



TSIL/SE/2017-18/29

October 12, 2017

Dy. General Manager  
BSE Limited  
Corporate Relationship Department  
P. J. Towers  
Dalal Street  
Mumbai – 400 001

The Manager  
Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza (5<sup>th</sup> Floor)  
C/1, Block G  
Bandra-Kurla Complex  
Bandra (E)  
Mumbai – 400 051

Dear Sir/ Madam,

Sub : Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended September 30, 2017

Please find enclosed herewith the certificate as required under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended September 30, 2017.

This is for your information and record.

Thanking you,

Yours faithfully,  
**For Tata Sponge Iron Limited**

**Sanjay Kasture**  
**Chief Risk & Compliance Officer and Company Secretary**

Encl : as above.

**TATA SPONGE IRON LIMITED**

PO Joda Dist Keonjhar Odisha 758 034 India  
Tel +91 6767 278178 Fax 278159 Email info@tatasponge.com  
CIN No. L27102OR1982PLC001091

**PARIKH & ASSOCIATES  
COMPANY SECRETARIES**

Office :  
111, 11th Floor, Sai-Dwar CHS Ltd,  
Sab TV Lane, Opp Laxmi Industrial Estate  
Off Link Road, Above Shabari Restaurant,  
Andheri (W), Mumbai : 400 053  
Tel. : 26301232 / 26301233 / 26301240  
Email : cs@parikhassociates.com  
parikh.associates@rediffmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2017**

We have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to TATA SPONGE IRON LIMITED maintained by TSR Darashaw Limited, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the company for the period from 1st April, 2017 to 30th September, 2017 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that :

A) 1.a. the Company has delivered during half year ended on 30th September, 2017 share certificates relating to the transfer of shares received during the period from 1st April, 2017 to 30th September, 2017, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds;

1.b. the Company has delivered during half year ended on 30th September, 2017 share certificates in respect of request relating to renewal received during the period from 1st April, 2017 to 30th September, 2017, within thirty days, from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests for consolidation, sub-division (split), exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: ---

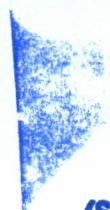
Place: Mumbai  
Date: October 10, 2017



For Parikh & Associates

P.N.Parikh  
FCS: 327 CP: 1228

**CERTIFIED TO BE TRUE COPY**



*Sanjay Kasture*

12/10/2017.

**(SANJAY KASTURE)  
COMPANY SECRETARY  
TATA SPONGE IRON LIMITED**