



**BAMPSL  
SECURITIES  
LIMITED**



**19<sup>th</sup> ANNUAL REPORT 2013-2014**



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**Board of Directors**

*Sh. Bhisham Kumar Gupta  
Sh. Suresh Kumar Singhal  
Sh. Sandeep Kumar Khandelwal  
Ms. Neha Kumari*

*Managing Director  
Director  
Director  
Director*

**Company Secretary**

*Ms. Swati Bakshi  
Company Secretary & Compliance Officer*

**Statutory Auditors**

*M/s G.C. Agarwal & Associates  
Chartered Accountants  
240, Ghalib Apartments, Parwana Road, Pitampura, Delhi-110034*

**BANKERS**

*STATE BANK OF BIKANER & JAIPUR  
Loha Mandi, Naraina, New Delhi-110002*

*PUNJAB NATIONAL BANK  
Patel Nagar, New Delhi*

*KARNATAKA BANK LTD.  
Savita Vihar, Delhi-110092*

*STATE BANK OF INDIA  
Friends Colony, New Delhi-110065*

**REGISTRAR & SHARE TRANSFER AGENTS**

*ALANKIT ASSIGNMENTS LTD.  
2E/21 Jhandewalan Extn.,  
New Delhi-110055*

**REGISTERED OFFICE**

*100-A, Cycle Market, Jhandewalan Extension, New Delhi-110055*



**NOTICE  
OF THE ANNUAL GENERAL MEETING**

**NOTICE IS HEREBY GIVEN THAT THE 19<sup>TH</sup> ANNUAL GENERAL MEETING OF THE COMPANY WILL BE HELD ON MONDAY THE 29TH SEPTEMBER 2014 AT 10.00 A.M. AT KHASRA NO 24/15, KAKROLA, NEW DELHI - 110043 TO TRANSACT THE FOLLOWING BUSINESS:**

**A. ORDINARY BUSINESS**

1. To receive, consider and adopt the Audited Balance Sheet of the Company as at 31<sup>st</sup> March 2014 and the Statement of Profit & Loss Account for the financial year ended on that date together with the reports of the Auditors and the Directors thereon.
2. To appoint a Director in place of Mr. Sandeep Kumar Khandelwal, who retires by rotation and being eligible, offers himself for re-appointment.
3. To reappoint M/s G. C. Agarwal & Associates, Chartered Accountants as Statutory Auditors of the Company to hold office from the conclusion of this Annual General Meeting till the conclusion of the Next Annual General Meeting.

**Special Buisnes**

1. To consider and if thought fit, to pass with or without modification(s), the following resolution as an Ordinary Resolution:

**Re-appointment of Managing Director**

"Resolved that pursuant to provisions of Section 2(54), 196, 197, 202 & 203 of the Companies Act 2013 read with other provisions and rules of Companies Act 2013 read with provisions of schedule V of the said Act (including any statutory modification(s) or re-enactment thereof for the time being in force and in terms of recommendation of remuneration committee and as approved by Board of Directors in their meeting held on 30th Jan, 2014, approval of members be and hereby accorded for the re-appointment of Mr. Bhisham Kumar Gupta, Managing Director of the company for the period of 5 years commencing from the date 1st February 2014 on the terms and condition mutually decided between Boards of Director and the managing Directors."

"Resolved further that the terms and conditions of re-appointment are as follows:

(From 1st February 2014 till 31st January 2019.

Basic Salary : Rs. 1000 per month

House Rent Allowance : NIL

Special Allowance : NIL

Increment for each year shall be determined by the remuneration committee and which shall be subject to approval of the Board of Directors and members of the Company at the general meeting.

In terms of Article 110 of Article of Association read with companies Act 2013 the Managing Director is not liable to retire by rotation.

Six months notice period shall be required from either side for termination of contract.



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"RESOLVED FURTHER THAT any of the Director is be and hereby authorized to file various forms as per Companies Act 2013 with the Registrar of Companies, Delhi & Haryana

### Explanatory Statement:- pursuant to section 102 of the Companies Act,2013.

Mr. Bhasham Kumar Gupta, aged 51 years, S/o Sh. Shri Ram Gupta is the Promoter and Managing Director of our Company. He is a commerce graduate from Delhi University in 1983.

He has vast experience of 27 years in Securities Market. He is the promoter of Bampsl Securities Limited.

Mr. Bhasham Kumar Gupta took the position of Managing Director of the Company in year 2009. Under his leadership, company had broadened its focus in the Securities Market while utilizing his vast experience.

The Board of Directors subject to the provisions of section 2(54), 196,197 202 & 203 of the companies Act 2013 read with Rules and Schedule of aforesaid Act, to re-appoint Mr. Bhasham Kumar Gupta as Managing Director on the following terms and conditions:

(1st February, 2014 till 31st January, 2019.)

Basic Salary : Rs. 1000 per month

House Rent Allowance : NIL

Special Allowance : NIL

Increment for each year shall be determined by the remuneration committee and which shall be subject to approval of the Board of Directors and members of the Company at the general meeting.

In terms of Article 110 of Article of Association read with companies Act 2013 the Managing Director is not liable to retire by rotation.

Six months notice period shall be required from either side for termination of contract.

None of Director except Mr. Bhasham Kumar Gupta is interested in aforesaid resolution.

Documents pertaining to above re-appointment are open for inspection for shareholders in the business hours.

Your Director recommends the resolution for the approval of the Members.

**BY ORDER OF THE BOARD  
For Bampsl Securities Limited**

Sd/-  
(Bhasham Kumar Gupta)  
Managing Director

Place: New Delhi  
Date: 01.09.2014



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

### NOTES:

**1. A MEMBER ENTITLED TO ATTEND AND VOTE AT THE ANNUAL GENERAL MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE ON A POLL INSTEAD OF HIM/HER SELF AND THE PROXY NEED NOT BE A MEMBER OF THE COMPANY.**

2. The instrument appointing a proxy, to be effective, must be duly filled, stamped and must reach the company's registered office not later than 48 hours before the commencement of the meeting. A blank proxy form is annexed to this notice.

3. As required by Clause 49 of the Listing Agreement, the relevant details of the directors liable to retire by rotation and seeking re-appointment are annexed herewith.

4. The Register of Members and Share Transfer Books of the Company shall remain closed from Wednesday, the 24<sup>th</sup> day of September, 2014 to Monday, the 29<sup>th</sup> day of September, 2014 (both days inclusive).

5. Alankit Assignments Limited is the Registrar & Share Transfer Agent (R&TA) of the Company. All investor related communications may be addressed to Alankit Assignments at the following address:

**Alankit Assignments Limited  
Unit: Bampsl Securities Limited  
2E/21, Jhandewalan Extn.,  
New Delhi-110055**

6. Members/proxies are requested to kindly take note of the following:

(i) Attendance Slip, as sent herewith, is required to be produced at the venue duly filled in and signed for attending the meeting,

(ii) In all correspondence with the Company and/or the R&T Agent, Folio No./DP & Client ID no. must be quoted.

7. Members are requested to send their queries, if any, at least 10 days in advance of the meeting so that the information can be compiled in advance.

8. In case of Joint holders attending the meeting, only such joint holder who is higher in the order of names will be entitled to vote.

9. Corporate members intending to send their authorized representatives to attend the meeting are requested to send a certified copy of the Board Resolution/Power of Attorney authorizing their representative to attend and vote on their behalf at the meeting.

10. For any investor related queries, communication may be sent by e-mail to [bampslsecurities@yahoo.co.in](mailto:bampslsecurities@yahoo.co.in).

11. Pursuant to Section 109A of the Companies Act, 1956, shareholders are entitled to make nomination in respect of shares held by them in physical form. Shareholders desirous of making nominations are requested to send their requests in Form No. 2B in duplicate (which will be made available on request) to the R&T Agent.



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12. All documents referred to in the accompanying Notice are open for inspection at the Registered Office of the Company on all working days, except Saturdays between 11.00 A.M. to 1.00 P.M. up to the date of the Annual General Meeting.

13. Ministry of Corporate Affairs vide their circular 17/2011 dated 21/04/2011 clarified that it would be compliance to Section 53 of the Companies Act, 1956, if the services of document has been made through electronic mode providing that Company has obtained e-mail addresses of its members for sending notice/ documents through e-mail by giving an advance opportunity to every shareholder to register their e-mail address and changes therein from time to time with the Company.

In order to cherish and support the Green Initiative taken by the Government of India to secure paperless compliance for betterment of environment, members who have not provided their e-mail address are requested to contact to RTA or compliance officer of company for same.

14. Details of the Directors seeking re-appointment in the 19th Annual General Meeting of the Company pursuant to Clause 49 of the Listing Agreement.

Sh. Sandeep Kumar Khandelwal, aged 42 years, is a graduate in Commerce by qualification. He has also completed AMFI Mutual Fund Certification in 2003. He has a vast experience in insurance, investment industry. He is working for UTI Mutual Funds since 1988. He has gained good knowledge of deploying skillfully surplus funds in equity market, mutual funds and other investment related instruments. He has been associated with the Company from 2005. He is neither a Director nor a Member of any Committee in any other company. He is holding 4900 Shares of the company.

15. Arihant Capital Market Limited having SEBI Registration No. INM000011070 have been appointed by the company as the Lead Manager for the Right Issue and further Issue as per the Resolution passed by the members in Annual General Meeting in year 2011-2012.

### 16. Voting through electronic means

❖ In compliance with provisions of Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014, the Company is pleased to provide members facility to exercise their right to vote at the 19<sup>th</sup> Annual General Meeting by electronic means and the business may be transacted through e-Voting Services provided by National Securities Depository Limited (NSDL). The instructions for e-voting are as under:

- a. Launch internet browser by typing the following URL: <http://www.evoting/nsdl.com>;
- b. Click on Shareholder - Login;
- c. Put user ID and password as initial password/PIN. Click Login;
- d. Password change menu appears. Change the password/ PIN with new password of your choice with minimum 8 digits/characters or combination thereof. Note new password. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential;
- e. Home page of e-voting opens. Click on e-Voting: Active Voting Cycles;
- f. Select "EVEN" of Bampsl Securities Limited;
- g. Now you are ready for e-voting as Cast Vote page opens;
- h. Cast your vote by selecting appropriate option and click on "Submit" and also "Confirm" when prompted;



- i. Upon confirmation, the message "Vote cast successfully" will be displayed;
  - j. Once you have voted on the resolution, you will not be allowed to modify your vote;
  - k. Institutional shareholders (i.e. other than individuals, HUF, NRI etc.) are required to send scanned copy (PDF/ JPG Format ) of the relevant Board Resolution / Authority letter etc. together with attested specimen signature of duly authorized signatory (ies) who are authorized to vote, to the Scrutinizer through e-mail to [bampslscrutinizer@gmail.com](mailto:bampslscrutinizer@gmail.com) with a copy marked to [e-voting@nsdl.co.in](mailto:e-voting@nsdl.co.in);
    - In case a Member receives physical copy of the Notice of AGM [for members whose email IDs are not registered with the Company / Depository Participants (s) or requesting physical copy]:
      - a) Initial password is provided as below/at the bottom of the Attendance Slip for the AGM:

EVEN (E Voting Event Number)	USER ID	PASSWORD / PIN
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      - b) Please follow all steps mentioned aforesaid to cast vote.
- ❖ In case of any queries, you may refer the Frequently Asked Questions (FAQs) for Shareholders and e-voting user manual for Shareholders available at the Downloads section of [www.evoting.nsdl.com](http://www.evoting.nsdl.com).
  - ❖ If you are already registered with NSDL for e-voting then you can use your existing user ID and password/PIN for casting your vote.
  - ❖ You can also update your mobile number and e-mail id in the user profile details of the folio which may be used for sending future communication(s).
  - ❖ The e-voting period commences on September 25, 2014 at 9:00 am to 6:00 pm. During this period shareholders' of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date (record date) of August 23, 2014, may cast their vote electronically. The e-voting module shall be disabled by NSDL for voting thereafter. Once the vote on a resolution is cast by the shareholders, the shareholders shall not be allowed to change it subsequently.
  - ❖ The Voting rights of shareholders shall be in proportion to their shares of the paid up equity share capital of the Company as on the cut-off date (record date) of August 23, 2014.
  - ❖ Mr. Manoj Kumar Mandal Company Secretary (Membership No. 4968) has been appointed as the Scrutinizer to scrutinize the e-voting process in a fair and transparent manner.
  - ❖ The Scrutinizer shall within a period not exceeding three (3) working days from the conclusion of the e-voting period unblock the votes in the presence of at least two(2) witnesses not in the employment of the Company and make a Scrutinizer's Report of the votes cast in favour or against, if any, forthwith to the Chairman of the Company.
  - ❖ The Results shall be declared on or after the AGM of the Company. The Results declared along with the Scrutinizer's Report shall be placed on the Company's website [www.bampslsecurities.co.in](http://www.bampslsecurities.co.in) and on the website of NSDL within two (2) days of passing of the resolutions at the AGM of the Company.



**DIRECTOR'S REPORT**

**Dear Members,**

Your Directors take pleasure in presenting the 19<sup>th</sup> Annual Report together with the audited annual statements of accounts for the financial year ended on 31<sup>st</sup> March 2014. The operational results are summarized as under: -

**FINANCIAL HIGHLIGHTS**

	<b>31.03.2014 (Rs. In Lakhs)</b>	<b>31.03.2013 (Rs. In Lakhs)</b>
Profit before Dep.	142.59	122.12
Depreciation	2.14	3.47
Profit before Taxation	140.45	118.65
Provisions for Taxation	43.62	40.22
Provision for Deferred Tax liabilities/(Assets)	0.17	0.14
Adjustment P.Y.	38.62	13.60
Profit after Taxation	135.28	91.88
Paid up Share Capital	2959.58	2959.58
Reserves & Surplus	715.80	580.51

**DIVIDEND**

On account of the urgent liquidity needs against the business expansion plans in hand, no dividend is recommended for the current year.

**MANAGEMENT DISCUSSION & ANALYSIS**

We have pleasure in submitting the Management Discussion & Analysis Report on the Company's Business. We have attempted to include discussions on all specified matters to the extent relevant, or within such limits that in our opinion are imposed by the Company's own competitive Position. Market conditions in all areas of the company's operations.

Your Board wishes to place on record that despite the current market scenario, the company has maintained adequate margin of profit. Strong internal control system which has contributed all around advantages to the members and all other associates with the affairs of the Company. The Company has sufficient well trained number of staff to handle the day-to-day business operations.



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Strength of the business of an institution solely depends on the skill and competitiveness of its workforce. The Company has specialized personnel in all areas of its operations, which strength is reflected by the financial results under report.

### **OPERATIONS**

The Company continues to be engaged in the business of dealing and investing in shares and other securities along with financial services like spot financing, short term financial accommodation, long term financial accommodation, and in other similar financial sector.

### **CORPORATE GOVERNANCE REPORT**

A separate section on Corporate Governance in the Annual Report of the Company, with a detailed compliance report on Corporate Governance if forming part of this report.

### **DIRECTORS' RESPONSIBILITY STATEMENT**

Pursuant to Section 217 (2AA) of the Companies Act, 1956, the Directors state that:

1. In the preparation of the annual accounts, the applicable accounting standards have been followed along with the proper explanation relating to material departures, if any;
2. Accounting policies have been selected and applied consistently and judgments and estimates made are reasonable and prudent so as to give a true and fair view of the state of affairs of the Company at the close of the financial year and of the profit or loss of the Company for that period;
3. Proper and sufficient care has been taken for the maintenance of adequate accounting records in accordance with the provisions of the Companies Act, 1956, for safeguarding the assets of the Company and for preventing and detecting fraud and other irregularities;
4. The annual accounts have been prepared on a going concern basis;

### **DIRECTOR**

Mr. Sandeep Kumar Khandelwal is retiring by rotation but is eligible for re-appointment.

### **AUDIT COMMITTEE**

In pursuant of Section 292A of Companies Act, 1956 and Clause 49 of the listing agreement, the Company constituted an Audit Committee. The present Audit Committee comprises of three directors out of them two are non-executive independent directors. The Chairman of the audit committee, Mr. Sandeep Kumar Khandelwal is well conversant in the matters related to finance and accounts. The other members of the audit committee are Shri Suresh Kumar Singhal and Ms. Neha Kumari.

The management and statutory auditors of the company review the terms of the reference of the audit committee.

### **AUDITORS**

The Statutory Auditor of the Company M/s G.C. Agarwal & Associates, Chartered Accountants, will retire at the ensuing Annual General Meeting of the Company and are eligible for re-appointment. They have sought re-appointment and have confirmed that their appointment, if made, shall be within the limits laid down under Section 224(1B) of the Companies Act, 1956. Further M/s G.C. Agarwal & Associates, has subjected themselves to the peer review process of ICAI and holds a valid certificate issued by the Peer Review Board of ICAI.



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The Board of Directors recommend the re-appointment of M/s G.C. Agarwal & Associates as Statutory Auditor of the Company from the conclusion of ensuing Annual General Meeting of the Company till the conclusion of Annual General Meeting to be held next thereafter and to fix their remuneration.

### **PUBLIC DEPOSIT, BUY BACK OF SHARES**

During the period under review, your Company has not accepted any deposit from public in accordance with Section 58A of the Companies Act, 1956 and rules made there under and hence no amount of principal or interest was outstanding as on 31/03/2014.

No Buy Back of Shares was proposed or pending during the Financial Year ended on 31/03/2014.

### **PARTICULARS OF EMPLOYEES**

The Company during the period under report did not have any person on its rolls with an annual remuneration of Rs 60,00,000/- or above or employed part of the year with a remuneration of Rs. 5,00,000/- or above per month.

### **CONSERVATION OF ENERGY, TECHNOLOGY ABSORPTION AND FOREIGN EXCHANGE EARNING AND OUTGO.**

The current nature of the business of the Company of dealing in shares and securities does not entail it to reporting matters on energy conservation, technology absorption and the other matters. However, there was no foreign exchange inflow or outgo during the period under report.

### **ACKNOWLEDGEMENT**

Your Directors place on record their sincere appreciation for significant contribution made by the employees through their dedication, hard work and commitment. Despite severe competition, the enthusiasm and unstinting efforts of the employees have enabled the Company to remain at the forefront of the industry.

Your Directors take this opportunity to express their deep sense of gratitude to the banks, financial institutions, central and state governments and their departments and the local authorities for their continued guidance and support.

Your Directors would also like to place on record their sincere appreciation for the total commitment, dedication and hard work put in by every member of the family of Bampsl Securities Limited.

Your Directors are also grateful to the shareholders for their confidence and faith reposed in the Company.

BY ORDER OF THE BOARD

**For Bampsl Securities Limited**

Sd/-

**(Bhisham Kumar Gupta)**

Managing Director

PLACE-NEW DELHI

DATE 30.05.2014

**CORPORATE GOVERNANCE REPORT**
**STATUS ON THE IMPLEMENTATION OF CORPORATE GOVERNANCE**
**MANADATORY REQUIREMENTS**
**1. THE COMPANY'S PHILOSOPHY ON CORPORATE GOVERNANCE**

In order to ensure sustainable returns to all stakeholders of the business, it is imperative, especially for large organizations, to adopt and follow certain policies, procedures and processes, which together constitute a "Code of Corporate Governance". It is important that such a Code is institutionalized, to ensure transparency, consistency and uniformity of decision making processes and actions. Bampsl Securities Limited has always believed in such a "Sound" Code of Corporate Governance, as a tool for highest standards of management and business integrity.

The Board recognizes that governance expectations are constantly evolving and is committed to keep its standards of transparency and dissemination of information under review.

The following is the report on the status and progress on major aspects of the Corporate Governance.

**2. Board of Directors.**

The present strength of the Board is Four Directors, Out of them one is Managing Director and one is executive director and the other two Directors are Non Executive Independent Directors.

S.No.	Name of Director	Category	No. of Directorship in other Companies	No. of committees in which Director is a Member	No. of committees in which Director is a Chairman
1.	Sh. Bhisham Kumar Gupta	Promoter and Managing Director	4	Nil	Nil
2.	Sh. Suresh Kumar Singhal	Executive Director	Nil	Nil	Nil
3.	Sh. Sandeep Kumar Khandelwal	Independent Non-Executive Director	Nil	Nil	Nil
4.	Ms. Neha Kumari	Independent Non-Executive Director	Nil	Nil	Nil



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### Total Number of Board meeting held with dates

13 Board meeting was held during the year 2013-14 i.e on 29th may 2013, 26th June 2013, 26th July 2013, 14th August 2013, 2nd September, 2013, 21st September 2013, 15th October 2013, 21st October 2013, 12th November 2013, 20th December 2013, 28th December 2013, 30th January 2014, 14th February 2014.

### Attendance details of each Director at the Board meetings and the last A.G.M.

S. No	Name of Director	Attendances		Inter se relationship with other directors
		Board Meeting	Annual General Meeting	
1.	Sh. Bhisham Kumar Gupta	13	Yes	N.A.
2.	Sh. Suresh Kumar Singhal	13	Yes	N.A.
3.	Sh. Sandeep Kumar Khandelwal	12	Yes	N.A.
4.	Ms. Neha Kumari	10	Yes	N.A.

Note: During the year, the maximum time gap between two meetings does not exceed more than 4 months.

### 3. **Audit Committee**

Pursuant to the provisions of Section 292A of Companies Act, 1956 and Clause 49 of the Listing Agreement, Company have a duly qualified and independent Audit Committee.

The Audit Committee comprises of 3 Directors; out of them two are non-executive independent Directors. The company is chaired by Mr. Sandeep Kumar Khandelwal. The Managing director, Statutory Auditors and the Financial Controller are permanent invitees to the meetings of the committee.

The terms of reference of the Audit Committee is in consistency with the Listing Agreement and other statutory requirements, the gist of terms of reference is as follows:

1. The Audit Committee has power, to investigate any activity, seek information from any employee and to obtain outside legal or other professional advice when ever consider fit and proper by the Committee.
2. Oversight of the Company's financial reporting process and the disclosure of its financial information to ensure that the financial statement is correct, sufficient and credible.
3. Recommending the Board, regarding the appointment, re-appointment, the replacement and removal of the statutory auditor, along with fixation of audit fees and other payments payable to Auditor for other services rendered by him.
4. Reviewing, with the management, the quarterly financial statements, annual financial statements before submission to the Board for approval.
5. Reviewing, with the management and performance of statutory auditors, and adequacy of the internal control systems including staffing and seniority of the official heading the department, reporting structure coverage.
6. The Audit Committee is empowered to review the Management Discussion and Analysis of financial condition and results of operations, statement of significant related party transactions, submitted by



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management, internal audit reports relating to internal control weaknesses etc.

### Meeting and attendance during the year

5 meeting of Audit committee was held during the year 2013-14 i.e on 29th May 2013, 26th June 2013, 14th August 2013, 12th November 2013 & 14th February 2014.

S.No.	Name of Director	Category	Attendance
1.	Sh. Sandeep Kumar Khandelwal	Independent Non-Executive Director	5
2.	Sh. Suresh Kumar Singhal	Executive Director	5
3.	Ms. Neha Kumari	Independent Non-Executive Director	5

- Notes: (a) During the year, the time gap between two meetings doesn't exceed by more than four months, with adequate quorum in every meeting.
- (b) The Chairman of the Audit Committee was present in Annual General Meeting to answer the queries of Shareholders.
- (c) Company Secretary of the Company acts as the Secretary of Committee.

#### 4. Remuneration Committee

As neither remuneration nor sitting fees is paid to the Directors as no remuneration committee has been setup.

#### 5. Shareholders & Investors Grievance Committee

- (i) The company has constituted a Shareholder's/Investors Grievance Committee headed by Mr. Sandeep Kumar Khandelwal, Non- Executive Director to specifically look into redressal of Shareholder's/Investor's complaints. Ms. Neha Kumari and Mr. Suresh Kumar Singhal are the other members of this committee.
- (ii) No. of shareholder's complaints received during the year. : NIL
- No. of complaints not solved to the satisfaction of the Shareholders : NIL
- No. of pending complaints. : NIL
- (iii) Compliance Officer
1. Name : Priyanka Singh
  2. Designation : Company Secretary
  3. Contact : bampslsecurities@yahoo.co.in

#### 6. General Body Meetings

Year	Day, Date and Time	Venue	Details of Special Resolution passed
2010-11	16th AGM held on 29/09/2011 At 10.00 A.M	Khasra No. 24/15 Kakrola, New Delhi-110043.	Nil



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2011-12	17 <sup>th</sup> AGM held on 29/09/2012 At 10.00 AM	Khasra No. 24/15 Kakrola, New Delhi-110043.	1. To consider increase in Authorised Share Capital from 31 Crore to 110 Crore.  2. To consider and approve right issue and Further Public Offer of shares under section 81 and 81(1A) of Companies Act, 1956
2012-13	18 <sup>th</sup> AGM held on 30/09/2012 At 10.00 AM	Khasra No. 24/15 Kakrola, New Delhi-110043	1.To consider change of name of the company from Bampsl securities limited to Bampsl India Limited

### Postal Ballot:

Section 192A of the Companies Act, 1956, provides for the passing of certain resolutions only by way of Postal Ballot. The Company shall comply with the requirement of Postal Ballot for any such resolutions. However, no such resolution was passed during the year.

### 7. DISCLOSURES

There are no related party transactions of the company of material nature, with promoters, the Directors or Management, their subsidiaries or relatives etc. that may have potential conflict with the interest of the Company at large.

The Company has complied with the requirements of the Stock Exchange/SEBI/any Statutory Authority on all matters related to capital markets during the last three years. There are no penalties or structures imposed on the Company by Stock Exchange or SEBI or any statutory authorities relating to the above.

The Company does not have any Whistle Blower Policy as of now but no personnel is being denied any access to the Audit Committee.

### 8. MEANS OF COMMUNICATION

- (a) The Company regularly intimates unaudited as well as audited financial results to the Stock Exchanges immediately after these are taken on record by the Board. These financial results are normally published in the Financial Express (English Language) and Jansatta (Hindi Language). Further in compliance of Clause 52 of the Listing Agreement, the above information and other communication sent to Stock Exchanges have also been filed under Corporate Filing Dissemination System (CFDS) and are available at website,

In accordance with the Circulars issued by the Ministry of Corporate Affairs on the Green Initiatives and amendment in Clause 32 of the Listing Agreements with the Stock Exchanges, the Company sends Annual Reports and Notice of Annual General Meeting along with Proxy Form electronically/physically.



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- (b) Management Discussion and Analysis Report forms part of the Report of the Directors.

Further results and other information are also displayed on the web site of Company [www.bampslsecurities.co.in](http://www.bampslsecurities.co.in).

### 9. GENERAL SHAREHOLDER INFORMATION

(i) **19th Annual General Meeting:**

Date: Monday, 29th day of September, 2014

Time: 10.00 A.M

Venue: Khasra No. 24/15 Kakrola,  
New Delhi-110043.

(ii) **Financial Year: From 1st April 2013 To 31st March 2014.**

(iii) **Book Closure Date: From 24<sup>th</sup> day September, 2014 To 29<sup>th</sup> day September, 2014 (Both days inclusive).**

(iv) **Dividend Payment Date: NIL**

(v) **Stock Exchanges and Codes:**

Bombay Stock Exchange Limited & Code: 531591;

(vi) **ISIN Number for NSDL & CDSL: INE802A01029**

(vii) **Market Price Data:**

Month	Bombay Stock Exchange Limited	
	High (Rs.)	Low (Rs.)
April 2013	0.67	0.47
May 2013	0.62	0.44
June 2013	0.52	0.36
July 2013	0.60	0.36
August 2013	0.66	0.30
September 2013	0.61	0.40
October 2013	0.61	0.43
November 2013	0.94	0.44
December 2013	0.85	0.60
January 2014	0.78	0.60
February 2014	0.65	0.43
March 2014	0.75	0.47

Source: [www.bseindia.com](http://www.bseindia.com)

(viii) **Registrar and Transfer Agent:** Alankit Assignments Ltd, 2E/21, Jhandewalan Extension, New Delhi-110055.

(ix) **Share Transfer System:**

The Company's shares are traded in the Stock Exchanges in Demat mode. These transfers are effected through NSDL & CDSL. Most of the transfers of shares take place in this form. Transfer of shares in the



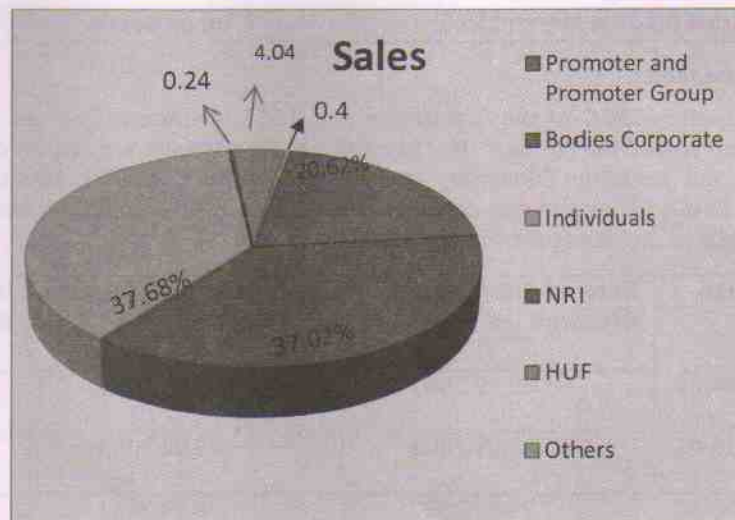
physical form is processed and approved weekly, and the certificates are returned to the shareholders within 15 days from the date of receipt, subject to documents being valid and complete in all respects. The Board has authorised Mr Bhisham Kumar Gupta, Managing Director, to approve the transfer of shares.

(x) **Distribution of Shareholding as on 31.03.2014:**

Range (in shares)		Shareholders		Number of shares	
1	10000	5811	84.89	14199357	4.80
10001	20000	437	6.38	6570461	2.22
20001	30000	180	2.63	4649136	1.57
30001	40000	78	1.14	2787146	0.94
40001	50000	54	0.79	2519486	0.85
50001	100000	110	1.61	8101296	2.74
100001	200000	68	0.99	9913635	3.35
200001	And Above	107	1.56	247217398	83.53
		6845	100.00	295957915	100.00

**Brief Share Holding Pattern as on 31<sup>st</sup> March 2014:**

Category	No. of Shares Held	% of Share Holding
Promoter and Promoter Group	61,031,426	20.62
Bodies Corporate	109,559,524	37.02
Individuals	111,509,224	37.68
NRI	708398	0.24
HUF	11960537	4.04
Others	1188806	0.4
Total	295,957,915	100

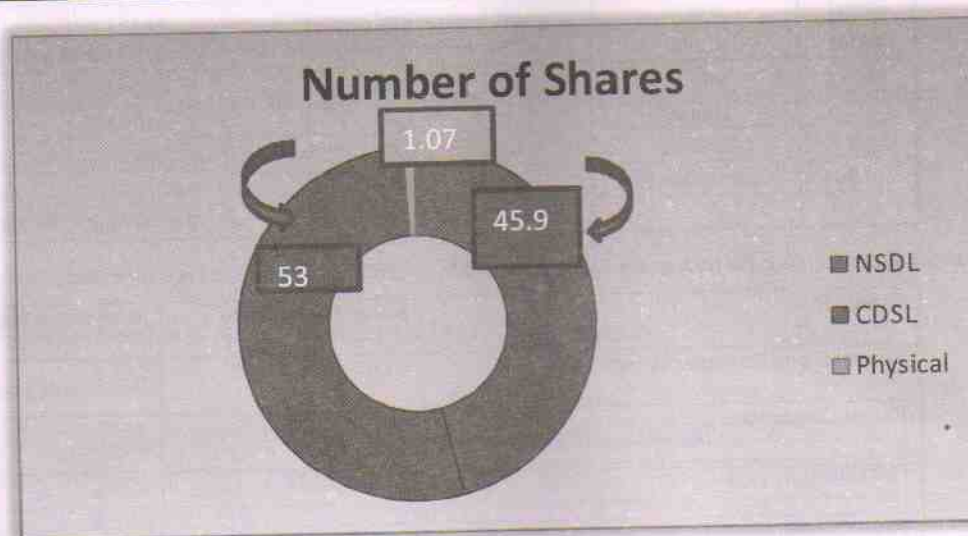




# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

(xi) **Dematerialization of Shares**

Particular	Number of Shares	Percentage (%)
<b>Dematerialization:</b>		
NSDL	144145119	45.90
CDSL	148639562	53.00
<b>Sub-Total:</b>	<b>292784681</b>	<b>98.93</b>
Physical:	3177554	1.07
<b>Total:</b>	<b>295957915</b>	<b>100</b>



(xii) There are no outstanding GDRs/ ADRs/ Warrants or any other convertible instruments.

(xiii) **Financial Calendar:**

Quarter ended on 30th June 2014:	on or before 14th August 2014
Quarter ended on 30th September 2014:	on or before 14th November 2014
Quarter ended on 31st December 2014:	on or before 14th February 2014
Quarter ended on 31st March 2015:	on or before 30th May 2015

(xiv) **Unclaimed Dividend:**

Pursuant to Section 205C of the Companies Act, 1956, dividends that are unpaid/unclaimed for a period of Seven years from the date they become due for payment are required to be transferred by the Company to the Investor Education and Protection Fund (IEPF) administered by the Central Government. Given below are the dates of declaration of dividends and corresponding dates when unpaid/ unclaimed dividends are due for transfer to IEPF:

Financial Year	Date of Declaration of Dividend	Last date for claiming Dividend	Due Date for transfer to IEPF
2004-05	30.09.2005	29.09.2013	30.10.2013 (has been transferred)
2005-06	30.09.2006	29.09.2013	30.10.2013 (has been transferred)
2006-07	29.09.2007	28.09.2014	29.10.2014



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

No dividend was declared by the Company for the Financial Year 2007-08, 2008-09, 2009-10, 2010-11, 2011-12, 2012-2013 and 2013-2014. Members who have so far not encashed their dividend warrants are required to have the same revalidated to avoid transfer to IEPF.

(xv) **Address for Correspondence:**

**Registered Office:**

100-A, Cycle Market, Jhandewalan Extn.,  
New Delhi-110055.  
Ph. No. 011-2355 6436  
E-Mail: [bampslsecurities@yahoo.co.in](mailto:bampslsecurities@yahoo.co.in)

**Annexure:**

**Declaration**

As provided under Clause 49 of the Listing Agreement with the Stock Exchanges, the Directors and the Senior Management Personnel have confirmed compliance with the Code of Conduct during the financial year ended March, 2014

**For Bampsl Securities Limited**

Sd/-

**Bhisham Kumar Gupta  
(Chairman & Managing Director)**

**Date: 30.05.2014**

**Place: New Delhi**



**AUDITOR CERTIFICATE ON CORPORATE GOVERNANCE**

To the members of BAMPSL Securities Limited

We have examined the compliance of conditions of Corporate Governance by Bampsl Securities Limited for the year ended on 31<sup>st</sup> March 2014, as stipulated in Clause 49 of the Listing Agreement of the said company with stock exchange.

The compliance of conditions of corporate governance is the responsibility of the management. Our examination was limited to procedures and implementation thereof adopted by the company for ensuring the compliance of the conditions of Corporate Governance. It is neither an audit nor an expression of opinion on the financial statement of the company.

In our opinion and the best of our information and according to the explanations given to us, we certify that the company has complied with the conditions of Corporate Governance as stipulated in the above mentioned Listing Agreements.

We state that no investor grievance is pending for a period exceeding one month against the Company as per the records maintained by the Investor's Grievance Committee.

We further state that such compliance is neither an assurance as to the future viability of the Company nor the efficiency or effectiveness with which management has conducted the affairs of the Company.

**For G.C. Agarwal & Associates  
Chartered Accountants**

Sd/-  
G.C. Agarwal

NEW DELHI  
30.05.2014



## AUDITORS' REPORT

To,

THE MEMBERS OF  
BAMPSL SECURITIES LIMITED

### **Report on Financial Statements**

We have audited the accompanying financial statement of **BAMPSL Securities Ltd.** (The Company), which comprise the Balance Sheet as on 31st March 2014, the Statement of Profit & Loss Account and Cash Flow Statement for the year then ended and the summary of significant accounting policies and other explanatory information.

### **Management's Responsibility for the financial statements**

Management is responsible for preparation of these financial statements that give a true and fair view of the financial position, financial performance and cash flow of the company in accordance with the accounting standards notified under the Companies Act 1956 (The Act) read with the General Circular 15/2013 dated 13<sup>th</sup> September 2013 of the Ministry of Corporate Affairs in respect of Section 133 of the Companies Act 2013 and in accordance with the accounting principles generally accepted in India. This responsibility includes the design implementation and maintenance of internal control relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with the standard on auditing issued by the Institute of Chartered Accountants of India. Those standards requires that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material mis statement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risk of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessment, the auditor considers internal control relevant to the Company's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriates of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### **Opinion**

In our opinion and to the best of our information and according to explanations given to us, the financial statements are subject to *Note no. 15 regarding non provision for gratuity in accordance with AS-15 issued with ICAI* give the information required by the Act in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India.

- a. In the case of Balance Sheet, of the state of affairs of the Company as at 31st March 2014,
- b. In the case of the Statement of Profit and Loss Account, of Profit for the year ended on that date, and



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

c. In case of Cash Flow Statement of the cash flows for the year ended on that date.

## Report on Other Legal and Regulatory Requirements

1. As required by the Companies (Auditors Report) order, 2003 (the order) issued by the Central Government of India in terms of sub-section (4A) of Section 227 of the Act, we annex hereto a statement on the matters specified in Paragraph 4 and 5 of the said order.
2. As required by Section 227(3) of the Act, we report that:
  - (a) We have obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purpose of our audit.
  - (b) In our opinion, proper books of account as required by law have been kept by the company so far as appears from our examination of those books.
  - (c) The Balance Sheet, the Statement of Profit & Loss, and the Cash Flow Statement dealt with by this report are in agreement with the books of account.
  - (d) In our opinion, the Balance Sheet, the Statement of Profit & Loss, and the Cash Flow Statement comply with the Accounting Standards referred to in sub section (3C) of Section 211 of the Companies Act, 1956;
  - (e) On the basis of the written representations received from the Directors as at 31<sup>st</sup> March, 2014 and taken on record by the Board of Directors, none of the directors is disqualified as on 31<sup>st</sup> March, 2014 from being appointed as a director in term of clause (g) of sub-section (1) of section 274 of the Act.

**For G.C. Agarwal & Associates**

Chartered Accountants  
Firm Regn. No. 017851N

Sd/-  
**(G.C. Agarwal)**  
Prop.  
M.No. 083820

Place: Delhi  
Date: 30.05.2014



## ANNEXURE TO THE AUDITORS' REPORT

(Annexure referred to in Auditors' Report to the members of BAMPSL Securities Ltd on the Accounts for the year ended 31<sup>st</sup> March, 2014)

### 1. In respect of Fixed Assets:

- (a) The Company has maintained proper records showing full particulars including quantitative details and situation of fixed assets.
- (b) As explained to us, the fixed assets have been physically verified by the management during the year, which in our opinion is reasonable, having regard to the size of the Company and nature of the assets. No material discrepancies were noticed on such physical verification.
- (c) The Company has not disposed of substantial part of fixed assets during the year and therefore going concern status of the Company is not affected.

### 2. In respect of the inventories:

- a) As explained to us, the inventories have been physically verified by the management if the shares and securities were in physical form and if those were in dematerialized form, the same were verified with demat accounts, at reasonable intervals during the year.
  - b) In our opinion and according to the information and explanations given to us, the procedure of verification of inventories followed by the management is reasonable and adequate in relation to the size of the Company and nature of its business. The Company has maintained the proper records of inventories.
  - c) The discrepancies noticed on verification between the physical verification and the book records were not material and have been properly dealt with in the books of account.
3. The Company has neither granted nor taken any loans, secured or unsecured from / to Companies, firms or other parties covered in the register maintained under section 301 of the Companies Act, 1956, Consequently, the requirement of Clauses (iii) (b), (c), (d), (f) and (g) of paragraph 4 of the order are not applicable.
4. In our opinion and according to the information and explanations given to us, there is adequate internal control procedure commensurate with the size of the Company and the nature of its business for the purchase of inventory, fixed assets and also for the sale of goods. During the course of our audit, we have not observed any major weakness in internal controls.
5. In respect of transactions covered under Section 301 of the Companies Act, 1956:
- a) According to the information and explanations given to us, the transactions made in pursuance of contracts of arrangements, that need to be entered into the register maintained under Section 301 of the Companies Act, 1956 have been so entered.
  - b) In our opinion and according to the information and explanations given to us, the transactions made in pursuance of contracts of arrangements entered into the register maintained under Section 301 of the Companies Act, 1956 in respect of any party during the period have been made at prices which are reasonable having regard to prevailing market prices at the relevant time.
6. In our opinion and according to the information and explanations given to us, the Company has not accepted any deposits from the public.
7. The Company has its own internal audit system commensurate with its size and nature of its business.
8. The nature of business activities is such that clause 4(viii) of Companies (Auditors Report) 2003 regarding maintenance of cost record, is not applicable to the Company.
9. In respect of statutory dues:
- a) According to the records of the Company and information and explanation given to us, the undisputed statutory dues including Provident fund, Investor Education and Protection Fund, Employees' State Insurance, Income Tax, Sales Tax, Wealth tax, Custom Duty, Excise duty, Cess etc. as applicable to the Company has deposited the same with the appropriate



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

- authorities. There are no undisputed amounts payable in respect of the aforesaid dues were outstanding as at 31<sup>st</sup> March, 2014 for a period of more than six months from the date of becoming payable.
- b) According to the information and explanations given to us, there are no disputed statutory dues that have not been deposited on account of matter pending before appropriate authorities.
10. The Company has no accumulated losses at the end of the year. The Company has neither incurred cash losses during the financial year covered by our audit nor in the immediately preceding financial year.
  11. The Company has not defaulted in repayment of dues of financial institutions, banks, or debenture holders during the year.
  12. According to the information and explanations given to us, no loans and advances have been granted by the Company on the basis of security by way of pledge of shares, debentures and other securities.
  13. As the Company is not a chit fund or a nidhi / mutual benefit fund / society to which the provisions of special statute relating to chit fund are applicable, paragraph 4(xiii) of the order 2003 is not applicable.
  14. The Company has maintained proper records of transactions and contracts in respect of trading in shares, securities, debentures and other investments and timely entries have been made therein. All the shares, debentures and other investments have been held by the Company in its own name.
  15. According to the information and explanations given to us, the Company has not given any guarantees for loans taken by others from banks or financial institutions.
  16. The Company has not raised any term loan during the year.
  17. According to the information and explanations given to us and overall examinations of the Balance Sheet of the Company, we report that during the year, short-term funds have not been used to finance long term investment and vice versa.
  18. According to the information and explanations given to us, the Company has not made any preferential allotment of shares during the year to parties and Companies covered in the register maintained under section 301 of the Companies Act, 1956.
  19. The Company has not issued any debentures therefore clause 4(xix) of the Companies (Audited Report) order, 2003, is not applicable to the Company.
  20. The Company has not raised any money by way of public issue during the year.
  21. In our opinion and according to the information and explanations given to us, no fraud on or by the Company has been noticed or reported during the year that causes the financial statements to be materially misstated.

Place: Delhi  
Date: 30.05.2014

For G.C. Agarwal & Associates  
Chartered Accountants  
Firm Regn. No. 017851N  
Sd/-  
(G.C. Agarwal)  
Prop.  
M.No. 083820





# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

BAMPSL SECURITIES LIMITED Balance Sheet as at 31 March, 2014			
Particulars	Note No.	As at 31 March, 2014	As at 31 March, 2013
		Amount In Rs.	Amount In Rs.
<b>A EQUITY AND LIABILITIES</b>			
<b>Shareholders' funds</b>			
(a) Share capital	2	295,957,915.00	295,957,915.00
(b) Reserves and surplus	3	71,579,525.55	58,051,053.78
		<b>367,537,440.55</b>	<b>354,008,968.78</b>
<b>Non-current liabilities</b>			
(a) Deferred tax liabilities (net)		109,396.33	92,660.01
		<b>109,396.33</b>	<b>92,660.01</b>
<b>Current liabilities</b>			
(a) Trade payables		5,574,951.20	13,197.89
(b) Other current liabilities	4	286,332.80	646,870.26
(c) Short-term provisions	5	4,362,000.00	4,022,000.00
		<b>10,223,284.00</b>	<b>4,682,068.15</b>
<b>TOTAL</b>		<b>377,870,120.88</b>	<b>358,783,696.94</b>
<b>B ASSETS</b>			
<b>Non-current assets</b>			
<b>Fixed assets</b>			
Tangible assets	6	5,136,714.26	5,309,387.82
		<b>5,136,714.26</b>	<b>5,309,387.82</b>
<b>Current assets</b>			
(a) Inventories	7	204,436,616.63	186,948,306.35
(b) Trade receivables	8	-	1,536,027.41
(c) Cash and cash equivalents	9	1,094,684.69	786,952.66
(d) Short-term loans and advances	10	166,286,756.00	160,247,163.00
(e) Other current assets	11	915,349.30	3,955,859.70
		<b>372,733,406.62</b>	<b>353,474,309.12</b>
<b>TOTAL</b>		<b>377,870,120.88</b>	<b>358,783,696.94</b>
Summary of significant accounting policies	1		

Notes referred to above form an integral part of the Balance Sheet and should be read in conjunction therewith

For and on behalf of the Board of Directors

Sd/-  
**BHISHAM KUMAR GUPTA**  
Managing Director  
DIN:-00110915

Sd/-  
**S.K. SINGHAL**  
Director  
DIN 00807679

Sd/-  
**PRIYANKA SINGH**  
Company Secretary  
A23282

**Auditors' Report**  
As Per our report of even date attached  
For G.C. Agarwal & Associates  
Chartered Accountants  
Firm Regn. No 017851N

Sd/-  
**G. C. Agarwal**  
Prop.  
M. No. 083820

Place :- New Delhi  
Dated:- 30-05-2014



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

<b>BAMPSL SECURITIES LIMITED</b>			
<b>Statement of Profit and Loss for the year ended 31 March, 2014</b>			
Particulars	Note No.	For the year ended	For the year ended
		31 March, 2014	31 March, 2013
		Amount In Rs.	Amount In Rs.
<b>A</b>			
<b>REVENUE FROM OPERATIONS</b>			
Sales of Stock in trade		35,081,597.25	64,011,777.18
Interest Income(net)		10,496,307.00	24,496,966.00
Other income	12	75.00	27,500.00
<b>Total</b>		<b>45,577,979.25</b>	<b>88,536,243.18</b>
<b>Expenses</b>			
Purchase of Stock in trade		44,132,015.24	249,510,397.69
Change in Inventories of Stock in Trade		(17,488,310.28)	(184,330,890.85)
Employee benefits expense	13	650,116.00	563,458.00
Other expenses	14	4,025,177.64	10,581,383.96
<b>Total</b>		<b>31,318,998.60</b>	<b>76,324,348.80</b>
<b>Profit before Intrest, Depreciation &amp; Tax</b>		<b>14,258,980.65</b>	<b>12,211,894.38</b>
Depreciation		213,903.56	347,416.79
<b>Profit before tax</b>		<b>14,045,077.09</b>	<b>11,864,477.59</b>
<b>Tax expense:</b>			
(a) Current tax		4,362,000.00	4,022,000.00
(b) Adjustment for Previous Years Taxes		3,862,131.00	1,359,527.00
(c) Deferred tax		3,862,131.00	13,800.54
<b>Profit for the year</b>		<b>9,683,077.09</b>	<b>9,188,204.05</b>
<b>Earnings per equity Share(Rs.)</b>			
Basic		0.03	0.03
Diluted		0.05	0.03
<b>Summary of significant accounting</b>	1		

Notes referred to above form an integral part of the Balance Sheet and should be read in conjunction therewith

**For and on behalf of the Board of Directors**

<p>Sd/- <b>BHISHAM KUMAR GUPTA</b> Managing Director DIN:-00110915</p>	<p>Sd/- <b>S.K. SINGHAL</b> Director DIN 00807679</p>	<p>Sd/- <b>PRIYANKA SINGH</b> Company Secretary A23282</p>
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**Auditors' Report**

As Per our report of even date attached  
For G.C. Agarwal & Associates  
Chartered Accountants  
Firm Regn. No 017851N

<p>Place :- New Delhi Dated:- 30-05-2014</p>	<p>Sd/- <b>G. C. Agarwal</b> Prop. M. No. 083820</p>
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# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

## CASH FLOW STATEMENT FOR THE YEAR ENDED ON 31st MARCH 2014

Particulars	As at 31 March 2014	As at 31 March 2013
<b>A. Cash Flow from operating Activities</b>		
Net Profit	13,528.47	9,188.20
Adjustment for non cash expenditure		
: Depreciation	213.90	347.42
: Provision for Deferred Tax Liabilities	16.74	13.80
: Misc Expenses w/o	856.76	1,144.02
: Provision for Income Tax	4,362.00	4,022.00
: Payment of Income Tax For Earlier Year	(159.87)	(765.47)
: Adjustment Previous Year Tax	(3,862.13)	(1,359.53)
Operating Profit before Working Capital changes	1,427.40	3,402.24
	14,955.87	12,590.44
Add/Less		
: Increase/Decrease in Stock	(17,488.31)	(184,330.89)
: Decrease/Increase in Trade Payable	5,561.75	(82.38)
: Decrease/Increase in Current Liabilities	(360.54)	(157.92)
: Decrease/Increase in Loan & Advances	(6,039.59)	130,174.84
: Decrease/Increase in Current Assets	2,183.75	581.51
: Decrease/Increase in Trade Receivables	1,536.03	(16,329.68)
Net Cash from operating activities	348.96	(3,739.24)
<b>B. Cash Flow from Investing Activities</b>		
Increase in Fixed Assets	(41.23)	(57.60)
<b>C. Cash Flow from Financial Activities</b>		
Add/Less		
Capital Issue Expenses	-	-
Increase In Share Capital	-	-
Net Decrease in Cash	307.73	(3,796.84)
Cash & Cash Equivalent(Opening Balance)	786.95	4,583.79
Cash & Cash Equivalent(Closing Balance)	1,094.68	786.95
	307.73	(3,796.84)

### AUDITOR'S REPORT

We have examined the above Cash Flow Statement of Bampsl Securities Ltd. for the year ended 31st March 2014. The Statement has been prepared by the Company in accordance with the requirements of listing agreements clause 32 with the Stock Exchanges and is based on and in agreement with the corresponding Profit and Loss Account and Balance Sheet of the Company covered by the report of even date to the Members of the Company.

For G.C. Agarwal & Associates  
Chartered Accountants  
Firm Regn. No. 017851N

Sd/-  
G.C. Agarwal  
Prop.  
M.No 083820



**Note No.1**

**SIGNIFICANT ACCOUNTING POLICIES AND NOTES ON ACCOUNTS**

**(a) Basis of Accounting**

The financial statements are prepared as per historical cost convention and in accordance with generally accepted and accounting principles in India, the provisions of the Companies Act, 1956, and the applicable Accounting Standards referred to in Section 211(3C) of the Companies Act, 1956. Income and expenditure having material bearing on the financial statements are recognized on accrual basis.

**(b) Use of Estimates**

The presentation of financial statements in conformity with the generally accepted accounting principles requires the management to make estimates and assumptions that affect the reported amount of assets and liabilities, revenues and expenses and disclosure of contingent liabilities. Such estimates and assumptions are based on management's evaluation of relevant facts and circumstances as on the date of financial statements.

**(c) Stock in Trade**

Stock in trade of shares is valued at cost or market value whichever is lower.

**(d) Employees Benefits**

These are accounted for on accrual basis.

**(e) Provision for Current and Deferred Tax**

Provision for current tax is made after taking into consideration benefits admissible under provisions of the Income Tax Act, 1961. The Company adopts full provision basis for deferred tax in accordance with the Accounting Standard-22 on accounting for taxes on income. Deferred tax is recognized subject to the consideration of prudence, on timing difference, being the difference between taxable incomes and accounting income that originate in one period and are capable of reversal in one or more subsequent periods.

**(f) Earning per Share**

Basic Earning per Share is calculated by dividing the profit after tax for the year attributable to equity shareholders by the weighted average number of equity shares outstanding during the year. Diluted earnings per share is computed by dividing the profit after tax by the weighted average number of equity shares considered for deriving basic earnings per shares and weighted average number of equity shares which could have been issued.

**(g) Contingent Liabilities**

Contingent Liabilities are not provided for and are discussed by way of notes, if any.

**(h) Fixed Assets and Liabilities**

(a) Fixed Assets are stated at cost less Accumulated Depreciation.

(b) Depreciation on Fixed Assets has been provided on Straight Line Method at the rates and in the manner specified in Schedule XIV to the Companies Act, 1956.



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

	March 31,2014	March 31,2013												
<b>2 Share Capital</b>														
Authorised Capital 31,00,00,000/- Equity Shares of Rs. 1 Each	310,000,000	310,000,000												
Issued, subscribed & Fully paid share Capital 29,59,57,915(P.Y. 29,59,57,915) Equity Shares of Rs. 1Each	295,957,915	295,957,915												
	295,957,915	295,957,915												
a) Reconciliation of the number of shares outstanding at the beginning and at the end of the reporting period														
-Number of Shares at the beginning of the year	295,957,915	295,957,915												
-Shares issued & allotted on exercise of right Issue during the year	-													
-Number of shares at the end of the year	295,957,915	295,957,915												
b) The company has only one class of equity shares having a par value of Rs. 1 per share. Each shareholder is eligible to one vote per fully paid equity share held (i.e. in proportion to the paid up shares in equity capital) and ranks pari passu. The Dividend proposed, if any, by the Board of Directors is subject to approval of shareholders in the ensuing Annual General Meeting. The repayment of equity share capital in the event of liquidation and buy back of shares are possible subject to prevalent regulations. In the event of liquidation, normally the equity shareholders are eligible to receive the remaining assets of the company in proportion to their shareholding.														
c) The company has neither any holding company nor any subsidiary company.														
d) Detail of shareholders holding more than 5% share in the Company														
Bhisham Kumar Gupta	<table border="1" style="width: 100%; text-align: center;"> <thead> <tr> <th colspan="2">as at 31st March 2014</th> <th colspan="2">as at 31st March 2013</th> </tr> <tr> <th>No. of Shares</th> <th>% of Holding</th> <th>No. of Shares</th> <th>% of Holding</th> </tr> </thead> <tbody> <tr> <td>61,031,426</td> <td>20.62%</td> <td>61,031,426</td> <td>20.62%</td> </tr> </tbody> </table>		as at 31st March 2014		as at 31st March 2013		No. of Shares	% of Holding	No. of Shares	% of Holding	61,031,426	20.62%	61,031,426	20.62%
as at 31st March 2014		as at 31st March 2013												
No. of Shares	% of Holding	No. of Shares	% of Holding											
61,031,426	20.62%	61,031,426	20.62%											
<b>3 Reserves and Surplus</b>														
Profit as per P&L A/c	9,683,077.09	9,188,204.05												
Amount transferred to														
General Reserve	7,746,461.67	7,350,563.24												
Statutory Reserve(under section 45IC of RBI Act 1934)	1,936,615.42	1,837,640.81												
<b>General Reserve</b>														
Opening balance	34,076,982.82	26,726,419.58												
Add Transfer from Profit & Loss A/c	7,746,461.67	7,350,563.24												
	<b>41,823,444.49</b>	<b>34,076,982.82</b>												
<b>Satutory Reserve(under section 45IC of RBI Act 1934</b>														
Opening Balance	23,974,070.96	22,136,430.15												
Add Transfer from Profit & Loss A/c	1,936,615.42	1,837,640.81												
	<b>25,910,686.38</b>	<b>23,974,070.96</b>												
<b>Total</b>	<b>67,734,130.87</b>	<b>58,051,053.78</b>												
<b>4 Other Current Liabilities</b>														
Director Remuneration Payable	5,000.00	86,000.00												
Unpaid dividends	152,861.76	487,002.26												
Auditor Remuneration Payable	29,000.00	29,000.00												
Exp Payable	51,511.04	6,416.00												
TDS Payable	5,960.00	-												
Salary Payable	42,000.00	38,452.00												
	<b>286,332.80</b>	<b>646,870.26</b>												
<b>5 Short-Term Provisions</b>														
Provision for income tax	4,362,000.00	4,022,000.00												
	<b>4,362,000.00</b>	<b>4,022,000.00</b>												



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

## BAMPSL SECURITIES LIMITED

### FIXED ASSETS

Note No- 6

PARTICULARS	GROSS BLOCK			DEPRECIATION		WRITTEN DOWN VALUE			
	As on 01.04.2013	Addition during the year	Assets Written off or sold during the year	As on 31.03.2014	Upto 31.03.2013	For the Year	Total As on 31.03.2014	As on 31.03.2014	As on 31.03.2013
<b>FIXED ASSETS</b>									
Air- Conditioner	23,400.00	-	-	23,400.00	1,130.27	1,112.00	2,242.27	21,157.73	22,269.73
Computers	932,010.00	41,230.00	-	973,240.00	877,244.00	14,807.00	892,051.00	81,189.00	54,766.00
Laptop	46,000.00	-	-	46,000.00	2,702.00	7,456.00	10,158.00	35,842.00	43,298.00
Furniture & Fixtures	1,966,072.00	-	-	1,966,072.00	447,641.03	124,452.36	572,093.39	1,393,978.61	1,518,430.97
Office equipments	1,077,215.00	-	-	1,077,215.00	372,513.03	52,320.36	424,833.39	652,381.61	704,701.97
Car	755,279.00	-	-	755,279.00	755,279.00	-	755,279.00	68,563.44	74,854.36
Generator	112,640.00	-	-	112,640.00	37,785.64	6,290.92	44,076.56	16,974.85	17,914.85
Invertor	19,800.00	-	-	19,800.00	1,885.15	940.00	2,825.15	4,307.22	4,615.98
Fax	6,500.00	-	-	6,500.00	1,884.02	308.76	2,192.78	3,162.80	7,498.96
Printers	26,750.00	-	-	26,750.00	19,251.04	4,336.16	23,587.20	9,157.00	11,037.00
Printers laserjet	11,600.00	-	-	11,600.00	563.00	1,880.00	2,443.00	2,850,000.00	2,850,000.00
land	2,850,000.00	-	-	2,850,000.00	-	-	-	-	-
<b>Total</b>	<b>7,827,266.00</b>	<b>41,230.00</b>	<b>-</b>	<b>7,868,496.00</b>	<b>2,517,878.18</b>	<b>213,903.56</b>	<b>2,731,781.74</b>	<b>5,136,714.26</b>	<b>5,309,387.82</b>
<b>Previous year</b>	<b>7,769,666.00</b>	<b>57,600.00</b>	<b>-</b>	<b>7,827,266.00</b>	<b>2,170,461.39</b>	<b>347,416.79</b>	<b>2,517,878.18</b>	<b>5,309,387.82</b>	<b>5,599,204.61</b>

Sd/-  
**BHISHAM KUMAR GUPTA**  
Managing Director  
DIN:-00110915

Sd/-  
**S.K. SINGHAL**  
Director  
DIN 00807679

Sd/-  
**PRIYANKA SINGH**  
Company Secretary  
A23282

Place : New Delhi  
Dated :- 30-05-2014



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

## 7 Inventories

### Quoted Equity Shares(Fully Paid Up)

a) BT Technet Ltd. 1234387 Equity Share(P.Y. 1234387) of Rs. 1/- each	2,196,014.70	2,196,014.70
b) Kay Power & Paper Ltd 1622477 Equity Share (P.Y. 380004) of Rs.10/- each	23,734,530.82	4,646,220.54
<b>A</b>	<b>25,930,545.52</b>	<b>6,842,235.24</b>

### Unquoted Equity Shares

c) Nagar Oil Refinery Ltd. 25000 Equity Share of Rs. 1/- each	322,283.75	322,283.75
d) Kakinada Fert Ltd 27500 Equity Share of Rs. 1/- each	322,283.50	322,283.50
e) Amit Heterochem Labs (I)ltd 977301 Equity Shares (P.Y. Nil) of Rs. 10/- each	105,637,503.86	105,637,503.86
f) Indo Japan Agrotech Ltd. 108200 Equity Shares (P.Y. Nil) of Rs. 10/- each	56,264,000.00	56,264,000.00
g) Orchid Bio Tech Ltd 150000 Equity Shares (P.Y. Nil) of Rs. 10/- each	10,800,000.00	10,800,000.00
h) Satya Propcom Pvt. Ltd. Nil Equity share (P.Y. 8000) of Rs. 10/- each	-	1,600,000.00
i) Shubham Gold & gems Pvt. Ltd 172000 Equity share (P.Y. Nil) of Rs. 10/- each	5,160,000.00	5,160,000.00
<b>B</b>	<b>178,506,071.11</b>	<b>180,106,071.11</b>
<b>Total (A+B)</b>	<b>204,436,616.63</b>	<b>186,948,306.35</b>

## 8 Trade Receivables

Outstanding for a period exceeding six months due date	-	84,063.76
others	-	1,451,963.65
	-	<b>1,536,027.41</b>

## 9 Cash and Cash equivalents

Cash in Hand	328,412.06	80,477.06
<b>Balance with scheduled Banks</b>		
in Current Accounts	613,410.87	219,473.34
in Unpaid Dividend Accounts	152,861.76	487,002.26
	<b>1,094,684.69</b>	<b>786,952.66</b>

## 10 Short Term Loans and Advances

Loans-Unsecured but considered good	<b>166,286,756.00</b>	<b>160,247,163.00</b>
-------------------------------------	-----------------------	-----------------------

## 11 Other Current Assets

Security Deposit with BSE	-	2,059,882.00
Income tax refundable	368,107.00	368,107.00
Tds Recoverable	36,000.00	159,869.00
<b>(A)</b>	<b>404,107.00</b>	<b>2,587,858.00</b>

### Mis. Expenses

(to the extent of not written off or adjusted)

Roc Charges	99,839.40	389,678.80
Less Written off during the year	99,839.40	289,839.40
a)	-	99,839.40

Bonus Issue Charges	-	32,520.00
Less Written off during the year	-	32,520.00
b)	-	-

Right Issue Charges	1,268,162.30	2,063,090.30
Add Right Issue Charges Current Year	-	26,729.00
Less Written off during the year	756,920.00	821,657.00
	<b>511,242.30</b>	<b>1,268,162.30</b>

Total (a+b+c) **(B)** 511,242.30 1,368,001.70

Total other Current Assets **(A+B)** 915,349.30 3,955,859.70



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

12	<b>Other Income</b>		
	Dividend	75.00	27,500.00
		<b>75.00</b>	<b>27,500.00</b>
13	<b>Employee benefits expense</b>		
	Salary to Employees	535,548.00	456,785.00
	Staff Welfare	54,568.00	46,673.00
	Director Remuneration	60,000.00	60,000.00
		<b>650,116.00</b>	<b>563,458.00</b>
14	<b>Other expenses</b>		
	Advertisement Exp.	109,323.00	146,823.00
	AGM Exp	50,575.00	45,875.00
	Audit Exp.	29,000.00	29,000.00
	Bad Debts	2,254,776.76	8,315,862.00
	Bank Charges	12,313.00	20,351.00
	Bonus issue Exp W/o	-	32,520.00
	Business Promotion Exp.	14,529.00	17,195.00
	Car Insurance	-	2,631.00
	Computer Exp.	11,335.00	21,250.00
	Courier Exp	6,543.00	10,906.00
	Depository Exp	56,462.01	28,337.50
	Diwali Expenses	4,405.00	-
	Electricity Exp.	61,521.15	60,010.00
	Fees & Subscription	247,142.00	222,536.00
	Legal & Secretarial Exp.	55,700.00	17,371.00
	Mis. Exp.	19,476.50	8,000.00
	Office Repair & Maintenance	24,975.00	45,591.00
	Petrol & Conveyance Exp.	13,256.00	35,175.00
	Photostate Exp.	2,173.00	4,425.00
	Postage & Telegram Exp.	50,154.00	55,605.00
	Printing & Stationary	116,060.00	98,358.00
	Rent for Office	-	135,000.00
	Repair & Maintenance	5,500.00	119,938.00
	Right Issue Exp. W/o	756,920.00	756,920.00
	Roc Charges W/o	99,839.40	289,839.40
	Tours & Travelling Exp.	-	40,000.00
	Telephone Exp.	23,198.82	21,865.06
		<b>4,025,177.64</b>	<b>10,581,383.96</b>

15 No Provision for gratuity has been made as per As-15 as in the opinion of the Management no employee has put on qualifying period of service for entitlement to this benefit.

16 Breakup of Deferred Tax Assets as Deferred Tax Liabilities as 31st March 2014 comprise of following :-

Deferred Tax Liabilities

	as at 31st March 2014	as at 31st March 2013
a) Fixed Assets	109,396.33	92,660.01
b) Other Expenses	-	-
	<b>109,396.33</b>	<b>92,660.01</b>

17 Related Party disclosure under AS 18 (As identified by the management and relied upon by the auditor)

- Director's Remuneration paid to Mr. B. K. Gupta, director amounting 12000/-
- Director's Remuneration paid to Mr. S. K. Singhal, director amounting 48000/-





## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

- 18 As the Company's business activity falls within a single primary business segment the disclosure requirement of AS 17 "Segment Reporting" issued by ICAI is not applicable.
- 19 Balance of Debtors, Creditors, Loan & Advances Outstanding as on Balance Sheet date are subject to confirmation.
- 20 Due to the volume and peculiar nature of the business it is difficult to summarize the quantity of purchase and sales of each type of share.
- 21 **Earning per share:**

Particulars	Year Ended 31.03.2014	Year Ended 31.03.2013
Net Profit for the period attributable to equity shareholders.	9,683,077.09	9,188,204.05
Number of equity shares	295,957,915	295,957,915
Basic and diluted earning per share (face Value of Re. 1/-)	0.03	0.03

22

Paymet To Auditors:	Year Ended 31.03.2014	Year Ended 31.03.2013
Audit Fees	22,000.00	22,000.00
Tax Audit Fees	7,000.00	7,000.00

- 23 Previous Year's figures are re-arranged and regrouped wherever found necessary to make it comparable with the figure of current period

**For and on behalf of the Board of Directors**

Sd/-  
**BHISHAM KUMAR GUPTA**  
Managing Director  
DIN:-00110915

Sd/-  
**S.K. SINGHAL**  
Director  
DIN 00807679

Sd/-  
**PRIYANKA SINGH**  
Company Secretary  
A23282

**Auditors' Report**

As Per our report of even date attached  
For G.C. Agarwal & Associates  
Chartered Accountants  
Firm Regn. No 017851N

Sd/-  
**G. C. Agarwal**  
Prop.  
M. No. 083820

Place :- New Delhi  
Dated:- 30-05-2014



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

## BAMPSL SECURITIES LIMITED

Regd. Office : 100 A, Cycle Market, Jhandewalan Ext. New Delhi-110055

### ATTENDANCE SLIP

Particulars to be completed by Member

Name of Member.....(Folio No.....(IN BLOCK LETTERS)

Client ID No.....

DP ID No. ....

No. of Shares held.....

Name of Proxy, if attending for Member.....  
(IN BLOCK LETTERS)

I hereby record my presence at the 19<sup>th</sup> Annual General Meeting held at Khasra No. 24/15 Kakrola, New Delhi-110043. at 10:00 A.M. on Monday the 29<sup>th</sup> September 2014.

.....  
(Signature of Member/Proxy)

Note: Member/Proxies are requested to bring attendance slip, duly filled up and hand over at the reception. No blank form will be supplied at the reception.

-----Tear Here-----

## BAMPSL SECURITIES LIMITED

Regd. Office: 100 A, Cycle Market, Jhandewalan Ext. New Delhi-110 055

### PROXY FORM

I/ We.....(Folio No./Client ID.....)

Of.....(Address)

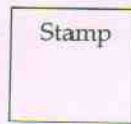
Being a member/members of BAMPSL SECURITIES LTD. hereby appoint Mr./Miss/ Mrs. ....

of.....(Address).....falling him/her, Mr./Miss/ Mrs. ....

.....of.....(Address)

as my/our proxy to vote for me/us on my/our behalf at the 19<sup>th</sup> Annual General Meeting held at Khasra No. 24/15 Kakrola, New Delhi-110043. at 10:00 A.M. on Monday the 29<sup>th</sup> September 2014.

Signed this ----- day of ----- 2014



Signature.....

Note: The Proxy, in order to be effective, must be deposited at the Registered Office of the Company not less than 48 hours before the time for holding the meeting of which the person named in the proxy proposes to vote.



## BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

### BAMPSL SECURITIES LIMITED

Reg. off :- 100-A, Cycle Market, Jhandewalan Extn-110055

CIN : L74899DL1995PLC065028

### ATTENDANCE SLIP

Please complete this attendance slip and hand it over at the entrance of the meeting hall

I hereby record my presence at the 19<sup>th</sup> Annual General Meeting of the Company held on Monday, 29<sup>th</sup> day of September, 2014 at 10:00 a.m. at Khasra No 24/15, Kakrola, New Delhi-110043.

Registered Folio/ DP ID & Client ID	
Name and Address of the Member(s)	

\_\_\_\_\_

*Member's / Proxy's Name in Block Letters*

\_\_\_\_\_

*Member's / Proxy's Signature*

### ELECTRONIC VOTING PARTICULARS

EVEN (E Voting Event Number)	USER ID	PASSWORD/PIN

**Note :** Please read the instructions printed under Note No. 16 to the Notice of 19<sup>th</sup> Annual General Meeting dated September 1<sup>st</sup>, 2014. The Voting period starts from 9.00 am to 6:00 pm on September 25, 2014. At the end of the e-Voting period, the portal where the votes are cast shall forthwith be blocked by NSDL.



# BAMPSL SECURITIES LIMITED ANNUAL REPORT 2013-2014

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**BOOK POST**

If undelivered, please return to:  
**BAMPSL SECURITIES LTD.**  
100 A Cycle Market, Jhandewalan Ext.  
New Delhi-110055.




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Ref. No. : .....

Date : .....

**Form A**

**Letter of the Annual Report to be filed with the Stock Exchanges.**

1.	Name of the company	BAMPSL SECURITIES LIMITED
2.	Annual Financial Statements for the year ended	31 <sup>st</sup> March ,2014
3.	Type of Audit observation	Un-qualified
4.	Frequency of observation	No observation
5.	To be Signed by <ul style="list-style-type: none"> <li>• Managing Director</li> <li>• Auditor of the company</li> <li>• Audit committee chairman</li> </ul>	 <b>Bhisham Kumar Gupta</b>   <b>G.C Agarwal &amp; Associates</b> <b>Firm Reg No. 017851N</b>   <b>Sandeep Kumar Khandelwal</b>