

**AUTHUM INVESTMENT
&
INFRASTRUCTURE LIMITED**

ANNUAL REPORT 2013-2014

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CORPORATE INFORMATION



COMPOSITION OF BOARD & KEY MANAGERIAL PERSONNEL

Mr. Navin Kumar Jain	Managing Director
Mr. Aditya Parakh	Director
Mr. Tapan Sodani	Director
Mr. Suresh Pukhraj Jain	Director
Mr. Bishal Agarwal	Company Secretary & Compliance Officer

PRINCIPAL BANKER

The Axis Bank Ltd.

AUDITORS

Sanghai & Co.
Chartered Accountants,
“Centre Point”, 21, Hemanta Basu Sarani
2nd Floor, Suite No.201-202, Kolkata- 700 001

REGISTRAR & SHARE TRANSFER AGENTS

Maheswari Datamatics Pvt. Ltd.
6, Mangoe Lane, 2nd Floor, Kolkata- 700 001
Ph. No.:(033) 2248-2248

REGISTERED OFFICE ADDRESS

7, Mangoe Lane, 2nd Floor, Room No.212, Kolkata-700001
Phone No. 033-40068296

CORPORATE IDENTIFICATION NUMBER

L51109WB1982PLC035088



NOTICE

Notice is hereby given that the Thirty Second Annual General Meeting of the members of M/s. Authum Investment and Infrastructure Limited will be held on Monday, 29th September, 2014 at 11.30 A.M. at 7, Mangoe Lane, 2nd Floor, Room No.212, Kolkata-700001 to transact following business. :-

ORDINARY BUSINESS:

1. To receive, consider and adopt the Audited Balance Sheet as at 31st March 2014 and the Profit and Loss Account for the year ended as on that date together with the Reports of the Directors and Auditors thereon.
2. To appoint a Director in place of Mr. Aditya Parakh, who retires by rotation and being eligible, offers himself for re-appointment.
3. To consider, & if thought fit, to pass with or without modification the following resolution as an ordinary resolution.

“RESOLVED THAT M/s Sanghai & Co., Chartered Accountants (firm Reg.No. 319079E) be and are hereby appointed as Statutory Auditors of the company with effect from the conclusion of this meeting until the conclusion of the Thirty Fifth annual general meeting at a remuneration to be fixed by the Board of Directors.”

SPECIAL BUSINESS

Item No. 4: To appoint Mr. Suresh Pukhraj Jain, (DIN: 00048463) as an independent Director and in this regard to consider and if thought fit, to pass with or without modification(s), the following resolution as an **Ordinary Resolution**.

“RESOLVED THAT pursuant to the provisions of Sections 149, 150, 152 and any other applicable provisions of the Companies Act, 2013 and Companies (Appointment and qualification of Directors) Rules, 2014 (including any modification(s) or re-enactment thereof for the time being in force) and clause 49 of the Listing Agreement, Mr. Suresh Pukhraj Jain, (DIN: 00048463) Director of the Company, who was appointed as a Director liable to retire by rotation and who submitted a declaration that he meets the criteria of independence under Section 149(6) of the Companies Act, 2013, be and is hereby appointed as independent Director of the Company, whose term shall not be subject to retire by rotation, to hold office up to the conclusion of 37th Annual General meeting.”

Item No. 5: To appoint Mr. Tapan Sodani, (DIN: 01921743) as an independent Director and in this regard to consider and if thought fit, to pass with or without modification(s), the following resolution as an **Ordinary Resolution**.

“RESOLVED THAT pursuant to the provisions of Sections 149, 150, 152 and any other applicable provisions of the Companies Act, 2013 and Companies (Appointment and qualification of Directors) Rules, 2014 (including any modification(s) or re-enactment thereof for the time

being in force) and clause 49 of the Listing Agreement, Mr. Tapan Sodani, (DIN: 01921743) Director of the Company, who was appointed as a Director liable to retire by rotation and who submitted a declaration that he meets the criteria of independence under Section 149(6) of the Companies Act, 2013, be and is hereby appointed as independent Director of the Company, whose term shall not be subject to retire by rotation, to hold office up to the conclusion of 37th Annual General meeting.”

Item No. 6: To alter the terms of appointment of Mr. Navin Kumar Jain,(DIN: 00465888) Managing Director

“RESOLVED THAT in supersession of the earlier resolution passed in the annual general meeting held on 30th September, 2013, Managing Director Mr. Navin Kumar Jain,(DIN: 00465888) be and is hereby appointed as a Managing Director liable to retire by rotation.”

Item No. 7: Increase in the Borrowing Powers of the Company

To consider and if thought to fit to pass with or without modification(s), the following Resolution as a **Special Resolution:**

“RESOLVED THAT , pursuant to the provisions of Section 180(1)(c) and other applicable provisions, if any, of the Companies Act, 2013 including any statutory modifications or any amendments or any substitution or re-enactment thereof, if any, for the time being in force and all other applicable Acts, laws, rules, regulations and guidelines for the time being in force; the consent of the Company be and is hereby accorded to the Board of Directors of the Company for borrowing from time to time as they may think fit, any sum or sums of money not exceeding Rs. 200 Crores [including the money already borrowed by the Company] on such terms and conditions as the Board may deem fit, whether the same may be secured or unsecured and if secured, whether by way of mortgage, charge or hypothecation, pledge or otherwise in any way whatsoever, on, over or in any respect of all, or any of the Company’s assets and effects or properties whether moveable or immovable, including stock-in-trade, notwithstanding that the money to be borrowed together with the money already borrowed by the Company (apart from the temporary loans obtained from the Company’s Bankers in the ordinary course of business) and remaining un-discharged at any given time, exceed the aggregate, for the time being, of the paid-up capital of the Company and its free reserves.

RESOLVED FURTHER THAT for the purpose of giving effect to the above Resolution, the Board of Directors of the Company be and is hereby authorised to take all such actions and to give all such directions and to do all such acts, deeds, matters and things as may be necessary and/or expedient in that behalf.”

Date 29th August, 2014
Place: Kolkata

Registered Office:-
7, Mangoe Lane, 2nd Floor
Room No. 212, Kolkata- 700001

By Order of the Board of Directors



Navin Kumar Jain
Managing Director
DIN No.:00465888

NOTES:

1. The Explanatory Statement pursuant to Section 102 of the Companies Act, 2013 is annexed hereto.
2. **A MEMBER ENTITLED TO ATTEND AND VOTE IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF/HERSELF AND THE PROXY NEED NOT BE A MEMBER.**
3. The Register of Members and Share Transfer Books will remain closed from Tuesday, 23rd September 2014 to Monday 29th September 2014 (both days inclusive).
4. The information required to be provided under the Listing Agreement entered into by the Company with the Stock Exchange regarding the Director who is proposed to be reappointed is given in the annexure to the Notice.
5. Members are requested to notify immediately any change in their address, transfer/transmission of shares, issue of duplicate share certificates, bank mandates, dividend and all other matters relating to the shareholding in the company may be made directly to M/s. Maheshwari Datamatics Private Ltd., the Registrar and share transfer agent (RTA) for shares held in physical form and to their respective Depository Participant(s) for shares held in electronic form.
6. Members are requested to quote their Registered Folio Number or Demat Account Number & Depository Participant (D.P.) ID number on all correspondence with the Company.
7. Members/Proxy holders are requested to bring their copies of the Annual Report at the Annual General Meeting.
8. Members are requested to bring their Attendance Slip sent herewith duly filled for attending the Meeting.

9. E-VOTING:-

The Company is pleased to provide E-voting facility through M/s. Maheshwari Datamatics Pvt. Ltd., for all shareholders of the Company to enable them to cast their votes electronically on the items mentioned in this notice of the 32nd Annual General Meeting of the Company. The Company has appointed Sweety Kapoor, Practicing Company Secretary (COP No. 31923) as the Scrutinizer for conducting the e-voting process in a fair and transparent manner. E-voting is optional. The voting rights of shareholders shall be in proportion to their shares of the paid up equity share capital of the Company

The instructions for members for voting electronically are as under:-

In case of members receiving e-mail:

- (i) Log on to the e-voting website www.evotingindia.com during the voting period.
- (ii) Click on "Shareholders" tab.
- (iii) Now, select the "COMPANY NAME" from the drop down menu and click on "SUBMIT"
- (iv) Now Enter your User ID
 - a. For CDSL: 16 digits beneficiary ID,

- b. For NSDL: 8 Character DP ID followed by 8 Digits Client ID,
 c. Members holding shares in Physical Form should enter Folio Number registered with the Company.
- (v) Next enter the Image Verification as displayed and Click on Login.
 (vi) If you are holding shares in demat form and had logged on to www.evotingindia.com and voted on an earlier voting of any company, then your existing password is to be used.
 (vii) If you are a first time user follow the steps given below:

For Members holding shares in Demat Form and Physical Form	
PAN	<p>Enter your 10 digit alpha-numeric *PAN issued by Income Tax Department (Applicable for both demat shareholders as well as physical shareholders)</p> <ul style="list-style-type: none"> Members who have not updated their PAN with the Company / Depository Participant are requested to enter in capital letters the PAN field of 10 characters as First 2 Characters of the First Holder Name followed by 8 characters consisting of Folio Number prefix by "0" (or 8 characters from right of BO-ID). No special characters or space will be taken from the name and folio number and name shall be excluded of titles like Mr. / Mrs. / Smt. / Miss / Ms. / M/s. etc. <p>Example: (1) Mr. V. N. Swami and Folio Number is S00245, the PAN will be VN000S0245 (2) M/s. 4Square Company Ltd. and Folio Number is C0052 the PAN will be 4S000C0052</p>
DOB	Enter the Date of Birth as recorded in your demat account with the depository or in the company records for your folio in dd/mm/yyyy format.
Dividend Bank Details	<p>Enter the Bank Account Number as recorded in your demat account with the depository or in the company records for your folio.</p> <ul style="list-style-type: none"> Please Enter the DOB or Bank Account Number in order to Login. If both the details are not recorded with the depository or company then please enter in the Dividend Bank Details field the Number of Shares Held by you as on Cut off date (Record Date) of 29th August, 2014

- (viii) After entering these details appropriately, click on "SUBMIT" tab.
 (ix) Members holding shares in physical form will then reach directly to the Company selection screen. However, members holding shares in demat form will now reach 'Password Creation' menu wherein they are required to mandatorily enter their login password in the new password field. Kindly note that this password is to be also used by the demat holders for voting for resolutions of any other company on which they are eligible to vote, provided that company opts for e-voting through CDSL platform. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential.
 (x) For Members holding shares in physical form, the details can be used only for e-voting on the resolutions contained in this Notice.
 (xi) Click on the EVSN for the relevant <Company Name> on which you choose to vote.
 (xii) On the voting page, you will see "RESOLUTION DESCRIPTION" and against the same the option "YES/NO" for voting. Select the option YES or NO as desired. The option YES implies that you assent to the Resolution and option NO implies that you dissent to the Resolution.
 (xiii) Click on the "RESOLUTIONS FILE LINK" if you wish to view the entire Resolution details.

- (xiv) After selecting the resolution you have decided to vote on, click on “SUBMIT”. A confirmation box will be displayed. If you wish to confirm your vote, click on “OK”, else to change your vote, click on “CANCEL” and accordingly modify your vote.
- (xv) Once you “CONFIRM” your vote on the resolution, you will not be allowed to modify your vote.
- (xvi) You can also take out print of the voting done by you by clicking on “Click here to print” option on the Voting page.
- (xvii) If Demat account holder has forgotten the changed password then Enter the User ID and the image verification code and click on Forgot Password & enter the details as prompted by the system.
- (xviii) Note for Institutional Shareholders

- Institutional shareholders (i.e. other than Individuals, HUF, NRI etc.) are required to log on to <https://www.evotingindia.co.in> and register themselves as Corporates.
- They should submit a scanned copy of the Registration Form bearing the stamp and sign of the entity to helpdesk.evoting@cdslindia.com.
- After receiving the login details they have to create a user who would be able to link the account(s) which they wish to vote on.
- The list of accounts should be mailed to helpdesk.evoting@cdslindia.com and on approval of the accounts they would be able to cast their vote.
- They should upload a scanned copy of the Board Resolution and Power of Attorney (POA) which they have issued in-favour of the Custodian, if any, in PDF format in the system for the scrutinizer to verify the same.

In case of members receiving the physical copy:

- (A) Please follow all steps from sl. no. (i) to sl. no. (xvii) above to cast vote.
- (B) The voting period begins on Tuesday, 23rd September, 2014 (10.00 A.M.) and ends on Thursday, 25th September, 2014 (6.00 P.M.). During this period shareholders of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date 29th August, 2014, may cast their vote electronically. The e-voting module shall be disabled by CDSL for voting thereafter. Once the vote on a resolution is cast by the shareholder, the shareholder shall not be allowed to change it subsequently. Further, the shareholders who have cast their vote electronically shall not be able debarred from participation in the AGM, however, he shall not be able to vote in the AGM again and his earlier vote cast through electronic means shall be treated as final.
- (C) The Scrutinizer shall within a period not exceeding three working days from the conclusion of the e-voting period unblock the votes in the presence of at least two witnesses not in the employment of the Company and make a Scrutinizer’s Report of the votes cast in favour or against, if any, forthwith to the Chairman of the Company. The Results declared shall be communicated to the Stock Exchange.
- (D) In case you have any queries or issues regarding e-voting, you may refer the Frequently Asked Questions (“FAQs”) and e-voting manual available at www.evotingindia.co.in under help section or write an email to helpdesk.evoting@cdslindia.com

By Order of the Board of Directors

Place: Kolkata

Date: 29th August, 2014

Navin Kumar Jain
Managing Director
DIN No.:00465888

Registered Office :-

7, Mangoe Lane, 2nd Floor

Room No. 212, Kolkata- 700001

EXPLANATORY STATEMENT PURSUANT TO SECTION 102 OF THE COMPANIES ACT, 2013

Item No. 4

The Company had, pursuant to the provisions of Clause 49 of the Listing Agreements and provisions of Section 149 of the Act, which came into effect from 1st April 2014, have decided to appoint Mr. Suresh Pukhraj Jain, who is already a non executive independent director as an independent director. Mr. Suresh Pukhraj Jain has given declarations to the Board that they meet the criteria of independence as provided under Section 149 (6) of the Companies Act, 2013. Also the notice under Section 160 of the Companies Act 2013 is also received proposing his candidature for the office of Independent director

A brief profile of Mr. Suresh Pukhraj Jain, as required under Clause 49 of the Listing Agreement, is provided as Annexure A of this notice.

The Board commends the Resolution at Item No. 3 of the accompanying notice for approval by the Members of the Company.

None of the Directors and Key Managerial Personnel of the Company or their respective relatives are concerned or interested in the Resolution at Item No 3 of the accompanying Notice.

Item No. 5

The Company had, pursuant to the provisions of Clause 49 of the Listing Agreements and provisions of Section 149 of the Act, which came into effect from 1st April 2014, have decided to appoint Mr. Tapan Sodani, who is already a non executive independent director as an independent director. Mr. Tapan Sodani has given declarations to the Board that they meet the criteria of independence as provided under Section 149 (6) of the Companies Act, 2013. Also the notice under Section 160 of the Companies Act 2013 is also received proposing his candidature for the office of Independent director

A brief profile of Mr. Tapan Sodani, as required under Clause 49 of the Listing Agreement , is provided as Annexure A of this notice.

The Board commends the Resolution at Item No. 3 of the accompanying notice for approval by the Members of the Company.

None of the Directors and Key Managerial Personnel of the Company or their respective relatives are concerned or interested in the Resolution at Item No 3 of the accompanying Notice.

Item No.6

As per the New Companies Act, 2013, two third of the directors on Board has to be directors liable to retire by rotation, hence the terms of appointment of Mr. Navin Jain are altered/modified to the extent of him making liable to retire by rotation.

A brief profile of Mr. Navin, as required under Clause 49 of the Listing Agreement, is provided as Annexure A of this notice.

The Board commends the Resolution at Item No. 5 of the accompanying notice for approval by the Members of the Company.

None of the Directors and Key Managerial Personnel of the Company or their respective relatives are concerned or interested in the Resolution at Item No 5 of the accompanying Notice.

Item No. 7

Under the provisions of Section 293(1)(d) of the Companies Act, 1956, the Board of Directors of a Company could, with the consent of the Members obtained by an Ordinary Resolution, borrow moneys, apart from temporary loans obtained from the Company's Bankers in the ordinary course of business, in excess of the aggregate of the paid-up capital and free reserves of the Company, that is to say, reserves not set apart for any specific purpose.

Under the provisions of Section 180(1)(c), the above powers can be exercised by the Board only with the consent of the Members obtained by a Special Resolution. Further, as per clarification dated 25th March 2014 issued by the Ministry of Corporate Affairs, the Ordinary Resolutions earlier passed under Section 293(1)(d) of the Companies Act, 1956 will remain valid for a period of one year from the date of notification of Section 180 of the Act, i.e. upto 11th September 2014.

As such, it is necessary to obtain fresh approval of the Members by means of a Special Resolution, to enable the Board of Directors of the Company to borrow moneys, apart from temporary loans obtained from the Company's Bankers in the ordinary course of business, in excess of the aggregate of the paid-up share capital and free reserves of the Company.

The Board commends the Resolutions at Item Nos.7 of the accompanying Notice for approval by the Members of the Company by a Special Resolution.

None of the Directors and Key Managerial Personnel of the Company or their respective relatives are concerned or interested in the Resolutions at Item Nos.7 the accompanying Notice.

Place: Kolkata

Date: 29th August, 2014

Registered Office:-

7, Mangoe Lane, 2nd Floor

Room No. 212, Kolkata- 700001

By Order of the Board of Directors



Navin Kumar Jain

Managing Director

DIN No.:00465888

Details of the directors seeking re-appointment at the forthcoming Annual General Meeting -As required under Clause 49IV (G) of the Listing Agreement entered into with the Stock Exchanges

Name of the Director	Mr. Aditya Parakh	Mr.Tapan Sodani	Mr. Suresh Pukhraj Jain	Mr. Navin Kumar Jain
DIN No.	00459679	01921743	00048463	00465888
Date of birth	05.09.1982	28.12.1960	12.04.1964	09.07.1975
Date of Appointment	01.09.2005	14.03.2011	05.07.2013	16.07.2007
Expertise in specific functional areas	More than 12 years of experience in capital market and financial services	More than 12 years of experience in NBFC business	More than 21 years of experience in capital market and financial services	More than 11 years of experience in capital market and financial services
Number of Shares held in the Company (as on 31st March 2014)	NIL	NIL	NIL	NIL
Directorships held in other companies (excluding private and foreign companies)	B R Power Limited Vikrant Leasing Limited Greencrest Financial Services Limited Century Ispat Limited	M/s. Gunny Chem Tex India Ltd.	Networth Stock Broking Limited Suncap Commodities Limited Networth Finmart Limited Networth Financial Services Limited Networth Commodities & Investments Limited	NIL
Position held in mandatory committees of other companies	None	NIL	Audit committee: Member Investor Grievance Committee: Member Remuneration Committee: Member	Audit Committee: Member Investor Grievance Committee: Member

DIRECTORS' REPORT

To,
The Members,

The directors have pleasure in presenting the 32nd Annual Report together with the Audited Accounts of the Company for the year ended 31st March'2014.

FINANCIAL HIGHLIGHTS:

PARTICULARS	Year Ended 31.03.2014 (Rs.)	Year Ended 31.03.2013 (Rs.)
Operational & other income	54,929,915.00	19,056,747.13
Profit/ Loss Before Depreciation & Tax	630,073.00	3,298,606.89
Less: Depreciation	7,675.00	12,791.00
Provision for taxation	151,977.00	12,35,000.00
MAT Credit Entitlement earlier year	-	-
Deferred Tax	(555.00)	2901.00
Taxes for earlier years	-	87,775.50
Profit/Loss after Depreciation & Tax	470,976.00	1,960,139.39
Balance brought forward for previous year	208,555,217.00	289,330,606.10
Appropriations		
Amount transferred to NBFC Reserves	94,195.00	392,028.00
Bonus shares issued	-	82,343,500.00
Balance Carried to Balance Sheet	208,931,998.00	208,555,217.49

OPERATIONS:

Net profit for the year under consideration is Rs.470, 976.00 as against Rs.1,960,139.00 in the previous year. Company looks forward for better business opportunities for improvement in its operations.

DIVIDEND & APPROPRIATIONS:

The Board of Directors has decided not to recommend any dividend for the year ended 31st March, 2014.

TRANSFER TO RESERVES:

The Company has transferred amount of Rs. 94,195/- (Ninety Four Thousand One Hundred and Ninety Five Only) to Statutory Reserve Fund Created in terms of Section 45-IC of the RBI Act, 1934 during the year under review. However, the remaining part after setting aside statutory reserve fund amount from Profit & Loss Account has been transferred to Balance Sheet under the Reserves and Surplus.

CORPORATE GOVERNANCE:

Pursuant to Clause 49 of the Listing Agreement entered into with the Stock Exchanges, the Company has complied with all the provisions of Corporate Governance and a report on corporate governance is annexed hereto and forms part of this report. A certificate from Auditors of the Company regarding compliance of Corporate Governance, as stipulated under clause 49 of the Listing Agreement, is appended to the Annual Report.

DIRECTORS:

During the financial year under review, Mr. Suresh Pukhraj Jain was appointed as directors by the Shareholders at the 30th September, 2013, Annual General Meeting of the Company

SHIFTING OF REGISTERED ADDRESS

During the year Company has shifted it's registered office address from Merlin Chambers, 18, British India Street, to 7, Mangoe Lane, 2nd Floor, Room No. 212, Kolkata- 700001 with effect from 2nd December, 2013 after requisite approval of ROC of West Bengal and other Statutory Authority.

DIRECTORS RESPONSIBILITY STATEMENT:

To the best of their knowledge and belief and according to the information and explanations obtained by them, your Directors make the following statement pursuant to the provisions of Section 217(2AA) of the Companies Act, 1956:

1. That in the preparation of the annual accounts, the applicable accounting standards have been followed along with proper explanation relating to material departures, if any;
2. That the Directors have selected such accounting policies and applied them consistently and made judgments and estimates that are reasonable and prudent so as to give a true and fair view of the state of affairs of the Company at the end of the financial year and of the loss of the Company for the year under review;
3. That the Directors have taken proper and sufficient care for the maintenance of adequate accounting records in accordance with the provisions of the Companies Act for safeguarding the assets of the Company and for preventing and detecting fraud and other irregularities;
4. That the Annual Accounts for the year ended 31st March, 2014, have been prepared on a going concern basis.

SUBSIDIARY COMPANIES AND CONSOLIDATED FINANCIAL RESULTS

The Company does not have any subsidiary Company

MANAGEMENT DISCUSSION & ANALYSIS:

Management Discussion & Analysis Report for the year under review as stipulated under Clause 49 of the Listing Agreement with the Stock Exchanges is presented separately in the Annual Report.

AUDITORS:

M/s Sanghai & CO., Chartered Accountants, the Company's Auditors will retire at the conclusion of the ensuing Annual General Meeting and are eligible for re-appointment. Your Directors recommend their reappointment for the ensuing year.

CONSERVATION OF ENERGY, TECHNOLOGY ABSORPTION, FOREIGN EXCHANGE EARNINGS AND OUTGO:

(A) Conservation of Energy and Technology Absorption:

In view of the nature of activities which are being carried out by the Company, your Directors have nothing to state in connection with Conservation of Energy and technology Absorption as specified in Rules 2A and 2B of the Companies (Disclosure of Particulars in Report of Board of Directors) Rules, 1988.

(B) Foreign Exchange Earnings & Outgo

During the year under review, the Company did not have any Foreign Exchange Earnings or Outgo.

CORPORATE GOVERNENCE:

As per Clause 49 of the Listing Agreement, the code of Corporate Governance is applicable to your Company. A detailed report on Corporate Governance, declaration of Director and a certificate from a Practicing Chartered Accountant regarding compliance of conditions of Corporate Governance as stipulated under Clause 49 of the Listing Agreement is annexed and forms part of this report.

SECRETARIAL COMPLIANCE

Secretarial compliance Certificate as per section 383A of Companies Act, 1956 is placed as annexure to this report. No adverse comments have been made in the said report by the Practicing Company Secretary.

AUDITORS' REPORT:

Observations made in the Auditors' Report are self explanatory and therefore, do not call for any further comments under Section 217(3) of the Companies Act, 1956.

FIXED DEPOSITS:

The Company has not accepted any deposits within the meaning of Section 58A of the Companies Act, 1956 and the rules made there under.

PARTICULARS OF EMPLOYEES:

During the year under review, none of the employees of the Company was in receipt of remuneration exceeding the limits prescribed under section 217(2A) of the Companies Act, 1956 and the rules made there under as amended.

LISTING ARRANGEMENT

The securities of the Company are listed on The Calcutta Stock Exchange Ltd. (Stock Code No. 011262). The annual listing fees for the year under review have been paid to stock exchange.

ACKNOWLEDGEMENTS:

The Director wish to place on record their appreciation for the whole hearted and sincere cooperation the company has received from its bankers, employees and various government agencies.

For and on behalf of the Board of Directors



(Navin Kumar Jain)
DIN No.:00465888



(Aditya Parakh)
DIN No.:00459679

Place: Kolkata
Date: 20th May 2014



**MANAGEMENT DISCUSSION
AND ANALYSIS**

MANAGEMENT DISCUSSION AND ANALYSIS

Industry Structure and Development

Notwithstanding an improvement in the global sentiment, FY14 continued to mark challenging times for the Indian economy. The overall macroeconomic context was dominated by slow growth, contraction in industrial production, high and persistent inflation, significant INR depreciation, high structural current account deficit, vulnerable external balances and poor fiscal management. The Government and the RBI announced several measures to tackle the elevated twin deficits. Hopes of a new Government coming in led to a sentimental exuberance towards end of the year.

The Indian economy continued to face significant strains during FY 2013-14. The Union Budget 2013-14 was premised on a growth of 6.2 percent in Gross Domestic Product (GDP). However, the actual achievement is likely to fall short of 5 percent, for the second year running. The outlook for the current fiscal year is cautiously optimistic and hinges upon expectations of a stable government coming to power after the current elections, with the ability to clear the policy logjam and push through key reforms.

Segment wise or Product wise performance

At present the Company is carrying out its operations in only one segment namely, Finance & Investment.

Opportunities & Threats

Business conditions continue to be challenging and Business growth will depend on the recovery of the global economies. The growth of the Company is subject to opportunities and threats as are applicable to the industry from time to time.

Outlook, Risks & Concerns

The Company is exposed to specific risks that are particular to its businesses and the environment within which it operates, including capital market volatility, economic cycle, and credit risk. The Company manages these risks by maintaining a conservative financial profile and by following prudent business and risk management policies.

Internal Control Systems

The Company has set in place adequate systems and procedures to effectively run and manage its operations.

REPORT ON CORPORATE GOVERNANCE



COMPANY'S PHILOSOPHY ON CODE OF CORPORATE GOVERNANCE

AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED (Formerly known as Pentium Investments and Infrastructures Limited) that good corporate governance generates goodwill among business partners, creditors, employees and investors, earns respect from society, brings about a consistent sustainable growth for the Company and generates competitive returns for the Investors. Your Company is committed to the principles of good corporate governance.

BOARD OF DIRECTORS

The Company's Board consists of Three Non-Executive Directors and One Executive Director as on **31.03.2014**. The Chairman of the Board is an Executive Director. Name and category of each director is given below

Name of Director	Category	Attendance at		Directorship in other Companies *	Membership in Board Committees of other Companies
		Board Meetings (Out of 6)	Last AGM		
1. Navin Kumar Jain	Executive Director/Managing Director	Yes	Yes	3	1. Audit committee 2. Investor Grievance Committee
2. Tapan Sodani	Non-Executive/Independent	Yes	Yes	1	None
3. Aditya Parakh	Non-Executive/Independent	Yes	Yes	10	None
4. Suresh Pukhraj Jain	Non Executive/Independent	Yes	Yes	12	Membership In Networth Stock Broking Limited 1. Audit Committee 2. Remuneration

					Committee
					3. Investor Grievance Committee

* Excludes Directorships in foreign companies and companies incorporated under Section 25 of the Companies Act 1956.

During the financial year 2013-2014 under review, the Board of Directors met 6 times on the following dates: April 12, 2013, July 5, 2013, August 6, 2013, November 11, 2013, December 02, 2013, and March 03, 2014.

Disclosure regarding re-appointment of Directors: Provided in the notes to the notice of Annual General Meeting.

AUDIT COMMITTEE

The Company has an Audit Committee consisting of the following Directors:

Name	Designation	Category
Mr. Navin Kumar Jain	Chairman	Executive, Managing Director
Mr. Aditya Parakh	Member	Non-Executive, Independent
Mr. Tapan Sodani	Member	Non-Executive, Independent

Four audit committee meetings were held during the year 2013-2014 on 27th May, 2013, 9th August, 2013, 11th November, 2013, and 27th January, 2014. The Company Secretary Acts as the Secretary to the Audit Committee. The terms of reference of the audit committee includes the following:

- a. Reviewing the Company's financial reporting process and the disclosure of its financial information.
- b. Recommending the appointment and removal of auditors, fixation of audit fees and also approval for payment for any other services.
- c. Reviewing with the management the half yearly / annual financial statements before submission to the Board.
- d. Reviewing with the management the Quarterly financial statements before submission to the Board for approval.
- e. Reviewing with the management, performance of auditors, and the adequacy of internal control systems.
- f. Discussion with the auditors, before the audit commences, nature and scope of audit as well as have post audit discussions in the area of concern.
- g. To look into the reasons for the substantial defaults in the payment to the shareholders (in case of non payment of declared dividends) and creditors.
- h. Any other matter that may be delegated by the Board from time to time.

REMUNERATION COMMITTEE

The Board of Directors has not appointed any separate remuneration committee, it being a non-mandatory requirement. At present neither remuneration nor sitting fees is paid to directors.

SHAREHOLDERS/ INVESTOR GRIEVANCE COMMITTEE

The Shareholders/Investors Grievance Committee consists of Directors, Mr. Navin Kumar Jain as its Chairman, Mr. Aditya Parakh and Mr. Tapan Sodani as its members. All Shares are transferred within a period of 15 days from the date of receipt as long as the documents are clear in all respects and there are no complaints pending.

COMPLIANCE OFFICER & COMPANY SECRETARY: Mr. Bishal Agarwal,

The Company has appointed M/s Maheshwari Datamatics (P) Ltd. as its Registrar and Share Transfer Agent. During the year under review, no complaints have been received from shareholders.

DETAILS OF GENERAL BODY MEETING

1. Location and Time where last three AGMs were held

Year	Location	Date	Time
2012-2013	18 British India Street, 3rd Floor, Room No.302, Kolkata – 700 069.	30.09.2013	10.30 A.M.
2011-2012	18 British India Street, 3rd Floor, Room No.302, Kolkata – 700 069.	29.09.2012	11.00 A.M
2010-2011	18 British India Street, 3rd Floor, Room No.302, Kolkata – 700 069.	30.09.2011	11.00 A.M

2. No special resolution was put through Postal Ballot at the last AGM or during the year under review.

DISCLOSURES

- a) Transactions with related parties are disclosed in pt. No. 2(ii) of Note to the Accounts in the Annual Report.
 - b) The Company has complied with the requisite regulations relating to capital markets. There were no penalties or strictures imposed on the Company by Stock Exchange or SEBI or any statutory authority on any matter related to capital markets during the last three years.
 - c) The Company has complied with the Mandatory requirements of Clause 49 of the Listing Agreement and has not implemented any non-mandatory requirements.
 - d) Quarterly results are taken on record by the Board of Directors and submitted to the Stock Exchange and are normally published in The Echo of India and Arthik Lipi.
8. The Management Discussion and Analysis Report forms part of the Director's Report.

MEANS OF COMMUNICATION

The quarterly financial results of the Company are normally published in the The Echo of India and Arthik Lipi.

The quarterly financial results and half-yearly financial results of the Company are not sent to each shareholder. However, the company ensures that its financial results are sent to the concerned Stock Exchange immediately after the same have been considered and taken on record by the Board of Directors.

The Management Discussion and Analysis Report is a part of the Annual Report of the Company for the year under review.

GENERAL SHAREHOLDER INFORMATION

The Thirty Second Annual General Meeting of the Company will be held on Monday, 29th September, 2014 at 11.30 A.M. at the Registered Office of the Company at -7, Mangoe Lane, 2nd Floor, Room No. 212, Kolkata-700001

Postal Ballot: There is no item on Agenda of the Thirty Second Annual General Meeting requiring compliance of the Postal Ballot Procedure.

a) Financial Calendar for the year 2013-2014

Results for the first quarter ending 30 th June 2013	:	within 45 days of the end of quarter
Results for the second quarter ending 30 th Sep 2013	:	within 45 days of the end of quarter
Results for the third quarter ending 31 st Dec 2013	:	within 45 days of the end of quarter
Results for the fourth quarter ending 31 st March 2014	:	within 60 days of the end of year

b) The Register of Members and Share Transfer Books of the company will remain closed on Tuesday, 23rd September 2014 to Tuesday, 29th September 2014 (both days inclusive).

c) The equity shares of the Company are listed on the The Calcutta Stock Exchange Ltd. The Company has paid the listing fees for the year under review.

d) Stock code at The Calcutta Stock Exchange Limited : 011262
ISIN for NSDL / CDSL : INE206F01014

e) Registrar and Share Transfer Agent
Maheshwari Datamatrix (P) Ltd.
6, Mangoe Lane, 2nd Floor
Kolkata-700 001 (West Bengal)

f) Market Price Data (CSE)

The Shares of the Company are listed on The Calcutta Stock Exchange Limited but there is no trading during the entire year 2013-2014.

- h) Shares lodged in physical form with the company are processed for transfer within 15 days.
In respect of shares held in dematerialized mode, the transfer takes place instantaneously between the transferor, transferee and the Depository Participant through electronic debit / credit of the accounts involved.
- i) As on 31st March, 2014, 11,528,090 shares aggregating to Re 115,280,900/- of the fully paid up share capital are held in dematerialized mode.
- j) No GDRs/ADRs/Warrants or any convertible investments have been issued by the Company.
- k) All correspondence regarding share transfers, dematerialization of share certificates and other related matters should be addressed to M/s. Maheshwari Datamatrix (P) Ltd. 6, Mangoe Lane, 2nd Floor Kolkata-700 001 (West Bengal)
- l) Members are requested to quote their folio number/DP Id & Client Id in all their correspondence with the Company & the Registrars.
- m) Distribution of shareholding as on 31st March, 2014 is as follows:

Nominal Value of Equity Shares	No. of Shareholders	of Value shares (in Rs.)	of % of Total Capital
1 - 5000	480	246500	81.3559
5001 - 10000	7	36750	1.1864
10001 - 20000	3	38500	.5085
20001 - 30000	0	0	.0000
30001 - 40000	0	0	.0000
40001 - 50000	0	0	.0000
50001 - 100000	5	400580	.8475
100001 - 999999999	95	114558570	16.1017
TOTAL	590	115280900	100.0000

- n) Category wise distribution of shareholding as on 31st March, 2014 is as follows:-

Category	No of Shareholder	Total No. of shares held	% of Shareholdin
A) Shareholding of Promoter and Promoter Group			
(1) Indian	Nil	Nil	Nil
Individuals/Hindu Undivided Family			
Bodies Corporate			
Sub Total			

(2) Foreign	Nil	Nil	Nil
Total shareholding of Promoter and Promoter Group (A)			
B) Public Shareholding			
(1) Institutions	Nil	Nil	Nil
Foreign Institutional Investors			
Sub Total			
(2) Non-Institutions			
Bodies Corporate	17	5235070	45.4114
- Individuals			
- Individual shareholders holding nominal share capital upto Rs.1 Lakh	488	64553	.56
- Individual shareholders holding nominal share capital in excess of Rs.1Lakh	85	6228467	54.0286
- Any Others Clearing Members Non Resident Indians (Repat)			
Sub Total	590	11528090	100.0000
Total Public shareholding (B)	590	11528090	100.0000
TOTAL (A) + (B)	590	11528090	100.0000

To,
The Members of
Authum Investment and Infrastructure Limited.
7, Mangoe Lane, 2nd Floor
Room No. 212
Kolkata- 700001

I, Navin Kumar Jain, Managing Director, hereby declare that to the best of my knowledge and belief, all members of the Board of Directors and Senior Management Personnel have confirmed compliance with Company's Code of Conduct for the year ended 31st March 2014.

Thanking You,

Yours truly



(Navin Kumar Jain)
Managing Director
DIN No.:00465888

Place: Kolkata

Dated: 20th May 2014

Certification by the Director's pursuant to Clause 49 of the Listing Agreement regarding financial Statements

We have reviewed the financial statements, read with the cash flow statement of Authum Investment and Infrastructure Limited for the year ended March 31st 2014, and to the best of our knowledge and belief, we state that;

a) (i) These statements do not contain any materially untrue statement nor do they omit any material fact or contain statements that may be misleading.

(ii) These statements present the true and fair view of the company's affairs and are in compliance with current Accounting standards, applicable laws and regulations.

b) There are, to the best of our knowledge and belief, no transactions entered into by the company during the year which are fraudulent, illegal or in violation of the company's Code of Conduct.

c) We accept responsibility for establishing and maintaining internal controls for financial reporting. We have evaluated the effectiveness of internal control systems of the Company and have disclosed to the auditors and audit committee deficiencies in the design or operation of internal control, if any, and steps taken or proposed to be taken for rectifying these deficiencies.

d) We have indicated to the auditors and audit committee:

(i) Significant changes in accounting policies made during the year and that the same have been disclosed suitably in the notes to the financial statements; and

(ii) There are no instances of fraud involving the management or an employee.



(Navin Kumar Jain)
Managing Director
DIN No.:00465888

Place: Kolkata

Dated: 20th May 2014

Auditor's Certificate on Compliance of conditions of Corporate Governance as per Clause 49 of the Listing Agreement with the Stock Exchange.

**TO THE MEMBERS OF
AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED**

We have examined the compliance of conditions of Corporate Governance by M/s. Authum Investment & Infrastructure Limited for the year ended on 31st March, 2014 as stipulated in Clause 49 of the Listing Agreement of the said Company with the Stock Exchanges.

The compliance of conditions of Corporate Governance is the responsibility of the Management. Our examination has been limited to a review of the procedures and implementation thereof adopted by the Company for ensuring compliance with the conditions of Corporate Governance as stipulated in the said clause. It is neither an audit nor an expression of opinion on the financial statements of the Company.

In our opinion and to the best of our information and according to the explanations given to us and based on the representations made by the Directors and the Management, we certify that the Company has complied with the conditions of Corporate Governance as stipulated in Clause 49 of the above mentioned Listing Agreement.

We state that such compliance is neither an assurance as to the future viability of the Company nor the efficiency or effectiveness with which the Management has conducted the affairs of the Company.



for Sanghai & CO.
**Chartered Accountants
Firm Reg. No. 319079E**

Anup Kumar Sanghai
**Anup Kumar Sanghai
Partner
Membership No. 054963**

Place: Kolkata
Date: 20.05.2014

AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED

Regd. Office: 7, MANGO LANE, 2ND FLOOR, ROOM NO. 212, KOLKATA – 700 001
Phone No.: 033-40068296 **CIN No.:** L51109WB1982PLC035088
Email Id: authum.investment@gmail.com **Website:** www.authum.com

ATTENDANCE SLIP

Thirty Second Annual General Meeting – 29th September, 2014 at 7, Mangoe Lane, 2nd Floor,
 Room no.212, Kolkata-700001

Name of the attending Member / Proxy _____
 I hereby record my presence at the Annual General Meeting to be held on 29th September 2014 at 11.30 A.M.

 Member's / Proxy's Signature

Note: Shareholders / Proxy holder desiring to attend the meeting should bring his copy of the Annual Report for reference at the meeting. Please bring this attendance slip to the meeting and hand over at the entrance duly filled in and signed.

ELECTRONIC VOTING PARTICULARS

EVSN(E-VOTING SEQUENCE NUMBER)	USER ID	PAN

Please refer to the AGM Notice for e-voting instructions.

AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED

Regd. Office: 7, MANGO LANE, 2ND FLOOR, ROOM NO. 212, KOLKATA – 700 001
Phone No.: 033-40068296 **CIN No.:** L51109WB1982PLC035088
Email Id: authum.investment@gmail.com **Website:** www.authum.com

PROXY

I / We(In Block Letters) of

 being a Member / Members of Authum Investment & Infrastructure Limited hereby appoint
of.....or failing him
of..... as my / our proxy in
 my / our absence to attend and vote for me / us, and on my / our behalf at the Thirty Second Annual General Meeting
 of the Company to be held at 7, Mangoe Lane, 2nd Floor, RoomNo. 212, Kolkata – 700001on 29th September, 2014 and
 / or adjournment thereof. As witness my / our hand(s) thisday of2014.Signedby
 the said No. of Shares HeldR.Folio
 No..... Signed this _____ day of _____ 2014.

Member's Folio No./DP ID-Client ID _____

Signature of Shareholder _____

Signature of Proxy Holder _____



Note: 1) The proxy must be deposited at the registered office of the Company at 7, Mangoe Lane, 2nd Floor, Room No. 212, Kolkata – 700 001 not less than 48 Hours before the time holding the meeting.

2) The Form should be signed across the stamp as per specimen signature registered with the Company

RESOLUTION NO.		OPTIONAL* FOR AGAINST	
Ordinary Business			
1.	Adoption of Audited Balance Sheet for 31.03.2014.		
2.	Appointment of Director in place of Aditya Parakh who retire by rotation		
3.	Appointment of Statutory Auditor and fixing their remuneration		
Special Business			
4.	Appointment of Mr. Suresh Pukhraj Jain as Independent Director of the Company		
5.	Appointment of Mr. Tapan Sodani as Independent Director of the Company.		
6.	Alter in the terms of appointment of Mr. Navin Kumar Jain, Managing Director of the Company		
7.	Increase in the Borrowing Powers of the Company		



INDEPENDENT AUDITOR'S REPORT

To the Members of
AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Pentium Investments and Infrastructure Ltd)

Report on the Financial Statements

We have audited the accompanying financial statements of **Authum Investment & Infrastructure Limited** ("the Company") which comprise the Balance Sheet as at March 31, 2014, the Statement of Profit and Loss and the Cash Flow Statement for the year then ended, and a summary of significant accounting policies and other explanatory information.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation of these financial statements that give a true and fair view of the financial position, financial performance and cash flows of the Company in accordance with the Accounting Standards referred to in sub-section (3C) of section 211 of the Companies Act, 1956 ("the Act"). This responsibility includes the design, implementation and maintenance of internal control relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with the Standards on Auditing issued by the Institute of Chartered Accountants of India. Those Standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of the accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.





We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion and to the best of our information and according to the explanations given to us, the financial statements give the information required by the Act in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India:

- a) in the case of the Balance Sheet, of the state of affairs of the Company as at March 31, 2014;
- b) in the case of the Statement of Profit and Loss, of the profit for the year ended on that date;
and
- c) in the case of the Cash Flow Statement, of the cash flows for the year ended on that date.

Report on Other Legal & Regulatory Requirements

1. As required by the Companies (Auditor's Report) Order, 2003 as amended ("the Order") issued by the Central Government of India in terms of sub-section (4A) of section 227 of the Act, we give in the Annexure a statement on the matters specified in paragraphs 4 and 5 of the Order.
2. As required by section 227(3) of the Act, we report that:
 - a) We have obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purpose of our audit;
 - b) In our opinion proper books of account as required by law have been kept by the Company so far as appears from our examination of those books
 - c) The Balance Sheet, Statement of Profit and Loss and Cash Flow Statement dealt with by this Report are in agreement with the books of account.
 - d) In our opinion, the Balance Sheet, Statement of Profit and Loss and Cash Flow Statement comply with the Accounting Standards referred to in subsection (3C) of section 211 of the Companies Act, 1956.



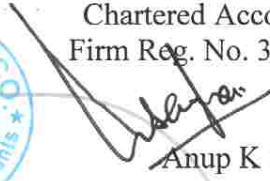


Sanghai & Co.
Chartered Accountants

- e) On the basis of written representations received from the directors as on March 31, 2014, and taken on record by the Board of Directors, none of the directors is disqualified as on March 31, 2014, from being appointed as a director in terms of clause (g) of sub-section (1) of section 274 of the Companies Act, 1956.

For **SANGHAI & CO**
Chartered Accountants
Firm Reg. No. 319079E




Anup K Sanghai
Partner
Membership No. 054963

Place: Kolkata
Date: 20/05/2014





Annexure to the Independent Auditors' Report

(Referred to in paragraph 1 under 'Report on Other Legal and Regulatory Requirements' section of our report of even date)

1. (a) The Company has maintained proper records showing full particulars including quantitative details and situation of fixed assets;

(b) As explained to us, the assets have been physically verified by the management in accordance with a phased programme of verification, which in our opinion is reasonable, considering the size and the nature of its business. The frequency of verification is reasonable and no material discrepancies have been noticed on such physical verification.

(c) In our opinion and according to the information and explanations given to us, no fixed asset has been disposed off during the year and therefore does not affect the going concern assumption.
2. The inventories of Shares being held in electronic mode, in our opinion, no comments are called for under clause 4(ii) of the Order;
3. a) The Company has granted unsecured loans to 1 (one) party covered in the Register maintained under Section 301 of the Companies Act, 1956. The maximum amount involved during the year was Rs. 167.00 lacs and year-end balance of loans granted to such party was Nil.

b) In our opinion, the rate of interest and other terms and conditions of such loans are not prima facie prejudicial to the interest of the Company.

c) In respect of aforesaid loans, the Company is regular in repaying the principal amount, as stipulated, and is also regular in payment of interest, as applicable.

d) In respect of the aforesaid loans, there is no overdue amount.

e) The Company has not taken any loans, secured or unsecured, from companies, firms or other parties covered in the register maintained under Section 301 of the Companies Act, 1956.
4. In our opinion, and according to the information and explanations given to us, there is an adequate internal control system commensurate with the size of the Company and the nature of its business with for the purchase of inventories and fixed assets and for the sale of shares & securities. Further, on the basis of our examination of the books and records of the Company, and according to the information and explanation given to us, no major weakness have been noticed or reported.

- 4 -





5. (a) In our opinion, and according to the information and explanations given to us, the particulars of contracts or arrangements referred to in section 301 of the Companies Act, 1956 have been entered in the register required to be maintained under that section.

(b) In our opinion, and according to the information and explanations given to us, the transactions made in pursuance of such contracts or arrangements and exceeding the value of Rupees Five Lacs in respect of any party during the year have been made at prices which are reasonable having regard to the prevailing market prices at the relevant time.
6. The Company has not accepted any deposit from the public pursuant to the provision of Sections 58A and 58AA of the Companies Act, 1956 and the Companies (Acceptance of Deposits) Rules, 1975 with regard to deposits accepted from the public.
7. The Company has an adequate internal audit system commensurate with its size and nature of its business.
8. No Books of Accounts are required to be maintained by the Company as prescribed by the Central Government for maintenance of cost records under section 209(1)(d) of the Companies Act, 1956.
9. a) According to the records of the Company, the Company is regular in depositing undisputed statutory dues including income tax and other statutory dues with appropriate authorities.

b) According to the information and explanations given to us, there are no undisputed amounts payable in respect of such statutory dues which have remained outstanding as at 31st March, 2014 for a period more than six months from the date they become payable.

c) According to information and explanation given to us, there are no dues of income tax and other statutory dues which have not been deposited on account of any dispute.
10. The Company has no accumulated losses. The Company has not incurred cash losses during the financial year covered by our audit and the immediately preceding financial year.
11. In our opinion and according to the information and explanation given to us, the Company has not taken loans from any financial institution, bank or debentures holders.
12. We are of the opinion that the Company has maintained adequate records where the Company has granted loans or advances on the basis of security by way of pledge of shares, debentures or other securities.





13. The provision of any special statute applicable to Chit Fund, Nidhi or Mutual Benefit Fund/Societies is not applicable to the Company.
14. The Company is dealing or trading in shares, securities, debentures or other investments, and proper records have been maintained of the transactions and timely entries have been made therein, also the shares, securities, debentures and other securities have been held by the Company in its own name;
15. According to the information and explanations given to us, the Company has not given any guarantee for loans taken by others from banks and financial institutions.
16. The Company has not taken any term loan during the year.
17. On the basis of our examination of the Books of Accounts, the funds raised on short-term basis have not been used for long-term investment.
18. The Company has not made any preferential allotment of shares to parties and companies Covered in the register maintained under section 301 of the Companies Act, 1956 during the year.
19. The Company has not issued any debentures during the year.
20. The Company has not raised any money during the year by way of issue of Shares.
21. On the basis of our examination and according to the information and explanations given to us, no fraud, on or by the Company, has been noticed or reported during the year.



For **SANGHAI & CO**
Chartered Accountants
Firm Reg. No. 319079E
Anup K Sanghai
Anup K Sanghai
Partner
Membership No. 054963

Place: Kolkata
Date: 20/05/2014

AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)
BALANCE SHEET AS AT MARCH 31, 2014

Particulars	Note No	As At March 31, 2014 Amount (Rs)	As At March 31, 2013 Amount (Rs)
<u>I. EQUITY AND LIABILITIES</u>			
(A) Shareholders' Fund			
Share Capital	1	115,280,900	115,280,900
Reserves & Surplus	2	413,893,056	413,422,081
(B) Non-Current Liabilities			
Deferred Tax Liabilities		2,346	2,901
(C) Current Liabilities			
Short-Term Borrowings	3	19,290,702	199,890,160
Other Current Liabilities	4	1,071,068	980,965
Short-Term Provisions	5	2,168,573	2,711,878
TOTAL		551,706,645	732,288,885
<u>II. ASSETS</u>			
(A) Non-Current Assets			
Fixed Assets - Tangible Assets	6	11,512	19,187
Non-Current Investments	7	379,300,000	356,700,000
Long-Term Loans and Advances	8	7,653,624	8,460,993
(B) Current Assets			
Inventories	9	13,854,800	4,558,800
Trade Receivables	10	1,178,873	741,207
Cash and Cash Equivalents	11	2,491,200	426,062
Short-Term Loans and Advances	12	147,216,636	361,382,636
TOTAL		551,706,645	732,288,885
Significant Accounting Policies and Notes to Financial Statements	16		



In term of our report of even date annexed herewith

For SANGHAI & CO
Chartered Accountants
Firm Reg No 319079E

Anup K Sanghai
Partner
Membership No. 054963



For and on behalf of the Board


Navin Kumar Jain
Managing Director
DIN NO. - 00465308


Aditya Parakh
Director

DIN NO. - 00459679


Bishal Agarwal
Company Secretary

Place: Kolkata
Date: 20/05/2014

AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED*
(Formerly Known as Pentium Investments and Infrastructures Limited)
STATEMENT OF PROFIT & LOSS FOR THE YEAR ENDED MARCH 31, 2014

Particulars	Note No.	Year ended March 31, 2014 Amount (Rs)	Year ended March 31, 2013 Amount (Rs)
REVENUE			
Revenue from Operations:			
Sale of Shares & Securities		32,752,456	4,860,751
Interest on Loan		21,199,868	14,363,969
Profit / (Loss) on Sale of Investments		-	(197,835)
Profit/(Loss) on Derivatives Trading		808,280	(37,810)
Speculation Profit / (Loss)		(2,189)	45,173
Other Income:			
Dividend		171,500	22,500
Total Revenue		54,929,915	19,056,747
EXPENSES			
Purchase of Shares & Securities		52,037,438	9,536,343
Changes in inventories of Shares & Securities		(9,296,000)	(4,558,800)
Employee Benefits Expenses	13	548,524	468,941
Finance Cost	14	10,236,149	9,093,491
Depreciation		7,675	12,791
Other Expenses	15	1,317,037	709,833
Contingent Provisions against Standard Assets		(543,305)	508,332
Total Expenses		54,307,517	15,770,931
Profit / (Loss) before Tax		622,398	3,285,816
Less:			
Current Tax		151,977	1,235,000
Deferred Tax		(555)	2,901
Taxes for Earlier Year		-	87,776
Profit after Tax		470,976	1,960,139
Earning Per Share - Basic		0.04	0.17
Earning Per Share - Diluted		0.04	0.17
Significant Accounting Policies and Notes to Financial Statements	16		

In term of our report of even date annexed herewith

For SANGHAI & CO
Chartered Accountants
Firm Reg No 319079E

Anup K Sanghai
Partner
Membership No. 054963



Place: Kolkata
Date: 20/05/2014

For and on behalf of the Board

Navin Jain
Navin Kumar Jain
Managing Director
DIN No: - 00465888

Parakh

Aditya Parakh
Director

DIN No: - 00459679

Bishal Agarwal
Bishal Agarwal
Company Secretary

AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly known as Pentium Investments and Infrastructures Limited)

CASH FLOW STATEMENT FOR THE YEAR 2013-2014

(Amount in Rs.)

PARTICULARS	Year ended March 31, 2014	Year ended March 31, 2013
(A) Cash flow from Operating Activities:		
Net Profit before taxation, and extraordinary items	622,398	3,285,816
Adjustments for:		
Depreciation	7,675	12,791
Contingent Provisions against Standard Assets	(543,305)	508,332
(Profit) / Loss on Sale of Investments	-	197,835
Operating Profit before Working Capital Changes	86,768	4,004,774
Decrease (Increase) in Inventories	(9,296,000)	(4,558,800)
Decrease (Increase) in Trade Receivables	(437,666)	284,028
Decrease (Increase) in Loans & Advances	216,924,875	(129,046,752)
Increase (Decrease) in Current Liabilities	90,103	108,159
Cash Generated from Operations	207,368,079	(129,208,591)
Taxes Paid	(2,103,483)	(941,442)
Net Cash from Operating Activities	205,264,596	(130,150,033)
(B) Cash flow from Investing Activities:		
(Purchases) / Sales of Investments (Net)	(22,600,000)	10,802,165
Net Cash used in Investing Activities	(22,600,000)	10,802,165
(C) Cash flow from Financing Activities:		
Proceeds/(Repayment) of Unsecured loans (net)	(180,599,458)	115,767,596
Dividend Paid	-	(288,845)
Net Cash (used in) / from Financing Activities	(180,599,458)	115,478,751
Net (Decrease) / Increase in Cash and Cash Equivalents	2,065,138	(3,869,117)
Opening Balance of Cash and Cash Equivalents	426,062	4,295,179
Closing Balance of Cash and Cash Equivalents	2,491,200	426,062

For **SANGHAI & CO**
Chartered Accountants
Firm Reg No 319079E

Anup K Sanghai
Partner
Membership No. 054963

Place: Kolkata
Date: 20/05/2014



For and on behalf of the Board of Directors

Navin Jain

Navin Kumar Jain
Managing Director

DIN NO:- 00465888

Parakh

Aditya Parakh

Director

DIN No:- 00459679

Bishal Agarwal

Bishal Agarwal
Company Secretary

AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED

(Formerly Known as Pentium Investments and Infrastructures Limited)

(Notes to the Accounts-1 Annexed to and forming part of the Balance Sheet as at March 31, 2014)

Particulars	As at 31.03.2014		As at 31.03.2013	
	Quantity (Nos.)	Amount Rs.	Quantity (Nos.)	Amount Rs.
1) SHARE CAPITAL				
(a) Authorised Share Capital				
Equity Shares of Rs. 10/- each	12,000,000	120,000,000	12,000,000	120,000,000
	12,000,000	120,000,000	12,000,000	120,000,000
(b) Issued, Subscribed & Fully Paid-up Capital				
Equity Shares of Rs. 10/- each	11,528,090	115,280,900	11,528,090	115,280,900
	11,528,090	115,280,900	11,528,090	115,280,900

Of the above:

82,34,350 Equity Shares of Rs.10/- each allotted as bonus shares in the financial year (2012-13) by capitalization of credit balance in Statement of Profit & Loss.

(c) Reconciliation of Equity shares outstanding at the beginning and at the end of the reporting year	As at 31.03.2014		As at 31.03.2013	
	Quantity (Nos.)	Amount Rs.	Quantity (Nos.)	Amount Rs.
Balance at the beginning of the year	11,528,090	115,280,900	3,293,740	32,937,400
Allotment of Bonus Equity Shares during the year	-	-	8,234,350	82,343,500
Balance at the end of the year	11,528,090	115,280,900	11,528,090	115,280,900

(d) Shareholders holding more than 5% of Equity Share capital (Either individually or in joint holding as first holder)	As at 31.03.2014		As at 31.03.2013	
	(Nos.)	(%)	(Nos.)	(%)
(i) Pagaria Holding Pvt Ltd	1,033,200	8.96	1,033,200	8.96
	1,033,200	8.96	1,033,200	8.96

(e) Terms / rights attached to Equity Shares

The Company has only one class of equity shares having par value of Rs 10/- per share. All these shares have the same right with respect to payment of dividend, repayment of capital and voting.

In the event of liquidation of the Company, the holders of equity shares will be entitled to receive remaining assets of the Company, after distribution of all the preferential amounts. The distribution will be in proportion to the number of equity share held by the shareholders.



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)

(Notes to the Accounts-2 to 5 Annexed to and forming part of the Balance Sheet as at March 31, 2014)

Particulars	As at 31.03.2014 Rs.	As at 31.03.2013 Rs.
2) RESERVES AND SURPLUS		
(a) <u>Amalgamation Reserve</u>		
Balance as per last account	105,563,298	105,563,298
Addition during the year	-	-
(a)	105,563,298	105,563,298
(b) <u>Statutory Reserve Fund (In terms of Section 45-IC of the RBI Act, 1934)</u>		
Balance as per last account	99,303,565	98,911,537
Addition during the year	94,195	392,028
(b)	99,397,760	99,303,565
(c) <u>Surplus in Statement of Profit & Loss</u>		
Balance as per last account	208,555,217	289,330,606
Add: Transfer from Statement of Profit & Loss	470,976	1,960,139
Less: Bonus Shares Issue	-	(82,343,500)
Less: Transfer to Statutory Reserve Fund	(94,195)	(392,028)
(c)	208,931,998	208,555,217
Total (a to c)	413,893,056	413,422,081
3) SHORT TERM BORROWINGS		
(Loans repayable on demand)		
From Other Parties	19,290,702	199,890,160
	19,290,702	199,890,160
4) OTHER CURRENT LIABILITIES		
TDS Payable	895,834	920,291
Other Payables	175,234	60,674
	1,071,068	980,965
5) SHORT TERM PROVISIONS		
Provision for Income Tax	1,818,871	1,818,871
Proposed Dividend	101,575	101,575
Contingent Provision Against Standard Asset	248,127	791,432
	2,168,573	2,711,878



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)*

NOTE NO - 6
FIXED ASSETS

(Amount in Rs.)

Description	GROSS BLOCK				DEPRECIATION				NET BLOCK	
	Particulars	As at 1.4.2013	Addition during the year	Sold during the year	TOTAL	Upto 31.03.2013	For the Year	Sale/Adjustment during the year	TOTAL	As at 31.03.2014
Computer	35,000	-	-	35,000	15,813	7,675	-	23,488	11,512	19,187
TOTAL	35,000	-	-	35,000	15,813	7,675	-	23,488	11,512	19,187
Previous Year	35,000	-	-	35,000	3,022	12,791	-	15,813	19,187	-



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
 (Formerly Known as Pentium Investments and Infrastructures Limited)
 (Notes to the Accounts-7 Annexed to and forming part of the Balance Sheet as at March 31, 2014)

Particulars	Face Value (Rs.)	As at 31.03.2014		As at 31.03.2013	
		Quantity (Nos.)	Amount Rs.	Quantity (Nos.)	Amount Rs.
7) NON-CURRENT INVESTMENTS					
<u>Long Term - At Cost - Non-Trade</u>					
(A) Investment in Equity Shares					
<u>Unquoted</u>					
Bizos Software Pvt Ltd	100	30,000	3,000,000	30,000	3,000,000
(B) Investment in Preference Shares					
<u>Unquoted</u>					
Subhkam Ventures (I) Pvt Ltd	10	1,037	103,700,000	1,037	103,700,000
Teck Consultancy & Services Pvt Ltd	10	2,500	250,000,000	2,500	250,000,000
(C) Investment in Venture Capital Fund					
<u>Unquoted</u>					
Subhkam Growth Fund			22,600,000		-
			379,300,000		356,700,000
Aggregate amount of unquoted investments			379,300,000.00		356,700,000.00



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)

(Notes to the Accounts-8 to 12 Annexed to and forming part of the Balance Sheet as at March 31, 2014)

Particulars	As at 31.03.2014 Rs.	As at 31.03.2013 Rs.
8) LONG-TERM LOANS AND ADVANCES		
(Unsecured, Considered Good)		
Loans to Other Parties	7,653,624	8,460,993
	7,653,624	8,460,993
9) INVENTORIES		
(As taken valued and certified by the Management)		
(Cost or net realisable value which ever is lower)		
Closing Stock of Shares & Securities	13,854,800	4,558,800
	13,854,800	4,558,800
10) TRADE RECEIVABLES		
(Unsecured, Considered Good)		
(a) Trade receivables outstanding for a period less than six months from the date they are due for payment	1,178,873	741,207
(b) Trade receivables outstanding for a period exceeding six months from the date they are due for payment	-	-
	1,178,873	741,207
11) CASH AND CASH EQUIVALENTS		
Balances with Banks	2,294,027	231,531
Cash on Hand (as certified by the management)	197,173	194,531
	2,491,200	426,062
12) SHORT-TERM LOANS AND ADVANCES		
(Secured, Considered Good)		
Loan & Advance to Others	766,987	-
	766,987	-
(Unsecured, Considered Good)		
Loan & Advance to Others	90,830,325	308,111,640
Staff Advances	200,000	2,656
Other Advances	2,130	1,685
Margin Deposit for Futures & Options	746,200	596,167
Tax Deducted at Source	8,082,455	5,978,972
MAT Credit Entitlement	46,539,539	46,691,516
Dividend Receivable	49,000	-
	146,449,649	361,382,636
	147,216,636	361,382,635.80



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)

(Notes to the account 13 to 15 annexed to and forming part of the Statement of Profit & Loss for the year ended March 31, 2014)

Particulars	Year ended March 31, 2014 Rs.	Year ended March 31, 2013 Rs.
13) EMPLOYEE BENEFITS EXPENSES		
Salaries & Bonus	521,000	447,000
Staff Welfare Expenses	27,524	21,941
	548,524	468,941
14) FINANCE COST		
Interest Paid on Loan	10,236,149	9,093,491
	10,236,149	9,093,491
15) OTHER EXPENSES		
Advertisement Expenses	10,482	10,412
Auditors Remuneration:		
For Audit fees	78,652	57,416
For Tax Audit	15,730	11,236
Bank Charges	8,326	16,794
Computer & Software Expense	8,929	-
Conveyance Expenses	6,686	19,190
Demat Account Charges	17,601	5,263
Depository Charges	72,180	18,246
Donation	600,000	-
Electricity Charges	4,427	-
ROC Filing Fees	5,000	215,000
Miscellaneous Expenses	10,996	2,634
Postage & Telegram	20,841	20,887
Printing & Stationery	6,441	12,610
Prior Period Expenses	5,550	19,854
Processing Fees for Issue of Bonus Shares	-	70,383
Professional & Consultancy Charges	269,349	173,440
Professional Tax	2,500	2,500
Registrar Charges	28,090	16,854
Securities Transaction Tax	114,187	19,304
Securities Transaction Tax (Investments)	-	6,012
Stock Exchange Listing fees	11,798	11,798
Telephone and Internet Broadband Expense	17,422	-
Trade Licence	1,850	-
	1,317,037	709,833



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)

NOTES NO - 16

SIGNIFICANT ACCOUNTING POLICIES & NOTES ON ACCOUNTS

A. SIGNIFICANT ACCOUNTING POLICIES

1. Basis of Preparation of Financial Statements

The financial Statements have been prepared using historical cost convention in accordance with the generally accepted accounting principles in India issued by the Institute of Chartered Accountants of India and in accordance with the relevant presentational requirements of the Companies Act, 1956.

2. Use of Estimates

The preparation of financial statements requires estimates and assumptions to be made that affect the reported amount of assets and liabilities on the date of the financial statements and the reported amount of revenues and expenses during the reporting period. Difference between the actual results and estimates are recognized in the period in which the results are known /materialized

3. Fixed Assets

Fixed assets are stated at cost. All cost attributable to bring the fixed assets to a working condition is capitalized.

4. Depreciation

Depreciation on fixed assets is provided on Written down Value method in accordance with the rates specified in Schedule XIV to the Companies Act, 1956.

5. Impairment of Assets

An asset is treated as impaired when the carrying cost of assets exceeds its recoverable value. An impairment loss is charged for when asset is identified as impaired. The impairment loss recognized in prior accounting period is reversed if there has been a change in the estimate of recoverable amount.

6. Investments

Investments that are intended to be held for more than a year from the date of acquisitions are classified as Long Term Investments and are carried at cost, Provision for diminution in value of long term investments is made only if, such a decline is other than temporary in nature in opinion of management. Current Investments are stated at cost or fair market value whichever is lower.

7. Inventories

Inventory consists of shares and securities purchased for trading purposes. These are valued at lower of cost and net realizable value. Cost is computed on FIFO basis.



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)

8. Revenue Recognition

Interest income is accounted on accrual basis.

Dividend income is recognised when the right to receive dividend is established.

Realised gains and losses in respect of shares & securities and units of mutual funds are calculated as the difference between the net sales proceeds and their cost.

Transaction in respect of dealing in share and securities are recognised on trade dates.

9. Provision for Current and Deferred Tax

Provision for current tax is made after taking into consideration benefits admissible under the provisions of the Income Tax Act, 1961. Deferred tax resulting from "timing difference" between taxable and accounting income is accounted for using the tax rates and laws that are enacted or substantively enacted as on the balance sheet date. The deferred tax asset is recognized and carried forward only to the extent that there is a reasonable /virtual certainty that the asset will be realized in future.

10. Provision, Contingent Liabilities and Contingent Assets

Provisions involving substantial degree of estimate in measurement are recognized when there is a present obligation as a result of past events and it is probable that there will be an outflow of resources. Contingent Liabilities are not recognized but are disclosed in the Notes. Contingent Assets are neither recognized nor disclosed in the financial statements.

11. Employee Benefits

Short-term employee benefits are charged off in the year in which the related service is rendered.

12. Foreign Currency Transaction

- i) Transactions in foreign exchange are accounted at the exchange rates prevailing on the date of the transaction.
- ii) Changes in the fair value of derivative instruments that do not qualify for hedge accounting are recognized in the Profit & Loss account as they arise.

13. Events occurring after the Balance Sheet Date

Assets and liabilities are adjusted for events occurring after the balance sheet date that provide additional evidence to assist the estimation of amounts relating to conditions existing at the balance sheet date.

14. Borrowing Costs

Borrowing costs that are attributable to the acquisition or construction of qualifying assets are capitalized as part of the cost of such as asset. A qualifying asset is one that necessarily takes substantial period of time to get ready for its intended use. All other borrowing costs are charged to revenue.



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)

NOTES FORMING PART OF THE ACCOUNTS FOR THE YEAR ENDED 31ST MARCH 2014.

1. Public Deposits

The Company has not accepted any public deposit during the year.

2. Related Party Disclosure

Related parties with whom the company had transactions during the year.

(i)	Key Management Personnel (KMPs)	Mr. Navin Kumar Jain
ii)	Relatives of KMPs	Mrs. Arti R. Kathotia
iii)	Enterprise over which KMPs or their relatives is able to exercise significant influence	
	Enterprises over which relatives of KMPs able to exercise significant influence.	Excellent Sales Pvt. Ltd. Subhkam Securities Pvt. Ltd. Subhkam Properties Pvt. Ltd.

Transactions with related parties:-

Particulars	As at	As at
	March 31, 2014	March 31, 2013
Excellent Sales Pvt. Ltd.		
Loan Given	-	650,000
Loan Received Back (Including Interest)	1,668,191	1,870,000
Interest received	930,290	899,045
Subhkam Securities Pvt. Ltd.		
Loan Received	1,600,000	850,000
Loan Repaid (Including Interest)	28,875,000	4,300,000
Interest Paid	2,052,716	4,457,238
Subhkam Properties Pvt Ltd		
Loan Received	300,000	21,700,000
Loan Repaid (Including Interest)	22,292,521	15,600,000
Interest Paid	1,266,629	1,180,491
Arti R. Kathotia		
Loan Given	30,000,000	18,600,000
Loan Received Back (Including Interest)	30,026,039	19,044,903
Interest received	28,932	494,337



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)

Balance Outstanding at the year end:-

Particulars	As at	As at
	March 31, 2014	March 31, 2013
Excellent Sales Pvt. Ltd.	7,653,624	8,484,554
Subhkam Securities Pvt. Ltd.	19,290,702	44,718,258
Subhkam Properties Pvt. Ltd.	-	20,852,555
Arti R. Kathotia	-	-

3 (a) Earnings per Share

Particulars	(Amount in Rs.)	
	2013-2014	2012-2013
Profit / (Loss) after Tax	470,976/-	1,960,139/-
Number of Equity Shares Outstanding (Weighted)	11,528,090	11,528,090
Nominal Value of Equity Shares – Rs.	10/-	10/-
Basic Earning per share	0.04	0.17

4. Remuneration to Auditors:	2013-2014	2012-2013
For Statutory Audit	78,652	57,416
For Tax Audit	15,730	11,236

5. Quantitative information in respect of goods traded in the year are as under –

Particulars	Shares & Securities			
	Current year		Previous year	
	Qty.	Amount (Rs.)	Qty.	Amount (Rs.)
Opening Stock	24,000	45,58,800	-	-
Purchases	4,93,500	52,037,438	51,847	95,36,343
Sales	4,79,500	32,752,456	27,847	48,60,751
Closing Stock	38,000	13,854,800	24,000	45,58,800

6. Segment Reporting

The Company deals in only one segment and in one geographical location only hence the detailed segment reporting as per Accounting Standard 17 notified by the Companies (Accounting Standard) Rules, 2006 is not required.

7. Deferred Tax Liabilities/ (Assets)	(Amount in Rs.)		
	Opening	Charged (Credited)	Closing
Tax impact of difference between carrying amount of fixed assets as per books of account and as per Income tax.	2,901.00	(555.00)	2,346.00

8. No employee benefits in the form of Provident Fund, Superannuation and Gratuity etc. are applicable to the Company.



AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED
(Formerly Known as Pentium Investments and Infrastructures Limited)

9. The Company has not received any intimation from "Suppliers" regarding their status under the Micro, Small and Medium Enterprises Development Act, 2006 and hence disclosures, if any, relating to amounts unpaid as at the year end together with interest paid/payable as required under the said Act has not been given.
10. As a matter of prudence, the Company has given effect to a RBI Circular No.DNBS.PD.CC.No.207/ 03.02.002 / 2010-11 dated 17th January, 2011 and accordingly created Contingent Provision against Standard Assets in its Financial Statement.
11. Figures for the previous year have been re-grouped and/or re-arranged wherever found necessary.

For **SANGHAI & CO**
Chartered Accountants



Anup K Sanghai
Partner
Membership No. 054963



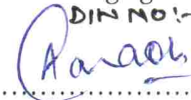
Place: Kolkata
Date: 20/05/2014

For and on behalf of board



Navin Kumar Jain
Managing Director

DIN NO:- 00465888



Aditya Parakh
Director

DIN NO:- 00459679



Bishal Agarwal
Company Secretary

AUTHUM INVESTMENT & INFRASTRUCTURE LIMITED**(Formerly Known as Pentium Investments and Infrastructures Limited)**

Information as required in terms of paragraph 13 of Non Banking Financial (Non-Deposit Accepting or Holding) Companies Prudential Norms (Reserve Bank) Directions, 2007.

Particulars	(Rs. In Lacs)	
	Amount Outstanding	Amount Overdue
Liabilities Side		
i) Loans & Advances availed by the NBFCs inclusive of Interest accrued thereon but not paid	-	-
a) Debentures		
:Secured	-	-
:Unsecured	-	-
(Other than falling within the meaning of public deposits*)		
b) Deferred Credit (Car Loan)	-	-
c) Term Loans	-	-
d) Inter Corporate Loans & Borrowings	192.91	-
e) Commercial Paper	-	-
f) Other Loans (Specify nature)	-	-
Assets Side :		
		Amount Outstanding
ii) Break up of Loans and Advances including bills receivables [other than those included in (iv) below] :		
a) Secured		-
b) Unsecured		1,548.70
iii) Break up of Leased Assets and stock on hire and other assets counting towards AFC activities		
a) Lease assets including lease rentals under Sundry Debtors :		
i) Financial Lease		-
ii) Operating Lease		-
b) Stock on hire including hire charges under Sundry Debtors:		
i) Assets on hire		-
ii) Repossessed Assets		-
c) Other loans counting towards AFC activities		
i) Loans where assets have been repossessed		-
ii) Loans other than (a) above		-



iv) **Break up of Investments :**

Current Investments :

Quoted :

- a) Shares :
 - i) Equity
 - ii) Preference
- b) Debentures & Bonds
- c) Units of Mutual Funds
- d) Government Securities
- e) Others (Please Specify)

Unquoted :

- a) Shares :
 - i) Equity
 - ii) Preference
- b) Debentures & Bonds
- c) Units of Mutual Funds
- d) Government Securities
- e) Others (Please Specify)

Long Term Investments :

Quoted :

- a) Shares :
 - i) Equity
 - ii) Preference
- b) Debentures & Bonds
- c) Units of Mutual Funds
- d) Government Securities
- e) Others (Please Specify)

Unquoted :

- a) Shares :
 - i) Equity 30.00
 - ii) Preference 3,537.00
- b) Debentures & Bonds -
- c) Units of Mutual Funds -
- d) Government Securities -
- e) Others (Investments in Venture Capital Fund) 226.00



v) Borrower group - wise classification of assets financed in (ii) and (iii) above :

Category	Amount net of provision		Total
	Secured	Unsecured	
1. Related Parties** :			
a) Subsidiaries	-	-	-
b) Companies in the same group	-	-	-
c) Other Related Parties	-	76.54	76.54
2. Other than Related Parties	-	1,472.17	1,472.17
TOTAL	-	1,548.70	1,548.70

vi) Investors group - wise classification of all investments (Current and Long Term) in Shares and securities (both quoted and unquoted) :

Category	Market value/ Break up or fair value or NAV	Book Value (Net of provision)
1. Related Parties** :		
a) Subsidiaries	-	-
b) Companies in the same group	-	-
c) Other Related Parties	-	-
2. Other than Related Parties	3793.00	3,793.00
TOTAL	3,793.00	3,793.00

NOTE :

* Market value of Unquoted investments are not available hence taken at cost.

vii) **Other Information**

Particulars	Amount
a) Gross Non - Performing Assets	
1. Related Parties	-
2. Other than Related Parties	-
b) Net Non - Performing Assets	
1. Related Parties	-
2. Other than Related Parties	-
c) Assets Acquired in satisfaction of debt	-

