

**MODISON**  
**METALS LIMITED**

33 - Nariman Bhavan, 227 - Nariman Point,  
Mumbai - 400021 India  
T: +91-22-2202 6437 F: +91-22-2204 8009  
E: sales@modison.com W: www.modison.com  
Cin No.: L51900MH1983PLC029783



13-04-2022

Department of Corporate services  
BSE Limited  
Phiroze Jeeleebhoy Towers,  
Dalal Street, Mumbai — 400001  
Scrip Code: 506261

The Assistant Manager – Listing  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5th floor  
Plot No. C/1, G Block  
Bandra – Kurla Complex  
Bandra (East), Mumbai – 400 051  
NSE SCRIP CODE: MODISNME

Name of the Company: Modison Metals Limited

Dear Sir,  
Sub: Certificate under Regulation 40(9)

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a certificate obtained from M/s. Ragini Chokshi & Company, Company Secretaries for the year ended March 31, 2022.

Thanking you,

Yours faithfully,

For **Modison Metals Limited**

Manika Arora  
Company Secretary



## *Ragini Chokshi & Co.*

Tel. : 022-2283 1120  
022-2283 1134

### *Company Secretaries*

34, Kamer Building, 5th Floor, 38 Cawasji Patel Street, Fort, Mumbai - 400 001.  
E-mail : ragini.c@rediffmail.com / mail@csraginichokshi.com  
web: csraginichokshi.com

### **CERTIFICATE UNDER REGULATION 40(9) OF THE LISTING REGULATIONS**

#### **FOR THE YEAR ENDED 31.03.2022.**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **MODISON METALS LIMITED** maintained by **FREEDOM REGISTRY LIMITED** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulation, 2015 by, **MODISON METALS LIMITED** and based on the information provided by the Company, hereby certify that:

- A.) There were no requests received relating to transfer of shares during the period from **APRIL 01, 2021 to MARCH 31,2022**
- B.) There were no requests relating to issue of duplicate share certificate, transmission and deletion, received during the period from **APRIL 01, 2021 TO MARCH 31, 2022.**

**Place: Mumbai**  
**Date: 13/04/2022**

**For Ragini Chokshi & Company**

Ragini Kamal Chokshi Digitally signed by  
Ragini Kamal Chokshi  
Date: 2022.04.13  
16:48:19 +05:30'

**Mrs. Ragini Chokshi**  
**(Proprietor)**  
**Membership No. 2390**  
**COP No. 1436**  
**UDIN: F002390D000101863**