ON 31.03.2016	RATE	DEPRECIATION FOR THE YEAR	WDV AS ON 31.03.2016
				UP TO 30.09.2015	AFTER 30.09.2015					
<b>FIXED ASSETS</b>		<b>FIXED ASSETS</b>								
CAR	2,99,659.52	CAR	2,99,659.52	-	9,48,800.00	-	12,48,459.52	15%	1,16,108.93	11,32,350.59
FURNITURE	10,91,500.99	FURNITURE	10,91,500.99	-	-	-	10,91,500.99	10%	1,09,150.10	9,82,350.90
OFFICE EQUIPMENT	10,736.44	OFFICE EQUIPMENT	10,736.44	-	-	-	10,736.44	15%	1,810.47	9,125.97
AIR CONDITIONER	13,292.74	AIR CONDITIONER	13,292.74	-	-	-	13,292.74	15%	1,993.91	11,298.83
INVERTOR	9,560.54	INVERTOR	9,560.54	-	-	-	9,560.54	15%	1,434.08	8,126.46
COMPUTER	25,346.40	COMPUTER	25,346.40	-	-	-	25,346.40	60%	-	25,346.40
	<b>14,50,096.63</b>		<b>14,50,096.36</b>		<b>9,48,800.00</b>		<b>23,98,896.63</b>		<b>2,30,297.49</b>	<b>21,68,599.15</b>

Sd/-  
**BHISHAM KUMAR GUPTA**  
Managing Director  
DIN-30110915

Sd/-  
**VINUBHAI PRABHUDAS PATEL**  
Director  
DIN 00906780

Sd/-  
**PRERNA BAJAJ**  
Company Secretary  
Membership No. A36667

Place : New Delhi  
Dated:- 30-05-2016

Place : New Delhi



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2015-2016

## BAMPSL SECURITIES LIMITED

Regd. Office : 100 A, Cycle Market, Jhandewalan Ext. New Delhi-110055

### ATTENDANCE SLIP

Particulars to be completed by Member

Name of Member.....(Folio No.....)(IN BLOCK LETTERS)

Client ID No.....

DP ID No.....

No. of Shares held.....

Name of Proxy, if attending for Member.....  
(IN BLOCK LETTERS)

I hereby record my presence at the 21<sup>st</sup> Annual General Meeting held at **Khasra No. 24/15 Kakrola, New Delhi-110043**, at 10:00 A.M. on Thursday the 29<sup>th</sup> September 2016.

.....  
(Signature of Member/Proxy)

Note: Member/Proxies are requested to bring attendance slip, duly filled up and hand over at the reception. No blank form will be supplied at the reception.

----- Tear Here -----

## BAMPSL SECURITIES LIMITED

Regd. Office: 100 A, Cycle Market, Jhandewalan Ext. New Delhi-110 055

### PROXY FORM

I/We.....(FolioNo./ClientID.....)

Of.....(Address)

Being a member/members of BAMPSL SECURITIES LTD. hereby appoint Mr./Miss/ Mrs. of.....

.....(Address).....

.....fallinghim/her,Mr./Miss/Mrs.....of.....(Address)

as my/our proxy to vote for me/us on my/our behalf at the 21<sup>st</sup> Annual General Meeting held at **Khasra No. 24/15 Kakrola, New Delhi-110043** at 10:00 A.M. on Thursday the 29<sup>th</sup> September 2016.

Signed this ----- day of ----- 2016

Stamp

Signature.....

Note: The Proxy, in order to be effective, must be deposited at the Registered Office of the Company not less than 48 hours before the time for holding the meeting of which the person named in the proxy proposes to vote.