

To,

The Bombay Stock Exchange Ltd.,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai.- 400 023.

Dear Sir,

Sub: Compliance under Regulation 40(9) of the Listing Agreement

We enclose herewith a certificate dated 05.10.2017, from a practising Company Secretary, under Regulation 40(9) of the Listing Agreement.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,  
For DAI-ICHI KARKARIA LIMITED



Ms. Kavita Thadeshwar  
Company Secretary

Encl: as above



# Ragini Chokshi & Associates

Tel. : 022-2283 1120  
022-2283 1134

## Company Secretary

34, Kamer Building, 5th Floor, 38 Cawasji Patel Street, Fort, Mumbai - 400 001.  
E-mail : ragini.c@rediffmail.com / mail@csraginichokshi.com  
web: csraginichokshi.com

Date : \_\_\_\_\_

### CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS

#### FOR THE HALF YEAR ENDED 30.09.2017.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **DAI-ICHI KARKARIA LTD** maintained by **SHAREX DYNAMIC (INDIA) PVT. LTD** pertaining to transfer of equity shares of the company for the period from **01<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulation, 2015 by, **DAI-ICHI KARKARIA LTD** and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on 30<sup>th</sup> September, 2017.

- A) Share Certificate(s) relating to the Share Transfer form (s) received during the period from **1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate certificates have been issued within one month of lodgement and there were no split, sub-division consolidation, renewal, exchange or endorsement of calls/allotment monies.

Date: 05/10/2017  
Place: Mumbai

For Ragini Chokshi & Associates

*R. K. Chokshi*

**Mrs. Ragini Chokshi  
(Proprietor)**

**Membership No. 2390**

