

To,

Date: 17.04.2017

The Stock Exchange, Mumbai  
Corporate Relationship Department  
1st Floor, New Trading Ring.  
Rotunda Building  
PJ Towers, Dalal Street Fort  
Mumbai - 400 001

Sub. : Certificate under Regulation 40 (9) of the SEBI (LODR) Regulations, 2015

Dear Sir

Please find enclosed herewith the certificate issued by M/s Pooja Anand and Associates Regulation 40 (9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 for the half year ended on 31<sup>st</sup> March 2017.

Kindly take the above on record and oblige.

Thanking you

Yours faithfully  
For LEAD FINANCIAL SERVICES LIMITED



[Chairman]

**Compliance Certificate as per regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**To whomsoever it may concern**

We have examined all relevant books and records of **M/s LEAD FINANCIAL SERVICES LIMITED** (hereinafter referred to as 'the Company') maintained by Beetal Financial & Computer Services (P) Ltd at Beetal House, 3rd floor, Madangir, Behind Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi-110 062, pertaining to transfer of equity shares of the company, for six months period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 for the purpose of issuing this certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during half year ended on 31<sup>st</sup> March, 2017:

- i. No Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 as required to be entered in the Memorandum of Transfers have been issued within stipulated time as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- ii. There was no sub-division, consolidation, replacement, split and renewal/issue of duplicate of share certificate(s), endorsement for payment of call money during the aforesaid period.

FOR POOJA ANAND & ASSOCIATES  
COMPANY SECRETARIES



Mukul Tyagi  
Partner

ACS 33949  
CP No. 16631

Date: 17.04.2017  
Place: New Delhi