

UNIORTH SECURITIES LIMITED

**ANNUAL REPORT
2013 - 2014**

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UNI WORTH
SECURITIES
LIMITED

CORPORATE INFORMATION

CIN : L51900MH1985PLC035670

BOARD OF DIRECTORS

(As on 27.05.2014)

MEENA LOHIA (DIN : 02331504)

SHYAM KUMAR RATHI (DIN : 01386151)

KAMAL SHARMA (DIN : 02946513)

AUDITORS

M/s. R. K. CHANDAK & CO.,
Chartered Accountants

BANKERS

HDFC BANK LTD.

REGISTERED OFFICE

113, 114, Gambhir Industrial Estate
C Wing, Vishweshwar Nagar Road
Off Aarey Road, Goregaon (E)
Mumbai – 400 063

CORPORATE OFFICE

11, Pollock Street
Kolkata – 700 001
Phone : (033) 2235 1773
Fax : (033) 2221 5752

REGISTRARS

C. B. Management Services (P) Ltd.
P-22 Bondel Road,
Kolkata – 700 019
Phone : (033) 4011-6700/6711/6718/6723
Fax : (033) 4011-6739

UNI WORTH
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NOTICE :

Notice is hereby given that the 28th Annual General Meeting of the Members of UNI WORTH SECURITIES LIMITED will be held at 113, 114, Gambhir Industrial Estate, C Wing, Vishweshwar Nagar Road, Off Aarey Road, Goregaon (E), Mumbai - 400 063 on Monday, the 29th day of September, 2014 at 10.00 A.M. to transact the following business:

ORDINARY BUSINESS :

1. To receive, consider and adopt the Directors' Report and the Audited Balance Sheet as at 31st March, 2014 and the Statement of Profit & Loss for the year ended on that date and the Auditors' Report thereon.
2. To appoint a Director in place of Mrs. Meena Lohia (DIN : 02331504), who retires by rotation and being eligible offers herself for re-appointment.
3. To appoint Auditors and to fix their remuneration.

SPECIAL BUSINESS:

4. To consider and, if thought fit, to pass with or without modification, the following resolution :

As Ordinary Resolution :

RESOLVED THAT Mr. Kamal Sharma (02946513), who was appointed as Additional Director of the Company by the Board of Directors pursuant to Section 161 of the Companies Act, 2013 and Article 82 of the Company's Article of Association and who holds office upto the date of this Annual General Meeting and in respect of whom the Company has received due notice in writing under Section 160 of the Companies Act, 2013 alongwith requisite deposit, proposing his candidature for the Office of the Director, be and is hereby appointed as a Director of the Company, liable to retire by rotation.

Registered Office :

113, 114, Gambhir Industrial Estate
C Wing, Vishweshwar Nagar Road
Off Aarey Road, Goregaon (E), Mumbai – 400 063
Date : 27th May, 2014

By Order of the Board

Kamal Sharma
Director

NOTES :

- a) **A member entitled to attend and vote is entitled to appoint a proxy to attend and vote instead of himself and the proxy need not be a member. Proxies in order to be effective must be received at the Registered Office not less than 48 hours before the meeting.**
- b) The Register of Members and Transfer Books of the company will be closed from 22nd September, 2014 to 29th September, 2014 both days inclusive.
- c) Intimation of any change of address should be given to the Registrar & Transfer Agent /Company immediately.
- d) Explanatory Statement U/s 102 of the Companies Act, 2013 is annexed herewith in respect of items of Special Business.
- e) In terms of Section 205A of the Companies Act 1956 the amount of dividend remaining unclaimed for a period of seven years from the due date is required to be transferred to the Investor Education and Protection Fund. Accordingly the unclaimed dividend for the year ended on 31st March 2008 is due for transfer to the Investor Education and Protection Fund in the month of October, 2014.
Members who have not yet encashed their dividend warrants for the financial year ended 31st March 2008 are advised to write to the Company's Registrars and Transfer Agents to make their claims without any delay.
- f) **Voting through electronic means**
 1. In compliance with provisions of Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014, the Company is pleased to provide members facility to exercise their right to vote at the 28th Annual General Meeting (AGM) of the Company by electronic means and the business may be transacted through e-Voting Services provided by National Securities Depository Limited (NSDL):

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The instructions for e-voting are as under:

- A. In case a member receives an email from NSDL [for members whose email IDs are registered with the Company/Depository Participants (s)] :
- i. Open email and open PDF file viz, "Uniworth Securities Limited e-Voting.pdf" with your Client ID or Folio No. as password. The said PDF file contains your user ID and password for e-voting. Please note that the password is an initial password
 - ii. Launch internet browser by typing the following URL: **https://www.evoting.nsd.com/**
 - iii. Click on Shareholder - **Login**
 - iv. Now Enter your User ID (For Members holding shares in **NSDL**: 8 character DPID followed by 8 Digits Client ID ; For Members holding shares in **CDSL**: 16 digits beneficiary ID; For Members holding shares in Physical Form should enter Folio Number registered with the company and then enter the Image verification as displayed and Click on Login.
 - v. Password change menu appears. Change the password with new password of your choice with minimum 8 digits/characters or combination thereof. Note new password. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential
 - vi. Home page of e-Voting opens. Click on e-Voting: Active Voting Cycles.
 - vii. Select "EVEN" of Uniworth Securities Limited
 - viii. Now you are ready for e-Voting as Cast Vote page opens
 - ix. Cast your vote by selecting appropriate option and click on "Submit" and also "Confirm" when prompted.
 - x. Upon confirmation, the message "Vote cast successfully" will be displayed
 - xi. Once you have voted on the resolution, you will not be allowed to modify your vote
 - xii. Institutional shareholders (i.e. other than individuals, HUF, NRI etc.) are required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/ Authority letter etc. together with attested specimen signature of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer through e-mail **uniworthsecscrutinizer@gmail.com** with a copy marked to **evoting@nsdl.co.in**.
- B. In case a member receives physical copy of the Notice of AGM [for members whose email IDs are not registered with the Company/Depository Participants (s) or requesting physical copy] :
- a. E-voting particulars as mentioned below, including initial password are provided in the Annexure to The Notice for E-voting, which is part of this Notice of AGM to be held on September 29, 2014 :
EVEN (E-Voting Event Number) USER ID PASSWORD
 - b. Please follow all the steps from Sl. No. (ii) to (xii) above, to cast vote.
- II. Members who do not have any access to e-voting, may requisite a Physical Ballot Form from the Office of the Registrars & Share Transfer Agents of the Company. Members are required to fill the Physical Ballot Form and enclose it in a Sealed Envelope and send it "To The Scrutinizer, Mr. Kamal Kumar Sangneria (Unit : Uniworth Securities Limited) C/o. C. B. Management Services Private Limited, P-22, Bondel Road, Kolkata - 700 019." Unsigned/wrongly signed, incomplete or incorrectly ticked forms shall be rejected. The Scrutinizer's decision on the validity of the form will be final. Members are required to vote either through the electronic system or through physical ballot and not in any other mode. In the event of Members casting votes through both the processes, the votes in the electronic system will be considered only. The Physical Ballot Form must be received by the Scrutinizer on or before September 23, 2014.
- III. In case of any queries, you may refer the Frequently Asked Questions (FAQs) for Shareholders and e-voting user manual for Shareholders available at the Downloads section of www.evoting.nsd.com.
- IV. If you are already registered with NSDL for e-voting then you can use your existing user ID and password for casting your vote.
- V. You can also update your mobile number and e-mail id in the user profile details of the folio which may be used for sending future communication(s).
- VI. The e-voting period commences on September 22, 2014 (9:00 am) and ends on September 23, 2014 (6:00 pm).

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During this period shareholders' of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date of August 29, 2014, may cast their vote electronically. The e-voting module shall be disabled by NSDL for voting thereafter. Once the vote on a resolution is cast by the shareholder, the shareholder shall not be allowed to change it subsequently.

- VII. The voting rights of Shareholders shall be in proportion to their shares of the paid up equity share capital of the Company as on, Friday, August 29, 2014.
- VIII. Shri Kamal Kumar Sangneria (Membership No. FCS 2643), practicing company secretary and proprietor of K.K.Sangneria & Associates, Kolkata has been appointed as the Scrutinizer to scrutinize the e-voting process in a fair and transparent manner.
- IX. The Scrutinizer shall within a period of not exceeding three(3) working days from the conclusion of the e-Voting period unlock the votes in the presence of atleast two(2) witnesses not in the employment of the Company and make a Scrutinizer's Report of the votes cast in favour or against, if any, forthwith to the Chairman of the Company.
- X. The Results shall be declared on or after the 28th Annual General Meeting of the Company. The Results declared alongwith the Scrutinizer's Report shall be placed on the website of NSDL and will be published in one newspaper in English and one newspaper in Marathi within two(2) days of passing of the resolutions at the 28th Annual General Meeting of the Company on September 29, 2014 and communicated to the BSE Limited.
- g) Members/Proxies should bring the Attendance Slip duly filled in for attending the Meeting.

MEMBERS ARE REQUESTED TO BRING THEIR COPIES OF THE ANNUAL REPORT TO THE MEETING

ANNEXURES TO THE NOTICE :

EXPLANATORY STATEMENT PURSUANT TO SECTION 102 OF THE COMPANIES ACT, 2013 IN RESPECT OF SPECIAL BUSINESS

Item No. 4

Mr. Kamal Sharma, who was appointed as an Additional Director of the Company with effect from 25th February, 2014 in terms of Section 161 of the Companies Act, 2013 and Article 82 of the Article of Association of the Company, vacates his office at this Annual General Meeting. A notice under Section 160 of the Companies Act, 2013 alongwith requisite deposit has been received by the Company from a member proposing the appointment of Mr. Kamal Sharma as a Director of the Company, liable to retire by rotation.

The proposed resolution is recommended for adoption. None of the Directors except Mr. Kamal Sharma is concerned and/or interested in the aforesaid resolution.

Registered Office :

113, 114, Gambhir Industrial Estate
C Wing, Vishweshwar Nagar Road
Off Aarey Road, Goregaon (E), Mumbai – 400 063
Date : 27th May, 2014

By Order of the Board

Kamal Sharma
Director

GREEN INITIATIVES – on sending Annual Report and Accounts to the members of the Company through electronic mode.

This initiative is pursuant to two Circulars bearing nos. 17/2011 and 18/2011 dated 21st April, 2011 and 29th April, 2011, respectively, issued by the Ministry of Corporate Affairs, Govt. of India.

Under the aforesaid Circulars, service of documents, including Annual Reports and Accounts, upon the shareholders through e-mail – shall be in compliance with Section 53 of the Companies Act, 1956.

The Shareholders (whether holding shares in physical or electronic mode), who are interested to receive soft copy of the Annual Reports and Accounts of the Company on and from the year, i.e., 2011–12, are requested to register their respective e-mail ids at the web-page of our Registrar & Share Transfer Agent, C B Management Services (P) Ltd., at : www.cbmsl.com/green.php

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**DIRECTORS' REPORT
To the Members**

Your Directors have pleasure in presenting the 28th Annual Report together with Audited Statement of Accounts for the year ended 31st March, 2014.

FINANCIAL HIGHLIGHTS :

	31st March 2014 (Rs. In '000)	31st March, 2013 (Rs. In '000)
Total Income	14387.63	11607.81
Profit before Interest and Depreciation	679.60	323.55
Less : Interest	<u>—</u>	<u>—</u>
Profit before Depreciation	679.60	323.55
Less : Depreciation	202.48	<u>202.48</u>
Profit before Tax	477.12	121.07
Less : Provision for Taxation	198.66	48.43
Less : Deferred Tax	(52.67)	<u>(43.91)</u>
Profit After Tax for the year	331.13	116.55
Add : Balance B/F from the Previous year	4432.10	<u>4315.55</u>
Profit available for appropriation	4763.23	<u>4432.10</u>
Appropriations :		
General Reserve	—	—
Dividend on Equity Shares	—	—
Tax on Proposed Dividend	<u>—</u>	<u>—</u>
Surplus Balance carried to Balance Sheet	4763.23	<u>4432.10</u>

DIVIDEND :

The Directors have not recommended any dividend for the year.

OPERATIONS, MANAGEMENT DISCUSSION AND ANALYSIS :

During the year under review, total Income mainly from Trading activities stood increased to Rs.143.88 lacs as against Rs. 116.08 lacs in the previous year, Profits after Tax increased to Rs. 331.13 Lacs as against Rs. 116.55.

Steps are being taken to increase existing business activities and also consider possibilities of starting fresh ventures.

DEPOSITS :

Your Company has not accepted any deposit within the meaning of Section 58A of the Companies Act, 1956 and the rules made thereunder.

DIRECTORS' RESPONSIBILITY STATEMENT :

In compliance of Section 217 (2AA) of the Companies Act, 1956, your Directors state as follows :

- a) That in the preparation of accounts, applicable accounting standards have been followed.
- b) That appropriate accounting policies have been selected and applied consistently with reasonable and prudent judgements and estimates so as to give true and fair view of the state of affairs of the Company.
- c) That proper and sufficient care have been taken for the maintenance of adequate accounting records for safeguarding assets and for preventing fraud and other irregularities.

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d) That the Annual Accounts have been prepared on a going concern basis.

AUDITORS' REPORT :

The Notes on Financial Statements referred to in the Auditors' Report are self explanatory and therefore do not call for any further comment.

DIRECTORS :

Mrs. Meena Lohia (DIN : 02331504), retire by rotation at the ensuing Annual General Meeting and, being eligible, offer herself for re-appointment.

During the Year 2013-2014, Mr. B. L. Jain (DIN : 00865207) resigned from the Board with effect from 25.02.2014.

Mr. P. P. Chowdhury (DIN : 01460839) has ceased as Director of the Company with effect from 18.03.2014.

Mr. Kamal Sharma (DIN : 02946513) has been appointed as an Additional Director of the Company with effect from 25.02.2014. Appropriate Resolution seeking your approval for his appointment are appearing in the Notice convening the Annual General Meeting.

AUDITORS :

The Auditors M/s R. K Chandak & Co., Chartered Accountants, retire at the ensuing Annual General Meeting and are eligible for re-appointment.

SECRETARIAL AUDITOR

In terms of Section 204 of the Companies Act 2013, the Board of Directors at their meeting held on 27th May, 2014, have appointed M/s K.K.Sanganeria & Associates, Practicing Company Secretaries, as Secretarial Auditor, for conducting Secretarial Audit of the Company for the Financial Year 2014-2015.

COMPLIANCE CERTIFICATE :

In accordance with Section 383A of the Companies Act, 1956 and Companies (Compliance Certificate) Rules 2001, the Company has obtained a certificate from a Secretary in Whole time Practice confirming that the Company has complied with all the provisions of the Companies Act, 1956 and a copy of such certificate is placed before the Board and noted.

PARTICULARS OF EMPLOYEES :

The Company has no employee whose remuneration is more than the limit specified in Section 217 (2A) of the Companies Act, 1956

ADDITIONAL INFORMATION :

Statement/Disclosures required pursuant to Section 217(1) (e) of the Companies Act, 1956 and the rules framed thereunder are not applicable.

On Behalf of the Board

Place : Kolkata
Date : 27th May, 2014

Kamal Sharma
Director

S. K. Rathi
Director

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**INDEPENDENT AUDITOR'S REPORT TO THE MEMBERS OF
UNIWORTH SECURITIES LIMITED**

REPORT ON THE FINANCIAL STATEMENTS

1. We have audited the accompanying financial statements of Uniworth Securities Limited ("the Company") which comprise the Balance Sheet as at 31 March 2014, the Statement of Profit and Loss and the Cash Flow Statement for the year then ended, and a summary of significant accounting policies and other explanatory information.

MANAGEMENT'S RESPONSIBILITY FOR THE FINANCIAL STATEMENTS

2. The Company's management is responsible for the preparation of these financial statements that give a true and fair view of the financial position, financial performance and cash flows of the Company in accordance with the Accounting Standards referred to in sub-section (3C) of section 211 of the Companies Act, 1956 ("the Act") read with General Circular 15/2013 of the Ministry of Corporate Affairs in respect of Section 133 of the Companies Act, 2013. This responsibility includes the design, implementation and maintenance of internal control relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

AUDITOR'S RESPONSIBILITY

3. Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with the Standards on Auditing issued by the Institute of Chartered Accountants of India. Those Standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.
4. An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Company's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on effectiveness of the companies internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of the accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.
5. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

OPINION

6. In our opinion and to the best of our information and according to the explanations given to us, the financial statements give the information required by the Act in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India:
 - a) in the case of the balance sheet, of the state of affairs of the Company as at 31 March 2014;
 - b) in the case of the statement of profit and loss, of the profit for the year ended on that date; and
 - c) in the case of the cash flow statement, of the cash flows for the year ended on that date.

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REPORT ON OTHER LEGAL AND REGULATORY REQUIREMENTS

7. As required by the Companies (Auditor's Report) Order, 2003 ("the Order"), as amended, issued by the Central Government of India in terms of sub-section (4A) of section 227 of the Act, we give in the Annexure a statement on the matters specified in paragraphs 4 and 5 of the Order.
8. As required by section 227(3) of the Act, we report that:
- a. We have obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purpose of our audit;
 - b. In our opinion proper books of account as required by law have been kept by the Company so far as appears from our examination of those books.
 - c. The Balance Sheet, Statement of Profit and Loss and Cash Flow Statement dealt with by this Report are in agreement with the books of account.
 - d. In our opinion, the Balance Sheet, Statement of Profit and Loss and Cash Flow Statement comply with the Accounting Standards referred to in sub-section (3C) of Section 211 of the Companies Act, 1956, read with General Circular 15/2013 of the Ministry of Corporate Affairs in respect of Section 133 of the Companies Act, 2013; and
 - e. On the basis of written representations received from the directors as on 31 March 2014, and taken on record by the Board of Directors, none of the directors are disqualified as on 31 March 2014, from being appointed as a director in terms of clause (g) of sub-section (1) of Section 274 of the Companies Act, 1956.
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ANNEXURE TO THE INDEPENDENT AUDITORS' REPORT

Referred to in paragraph 7 of the Independent Auditors' Report of even date to the members of **Uniworth Securities Limited** on the financial statements for the year ended March 31, 2014.

- i) a) Proper records showing full particulars, including quantitative details, situation of Fixed assets are under up-dation.
- b) Fixed assets have been physically verified by the management during the year, which in our opinion is reasonable having regard to the size of the company and the nature of its fixed assets. As informed no material discrepancies were noticed on such physical verification.
- c) The Company has not disposed any of its fixed assets during the year.
- ii) a) As explained to us, the management has conducted physical verification of inventory at reasonable intervals during the year.
- b) The procedure of physical verification of inventory followed by the management are, in our opinion, reasonable and adequate in relation to the size of the company and nature of its business.
- c) The company is maintaining proper records of inventory and no discrepancies were noticed on physical verification.
- iii) a) The Company has not granted any loan, secured or unsecured, to Companies, firms or other parties covered in the register maintained under section 301 of the Companies Act 1956. Accordingly, clauses (iii)(b) to (iii)(d) of paragraph 4 of the Order are not applicable to the company for the current year.

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- b) The company has not taken any loans, secured or unsecured, from Companies, firms or other parties covered in register maintained under section 301 of the Companies Act, 1956. Accordingly, clauses (iii)(f) and (iii)(g) of the order are not applicable.
- iv) In our opinion and according to information and explanations given to us, there are adequate internal control procedures commensurate with the size of the company and the nature of its business with regard to purchase of inventory and fixed assets and for the sale of the goods. During the course of our audit, we have not observed any major weakness in such internal control system.
- v) According to the information and explanations given to us, we are of the opinion that there are no contracts or arrangements referred to in Section 301 of the Companies Act, 1956, that needs to be entered into the register maintained under section 301 of the Companies Act, 1956. Accordingly, clause 4(v)(b) of the order is not applicable to the Company and no further comments are made.
- vi) The company has not accepted any deposit from public.
- vii) The company has appointed a firm of Chartered Accountants to conduct the internal audit of the Company. The extent of internal audit is commensurate to the size and nature of the business of the company.
- viii) Maintenance of Cost records as prescribed by the Central Government under section 209(1)(d) of the Companies Act, 1956 are not applicable.
- ix) a) Undisputed statutory dues including Provident Fund, Investor education and protection fund, Employees State Insurance, Income Tax, Sales Tax, Wealth Tax, Service tax, Custom Duty, Excise Duty and Cess have generally been regularly deposited with appropriate authorities.
b) According to information and explanation given to us, no undisputed amounts payable in respect of Income Tax, Sales Tax, Wealth Tax, Custom Duty, Excise Duty and Cess were outstanding as at 31st March, 2014 for a period of more than six months from the date they became payable.
- x) The company has no accumulated losses at the end of the financial year and it has not incurred cash losses in the current year and in immediately preceding financial year.
- xi) Based on our audit procedure and as per the information and explanation given by the management, the Company has not issued any debentures.
- xii) According to information and explanation given to us and based on the documents and records produced to us, the company has not granted loans and advances on the basis of security by way of pledge of shares, debenture and other securities.
- xiii) In our opinion the company is not a chit fund/mutual benefit fund/society. Accordingly the provision of clause 4(xiii) of the Companies (Auditors Report), 2003 are not applicable to the Company.
- xiv) In respect of dealing/trading in shares, securities and other investments, in our opinion and according to information and explanation given to us, proper records have been maintained of the transactions and contracts and timely entries have been made therein. The shares and other securities have been held by the company in its own name.
- xv) According to information and explanation given to us the company has not given guarantee for loan taken by others from banks or financial institution.
- xvi) The Company has not raised any term loans.
- xvii) According to information and explanation given to us and overall examination of the balance sheet and cash flow statement of the company we report that no funds raised on short-term basis have been used for long-term.
- xviii) The company has not made any preferential allotment of shares to parties or companies covered in the register maintained u/s 301 of the Companies Act, 1956.

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- xix) The Company has not issued any debentures during the year and does not have any debentures outstanding as at the beginning of the year.
- xx) The company has not raised any money through a public issue during the year.
- xxi) Based upon the audit procedure performed for the purpose of reporting true and fair view of the financial statement and as per information and explanation given by the management we report that no fraud on or by the company has been noticed or reported during the course of our audit.

For **R. K.Chandak & Co.**
Chartered Accountants
Firm Registration No. 319248E

Binay Sharma
Partner

Membership No. 065863

Place : Kolkata

Date : The 27th day of May, 2014

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BALANCE SHEET AS AT 31ST MARCH, 2014

Particulars	Note No.	As At 31st March, 2014 (Rs.)	As At 31st March, 2013 (Rs.)
Shareholders' Funds			
Share Capital	1	1,24,48,000.00	1,24,48,000.00
Reserves and Surplus	2	57,13,238.73	53,82,101.80
Total – A		<u>1,81,61,238.73</u>	<u>1,78,30,101.80</u>
Non Current Liabilities			
Deferred Tax Liability	3	93,766.00	1,46,434.00
Total – B		<u>93,766.00</u>	<u>1,46,434.00</u>
Current Liabilities			
Other Current Liabilities	4	17,93,080.00	29,13,517.00
Short Term Provisions	5	4,45,329.00	3,06,133.00
Total – C		<u>22,38,409.00</u>	<u>32,19,650.00</u>
Total – (A+B+C)		<u>2,04,93,413.73</u>	<u>2,11,96,185.80</u>
ASSETS			
Non Current Assets			
Fixed Assets			
Tangible Assets	6	4,21,506.42	6,23,982.42
Non Current Investments	7	51,10,131.00	52,30,131.00
Current Assets			
Inventories	8	30,780.00	30,780.00
Trade Receivables	9	18,93,962.06	21,87,679.06
Cash and Bank Balances	10	5,84,309.20	2,80,698.27
Short Term Loans and Advances	11	1,24,52,725.05	1,28,42,915.05
Total		<u>2,04,93,413.73</u>	<u>2,11,96,185.80</u>
Significant Accounting Policies	18	–	–
Notes on Financial Statements	19		

As per our Report of even date

For **R. K. CHANDAK & CO.**
Chartered Accountants
Firm Registration No. : 319248E

Binay Sharma
Partner
Membership Number : 065863
Place : Kolkata
Date : The 27th Day of May, 2014

Kamal Sharma
Director

S. K. Rathi
Director

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STATEMENT OF PROFIT & LOSS FOR THE YEAR ENDED 31ST MARCH, 2014

Particulars	Note No.	For the year ended 31st March, 2014 (Rs.)	For the year ended 31st March, 2013 (Rs.)
Revenue from operation	12	1,43,87,628.00	1,14,86,232.00
Other Income	13	–	1,21,583.00
Total Revenue		1,43,87,628.00	1,16,07,815.00
Expenses			
Purchases of stock in trade	14	1,22,81,400.00	97,57,600.00
Changes in stock in trade	15	–	–
Employees benefits expenses	16	8,74,987.80	6,87,966.00
Depreciation	6	2,02,476.00	2,02,476.00
Other Expenses	17	5,51,644.27	8,38,701.14
Total expenses		1,39,10,508.07	1,14,86,743.14
Profit before tax		4,77,119.93	1,21,071.86
Tax Expense:			
a) Current Tax		2,00,100.00	22,700.00
b) Deferred Tax		(52,668.00)	(43,909.00)
c) Tax in respect of previous year		(1,449.00)	25,727.00
Profit for the year		3,31,136.93	1,16,553.86
Earning per share			
Basic		0.27	0.09
Diluted		0.27	0.09
Significant Accounting Policies	18		
Notes on Financial Statements	19		

As per our Report of even date

For **R. K. CHANDAK & CO.**
Chartered Accountants
Firm Registration No. : 319248E

Binay Sharma
Partner
Membership Number : 065863
Place : Kolkata
Date : The 27th Day of May, 2014

Kamal Sharma
Director

S. K. Rathi
Director

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LIMITED

CASH FLOW STATEMENT FOR THE YEAR ENDED 31ST MARCH, 2014

Particulars	Note No.	For the year ended 31st March, 2014 (Rs.)	For the year ended 31st March, 2013 (Rs.)
A) CASH FLOW FROM OPERATING ACTIVITIES			
Net Profit /(Loss) before tax and after extra ordinary Item		4,77,119.93	1,21,071.86
Adjustments For			
Depreciation		2,02,476.00	2,02,476.00
Operating Profit before working Capital Changes		6,79,595.93	3,23,547.86
Adjustments For			
Trade & other receivables		7,56,817.00	(11,45,936.05)
Other current liabilities		(11,20,437.00)	5,76,636.05
Cash Flow before Extra Ordinary Items		3,15,975.93	(2,45,752.14)
Direct tax paid (net of refund)		(1,32,365.00)	1,87,571.00
Net Cash from Operating Activities (A)		1,83,610.93	(58,181.14)
B) CASH FLOW FROM INVESTING ACTIVITIES			
Purchase of Fixed Asset		-	-
Sale of Fixed Assets		-	-
Purchase of Investments		-	-
Sale of Investments		1,20,000.00	-
Net Cash From Investing Activities (B)		1,20,000.00	-
C) CASH FLOW FROM FINANCING ACTIVITIES			
Dividend & dividend distribution tax Paid		-	-
Net Cash From Financing Activities (C)		-	-
Net Increase in Cash and Cash Equivalents (A+B+C)		3,03,610.93	(58,181.14)
Cash and Cash Equivalents as on 31.03.2013		2,80,698.27	3,38,879.41
Cash and Cash Equivalents as on 31.03.2014		5,84,309.20	2,80,698.27

As per our Report of even date

For **R. K. CHANDAK & CO.**
Chartered Accountants
Firm Registration No. : 319248E

Binay Sharma
Partner
Membership Number : 065863
Place : Kolkata
Date : The 27th Day of May, 2014

Kamal Sharma
Director

S. K. Rathi
Director

UNI WORTH
SECURITIES
LIMITED

NOTES ON FINANCIAL STATEMENTS FOR THE YEAR ENDED 31ST MARCH, 2014

Particulars	As at 31st March, 2014 (Rs.)	As at 31st March, 2013 (Rs.)
1 SHARE CAPITAL		
Authorised :		
1,250,000 Equity Shares of Rs.10/- each (12,50,000 Equity Shares of Rs. 10/- each)	1,25,00,000.00	1,25,00,000.00
Total	1,25,00,000.00	1,25,00,000.00
Issued, Subscribed & Paid Up :		
12,44,800 Equity Shares of Rs.10/- each fully paid up (12,44,800 Equity Shares of Rs.10/- each fully paid up)	1,24,48,000.00	1,24,48,000.00
Total	1,24,48,000.00	1,24,48,000.00

1.1 The details of Shareholders holding more than 5% shares :

Name of the Shareholders	As at 31st March, 2014		As at 31st March, 2013	
	No of Shares	% held	No of Shares	% held
Meena Lohia	3,21,300.00	25.81%	3,21,300.00	25.81%
Lohia Trust	2,47,700.00	19.90%	2,47,700.00	19.90%
Aman Lohia	1,38,500.00	11.13%	1,38,500.00	11.13%
Vikram Buildcon Private Limited	85,600.00	6.88%	84,000.00	6.75%
R.B. Properties Private Limited	84,000.00	6.75%	83,500.00	6.71%
Touchstone Housing Projects Private Limited	77,500.00	6.23%	77,500.00	6.23%
Uniworth Projects Limited	71,000.00	5.70%	-	-

1.2 The reconciliation of the number of shares outstanding is set below :

Particulars	As at 31st March 2014	As at 31st March 2013
	No of Shares	No of Shares
Equity Shares at the beginning of the year	12,44,800	12,44,800
Equity Shares issued during the year		
Equity Shares bought back during the year		
Equity Shares at the end of the year	12,44,800	12,44,800

1.3 Terms/rights attached to equity shares

The Company has only one class of equity shares having a par value of ₹ 10 per share. Each holder of equity shares is entitled to one vote per share. In the event of liquidation of the Company, the holders of equity shares will be entitled to receive remaining assets of the company, after distribution of all preferential payments.

2 Reserves and Surplus	As at 31st March, 2014	As at 31st March, 2013
	General Reserve	
Opening balance	9,50,000.00	9,50,000.00
Closing balance	9,50,000.00	9,50,000.00
Surplus		
Opening Balance	44,32,101.80	43,15,547.94
Add: Profit for the year	3,31,136.93	1,16,553.86
Closing balance	47,63,238.73	44,32,101.80
Total	57,13,238.73	53,82,101.80

UNI WORTH
SECURITIES
LIMITED

NOTES ON FINANCIAL STATEMENTS FOR THE YEAR ENDED 31ST MARCH, 2014

Particulars	As at 31st March, 2014 (Rs.)	As at 31st March, 2013 (Rs.)
3 Deferred Tax Liability (Net)		
Related to Fixed Assets	93,766.00	1,46,434.00
Total	93,766.00	1,46,434.00
4 Other Current Liabilities		
Unclaimed Dividend	25,500.00	25,500.00
Others Payables	17,67,580.00	28,88,017.00
Total	17,93,080.00	29,13,517.00
5 Short Term Provisions		
Others Provisions		
a) in respect of Income Tax	4,45,329.00	2,45,229.00
b) in respect of Fringe Benefit Tax	—	60,904.00
Total	4,45,329.00	3,06,133.00

6. FIXED ASSETS

Particulars	GROSS BLOCK			DEPRECIATION				NET BLOCK		
	As at 01.04.2013 (Rs.)	Addition (Rs.)	Deduction (Rs.)	As At 31.03.2014 (Rs.)	As At 01.04.2013 (Rs.)	for the year (Rs.)	Adjustments for the year (Rs.)	As at 31.03.2014 (Rs.)	As at 31.03.2014 (Rs.)	As at 31.03.2013 (Rs.)
Tangible Assets										
Motor Car	26,10,772.11	—	12,47,138.00	13,63,634.11	25,93,403.00	—	12,47,138.00	13,46,265.00	17,369.11	17,369.11
Office Equipments	5,12,061.31	—	—	5,12,061.31	2,58,169.00	24,323.00	—	2,82,492.00	2,29,569.31	2,53,892.31
Computers	10,99,032.00	—	—	10,99,032.00	7,46,311.00	1,78,153.00	—	9,24,464.00	1,74,568.00	3,52,721.00
Total	42,21,865.42	—	12,47,138.00	29,74,727.42	35,97,883.00	2,02,476.00	12,47,138.00	25,53,221.00	4,21,506.42	6,23,982.42
Previous Year	42,21,865.42	—	—	42,21,865.42	33,95,407.00	2,02,476.00	—	35,97,883.00	6,23,982.42	—

UNI WORTH
SECURITIES
LIMITED

NOTES ON FINANCIAL STATEMENTS FOR THE YEAR ENDED 31ST MARCH, 2014

Particulars	Face Value	As at 31st March, 2014		As at 31st March, 2013	
		No.	Amount (Rs.)	No.	Amount (Rs.)
7 Non Current Investment					
Trade Investments					
In Equity shares fully paid up					
Quoted shown at cost					
Bhowmick International Ltd	10	10000	10,000.00	10000	10,000.00
Unisocks (India) Ltd	10	50000	2,50,000.00	50000	2,50,000.00
Uniworth International Ltd	10	21100	1,89,000.00	21100	1,89,000.00
Uniworth Ltd	10	350000	11,90,000.00	350000	11,90,000.00
Uniworth Textiles Ltd	10	113050	7,53,500.00	113050	7,53,500.00
Total (A)			23,92,500.00		23,92,500.00
Other Investments					
In Equity shares fully paid up					
Unquoted shown at cost					
Comfort (India) Ltd	10	1600	56,281.00	1600	56,281.00
Devpriya Vinimay Pvt Ltd	10	1990	19,900.00	1990	19,900.00
Dhanrashi Vinimay Pvt Ltd	10	1990	19,900.00	1990	19,900.00
Fibres & Fabrics Pvt Ltd	10	1550	15,500.00	2550	25,500.00
Madgul Estates Pvt Ltd	10	128200	10,25,600.00	128200	10,25,600.00
Manu Vanijya & Holdings Pvt Ltd	10	2000	20,000.00	2000	20,000.00
R B Properties Pvt Ltd	10	1500	15,000.00	1500	15,000.00
Rachit Textrade Pvt Ltd	10	1990	19,900.00	1990	19,900.00
Rewari Worsted Spinners Pvt Ltd	10	850	85,000.00	850	85,000.00
Texworth Credit & Commercial Pvt Ltd	10	1900	19,000.00	1900	19,000.00
Touchstone Housing Projects Pvt Ltd	10	9000	90,000.00	20000	2,00,000.00
Unirama Industries Ltd	10	12000	60,300.00	12000	60,300.00
Uniworth Apparel Ltd	10	100	500.00	100	500.00
Uniworth Projects Ltd	10	9600	96,000.00	9600	96,000.00
Uniworth Resorts Ltd	10	24200	2,46,000.00	24200	2,46,000.00
Vikram Buildcon Pvt Ltd	10	1000	10,000.00	1000	10,000.00
Wellcon Towers Pvt Ltd	10	1000	10,000.00	1000	10,000.00
In preference shares fully paid up					
Unquoted shown at cost					
Madgul Estates Pvt Ltd	100	60000	8,85,000.00	60000	8,85,000.00
Others fully paid up- Quoted shown at cost					
Units 64 of Unit Trust of India	10	1498.423	23,750.00	1498.423	23,750.00
Total (B)			27,17,631.00		28,37,631.00
Total (A) + (B)			51,10,131.00		52,30,131.00
Aggregate value of unquoted Investments			27,17,631.00		28,37,631.00
Aggregate Market value of Quoted Investments			8,44,680.00		8,44,680.00

7.1 In absence of market quotation for the Quoted Securities as on 31.03.2014, aggregate Market value of quoted Investment have been taken as per previous year

UNI WORTH
SECURITIES
LIMITED

NOTES ON FINANCIAL STATEMENTS FOR THE YEAR ENDED 31ST MARCH, 2014

Particulars	As at 31st March, 2014 (Rs.)	As at 31st March, 2013 (Rs.)
8 Inventories		
Stock in Trade	30,780.00	30,780.00
Total	<u>30,780.00</u>	<u>30,780.00</u>
8.1 Details of stock in trade		
Equity Shares	10,532.50	10,532.50
Debentures	20,247.50	20,247.50
Total	<u>30,780.00</u>	<u>30,780.00</u>
9 Trade receivables		
Unsecured considered good		
Trade receivables outstanding for a period more than six months from the date they are due for payment	18,72,679.06	21,87,679.06
Trade receivables outstanding for a period less than six months from the date they are due for payment	21,283.00	-
Total	<u>18,93,962.06</u>	<u>21,87,679.06</u>
10 Cash and Bank Balances		
Cash and cash equivalents		
a) Cash on hand	4,66,376.35	23,853.85
b) Balance with Banks		
In current accounts	1,17,932.85	2,56,844.42
Total	<u>5,84,309.20</u>	<u>2,80,698.27</u>
11 Short Term Loans and Advances		
Unsecured considered good		
Loans and advances to others	1,18,96,163.05	1,23,59,263.05
Security Deposit—Others	1,500.00	1,500.00
Income Tax Paid/Tax deducted at source	5,55,062.00	4,82,152.00
Total	<u>1,24,52,725.05</u>	<u>1,28,42,915.05</u>

UNI WORTH
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NOTES ON FINANCIAL STATEMENTS FOR THE YEAR ENDED 31ST MARCH, 2014

Particulars	Year ended March, 2014 (Rs.)	Year ended March, 2013 (Rs.)
12 Revenue from operation		
Sale of Products	1,35,63,980.00	1,06,36,550.00
Commision received (TDS ₹ 82,365 /- previous year ₹ 84,869/-)	<u>8,23,648.00</u>	<u>8,49,682.00</u>
Total	<u>1,43,87,628.00</u>	<u>1,14,86,232.00</u>
Details of Sale of Products		
Class of Goods		
Stock- in- Trade		
Sarees	1,35,63,980.00	1,06,36,550.00
Total	<u>1,35,63,980.00</u>	<u>1,06,36,550.00</u>
13 Other Income		
Interest received Others	-	1,21,544.00
Other non operating income		
Others	-	39.00
Total	<u>-</u>	<u>1,21,583.00</u>
14 Purchases of stock in trade		
Sarees	1,22,81,400.00	97,57,600.00
Total	<u>1,22,81,400.00</u>	<u>97,57,600.00</u>
15 Changes in stock in trade		
Opening Stock:		
Stock- in- trade	<u>30,780.00</u>	<u>30,780.00</u>
	<u>30,780.00</u>	<u>30,780.00</u>
Closing Stock:		
Stock- in trade	<u>30,780.00</u>	<u>30,780.00</u>
	<u>30,780.00</u>	<u>30,780.00</u>
Total	<u>-</u>	<u>-</u>
16 Employees benefits expenses		
Salaries,Wages,Bonus etc	8,63,476.80	6,18,584.00
Staff Welfare	<u>11,511.00</u>	<u>69,382.00</u>
Total	<u>8,74,987.80</u>	<u>6,87,966.00</u>
17 Other Expenses		
Auditors' Remuneration		
- Audit Fees	28,090.00	28,090.00
- For Other Services	11,236.00	13,745.00
Bank Charges	4,402.57	586.45
Listing Fees	16,854.00	16,854.00
Miscellaneous expenses	82,867.00	1,56,515.00
Postage ,Courier & Telephone	32,819.20	56,487.19
Printing & Staionery	6,884.50	56,819.50
Professional & Consultancy Charges	97,456.00	1,07,787.00
Rates & Taxes	1,900.00	1,900.00
Rent	1,20,000.00	1,20,000.00
Repairs & maintenance others	-	8,589.00

UNI WORTH
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NOTES ON FINANCIAL STATEMENTS FOR THE YEAR ENDED 31ST MARCH, 2014

Particulars	Year ended March, 2014 (Rs.)	Year ended March, 2013 (Rs.)
Service Charges	–	85,387.00
Travelling & Conveyance	1,49,135.00	1,85,941.00
Total	5,51,644.27	8,38,701.14

UNI WORTH
SECURITIES
LIMITED

SIGNIFICANT ACCOUNTING POLICIES

18 Significant Accounting Policies for the year ended March 31, 2014

18.1 Basis of Preparation

The financial Statements have been prepared to comply with all material respects with the mandatory Accounting Standards (AS) notified under section 211(3C) of the Companies Act, 1956 and the relevant provisions of the Companies Act, 1956. The financial statements have been prepared under the historical cost convention on a accrual basis.

18.2 Significant Accounting Policies

a) Use of Estimate

The preparation of financial statements in conformity with Indian GAAP requires the management to make judgments, estimates and assumptions that affect the reported amounts of revenue, incomes, assets and liabilities and disclosures of contingent liabilities at the end of the reporting period. Although these estimates are based on the management's best knowledge of current events and actions, uncertainty about these assumptions and estimates could result in the outcomes requiring a material adjustment to the carrying amounts of assets or liabilities in future periods.

18.3 Tangible Fixed Assets, Depreciation & Impairment

- I. Fixed Assets are stated at cost of acquisition.
- II. Depreciation on Fixed Assets has been provided on straight line method at the rates prescribed in Schedule XIV of the Companies Act, 1956 on pro-rata basis.
- III. The carrying amount of assets is reviewed at Balance Sheet date to determine, if there is any indication of impairment thereof based on external/internal factors and impairment loss is recognized wherever the carrying amount of an assets exceeds its recoverable amount which represent the greater of the net selling price of the assets and its value in use. In assessing value in use, the estimated future cash flow are discounted to their present value based on an appropriate discount factor.

18.4 Investments

Investments are stated at cost. Provision for diminution in the value of investments has not been made as the same is temporary in nature, based on management's evaluation.

18.5 Inventories

Stock of shares has been valued at cost or market price whichever is lower.

18.6 RECOGNITION OF INCOME & EXPENDITURE

Items of income and expenditure are recognized on accrual basis except for Dividend Income, Debenture Interest and Interest from Unit'64 which are being accounted for on cash basis.

18.7 CONTINGENT LIABILITIES

Contingent liabilities are generally not provided for in the accounts and are shown separately in Notes on Accounts.

18.8 RETIREMENT BENEFITS

Retirement benefits to the employees in terms of gratuity are being accounted for as and when paid. Leave is encashed on annual basis as per the Rules of the Company.

18.9 TAXES ON INCOME

- I. Provision for current tax is made in accordance with and at the rates specified under the Income Tax Ac, 1961, as amended.
- II. The deferred tax charge is recognized using current tax rates. Deferred tax assets are recognized only to the extent there is reasonable certainty of realization in future. Deferred tax assets/liabilities are reviewed as at Balance Sheet date based on developments during the year and available case laws, to reassess realization /liabilities.

18.10 PRIOR PERIOD ADJUSTMENTS, EXTRA ORDINARY ITEMS AND CHANGES IN ACCOUNTING POLICIES

Prior period adjustments, extra-ordinary items and changes in accounting policies having material impact on the financial affairs of the company are disclosed.

19. Notes to Financial Statement for the financial year ended on March 31, 2014.

19.1 Earning Per Share

Particulars	Current Year	Previous Year
Profit after tax attributable to Equity shareholders	₹ 331,136.93	₹ 116,553.86
Weighted average number of equity shares outstanding	1,244,800	1,244,800
Earning per share-Basis and diluted	0.27	0.09

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SECURITIES
LIMITED

SIGNIFICANT ACCOUNTING POLICIES

- 19.2 Contingent liabilities are all Nil (Previous year ₹ Nil).
- 19.3 There are no Micro, Small & Medium enterprises to whom company owes dues, which are outstanding for more than 45 days as at 31st March 2014. This information is required to be determined under the Micro, Small & Medium Enterprise Development Act 2006 and has been determined to the extent such parties have been identified on the basis of information available with the company.
- 19.4 Due to Small Scale Undertakings to the extent and as such parties have been identified from available information as on 31st March, 2014 and outstanding for more than 30 days are Nil.
- 19.5 Balances of trade receivables, trade payables, advances, advances from customers are subject to confirmation.
- 19.6 Trade receivables include ₹1,64,636/-, a time barred debt not provided for, classified as more than six months is against sale of Fixed Assets and balances of trade receivables as on 31st March, 2014 are subject to confirmation.
- 19.7 No provisions has been made in the accounts in respect of old debts amounting to ₹ 1,708,043.06, as necessary steps are been taken by the management for recovery of the same.
- 19.8 An amount of ₹ 1,247,138/- included in Tangible fixed assets under the head "Motor Car" has been reversed from the original cost of asset and accumulated depreciation as the assets have become obsolete and does not have any resale value.
- 19.9 Inventories includes 623 Debentures of a body corporate which has been redeemed in three installments dated 23.04.1999, 23.04.2000 & 23.04.2001 respectively. Redemption proceeds for the same are yet to be received.
- 19.10 **Related Party disclosure**
Related party disclosure as identified by the management in accordance with the Accounting Standard (AS) 18 on "Related Party Disclosures".
- A) Name of related party and description thereof**
- i) Key Management Personnel (KMP)
Mrs Meena Lohia – Director
- B) Related party transaction**
There are no transactions with the related party.
- 19.11 **Business Segments :**
Based on the nature of activities, risk and rewards and organization structure, the Company has a single segment namely "**Trading and Textiles**". Therefore, the Company's business does not fall under different business segments as defined by "AS-17 "Segment Reporting" issued by the Institute of Chartered Accountants of India.
- Geographic Segments:**
The Company operates predominantly with the geographical limits of India. Accordingly, Secondary Segment has not been considered.
- 19.11 Previous year figures have been regrouped/re classified to conform to this year's classification.
- 19.13 Significant accounting policies and practices adopted by the company are disclosed in the statement annexed to these financial statements as Note 18.

As per our report annexed
For **R. K. CHANDAK & CO.**
Chartered Accountants
Firm Registration No. : 319248E

Binay Sharma
Partner
Membership Number : 065863
Place : Kolkata
Dated : 27th May 2014

Kamal Sharma
Director

S. K. Rathi
Director

UNI WORTH SECURITIES LIMITED

CIN : L51900MH1985PLC035670

Regd. Office : 113, 114, Gambhir Industrial Estate, C Wing, Vishweshwar Nagar Road, Off Aarey Road, Goregaon (E), Mumbai - 400 063

ATTENDANCE SLIP

PLEASE FILL ATTENDANCE SLIP AND HAND IT OVER AT THE ENTRANCE OF THE MEETING HALL

DP ID*		Folio No.	
Client ID*		No. of Shares Held	

NAME AND ADDRESS OF THE SHAREHOLDER

I hereby record my presence at the **28TH ANNUAL GENERAL MEETING** of the Company held at 113, 114, Gambhir Industrial Estate, C Wing, Vishweshwar Nagar Road, Off Aarey Road, Goregaon (E), Mumbai - 400 063 on Monday, the 29th day of September, 2014 at 10.00 A.M.

* Applicable for investors holding shares in electronic form.

Signature of Member / Proxy

UNI WORTH SECURITIES LIMITED

CIN : L51900MH1985PLC035670

Regd. Office : 113, 114, Gambhir Industrial Estate, C Wing, Vishweshwar Nagar Road, Off Aarey Road, Goregaon (E), Mumbai - 400 063

PROXY FORM

Form No. MGT-11

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

Name of the member(s):		E-mail Id:	
Registered address:		Folio No / DP ID & Client ID:	

I/We, being the member(s) of shares of Uniworth Securities Limited, hereby appoint :

- 1) of having e-mail id or failing him
- 2) of having e-mail id or failing him
- 3) of having e-mail id

and whose signature(s) are appended below as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 28th Annual General Meeting of the Members of the Company will be held at 113, 114, Gambhir Industrial Estate, C Wing, Vishweshwar Nagar Road, Off Aarey Road, Goregaon (E), Mumbai - 400 063 on Monday, the 29th day of September, 2014 at 10.00 A.M. and at any adjournment thereof in respect of such resolutions as are indicated below:

Resolution Nos.

1. Adoption of Financial Statement for year ended 31st March 2014.	3. Re-appointment of Auditors and fixing their remuneration
2. Re-appointment of Mrs. Meena Lohia, who retires by rotation.	4. Appointment of Mr. Kamal Sharma as an Additional Director

Signed this day of 2014

Signature of Shareholder(s) :

Signature of Proxyholder(s) :

Affix
Revenue
Stamp

- Note :** (1) This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company not less than 48 hours before the commencement of the meeting.
(2) A Proxy need not be a member of the Company.

Uniworth Securities Limited

CIN : L51900MH1985PLC035670

Regd. Office : 113, 114, Gambhir Industrial Estate, C Wing, Vishweshwar Nagar Road,
Off Aarey Road, Goregaon (E), Mumbai - 400 063

ANNEXURE TO THE NOTICE DATED 27TH MAY, 2014 FOR E-VOTING AT THE ANNUAL GENERAL MEETING TO BE HELD ON 29TH SEPTEMBER, 2014

Name & Registered Address
of the Sole / First named Shareholder :

Name(s) of Joint holder(s), if any :

Folio No. / DP ID & Client ID :

Number of Share(s) held :

Dear Shareholder,

Subject : Process and manner for availing E-voting facility

Pursuant to provisions of Section 108 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014 the Company is pleased to offer e-voting facility to the members to cast their votes electronically on all resolutions set forth in the Notice dated 27th May, 2014 as an alternative to participation at the 28th Annual General Meeting to be held on Monday, the 29th day of September, 2014 at 10.00 A.M. The Company has engaged the services of National Securities Depository Limited ("NSDL") to provide e-voting facilities. The e-voting facility is available at the link <https://www.evoting.nsdl.com>

The electronic voting particulars are set out below :

EVEN (E-voting event number)	User ID	Password

The e-voting facility will be available during the following voting period :

Commencement of e-voting	End of e-voting
22nd September, 2014 from 9.00 A.M. IST	23rd September, 2014 till 6.00 P.M. IST

Please read the instructions printed in points no. (f) of the Notes of the Notice of AGM before exercising the vote

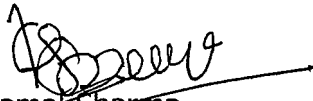

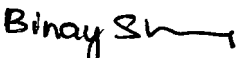


By Order of the Board
Uniworth Securities Limited
Kamal Sharma
Director

REGISTERED BOOK POST

If undelivered, please return to :
C. B. Management Services (P) Ltd.
Unit : Uniworth Securities Limited
P-22 Bondel Road
Kolkata – 700 019

FORM A

Format of covering letter of the Annual Audit Report to be filed with the Stock Exchange

1	Name of the Company	Uniworth Securities Limited
2	Annual Financial Statements for the Year ended	31st March 2014
3	Type of Audit observation	Unqualified
4	Frequency of observation	Not Applicable
5.	To be signed by – CEO / Managing Director	For Uniworth Securities Ltd  Kamal Sharma Director For Uniworth Securities Ltd  Shyam Rathi Director For R. K. Chandak & Co. Chartered Accountants (FRN : 319248E)  Binay Sharma Partner Membership No. 065863
	 <ul style="list-style-type: none">• Auditor of the Company	
	 <ul style="list-style-type: none">• Audit committee Chairman	Not Applicable