



# भारत हेवी इलेक्ट्रिकल्स लिमिटेड Bharat Heavy Electricals Limited

No. AA/SCY/SE/17

CIN: L74899DL1964GOI004281

Date: 20<sup>th</sup> October, 2017

**BSE Limited**

P.J. Towers, Dalal Street Fort,  
MUMBAI – 400001

**National Stock Exchange of India Limited**

Exchange Plaza, C-1, Block G,  
Bandra-Kurla Complex, Bandra (East)  
MUMBAI – 400051

Dear Sirs,

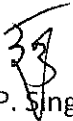
**Sub: Certificate under Regulation 40 of the Listing Regulations**


Pursuant to Regulation 40 of the SEBI (Listing Obligations & Disclosure Requirements), Regulations, 2015, we are sending herewith Compliance Certificate dated 18.10.2017 in respect of BHEL, issued by M/s Kumar Naresh Sinha & Associates, Practicing Company Secretaries for the half year ended 30<sup>th</sup> September, 2017.

This is for your information and record.

Thanking you,

Yours faithfully,

  
(I.P. Singh)

 Company Secretary  
shareholderquery@bhel.in  
www.bhel.com

Encl: As above

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2017**

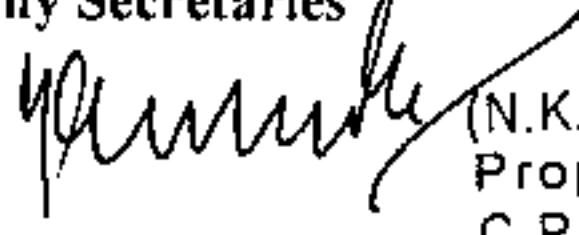
We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to M/s Bharat Heavy Electricals Limited (hereinafter called "the Company") maintained by M/s Karvy Computershare Private Limited, their Registrar & Share Transfer Agent, pertaining to transfer of equity shares of the Company for the 1<sup>st</sup> half of 2017-18, i.e., from 01.04.2017 to 30.09.2017 for the purpose of issuing certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 and based on the information provided by the Company, do hereby certify that the Company has delivered during the half year ended on 30<sup>th</sup> September, 2017:

1. Share Certificates relating to the Share Transfer Forms received during the 1<sup>st</sup> half of the 2017-18, i.e., from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 as entered in the Memorandum of Transfers have been issued within thirty days of the respective date of lodgement of each form except those rejected on technical grounds.
2. Share Certificate in respect of request for exchange of duplicate certificates and consolidation has been issued within thirty days of lodgement.
3. There were no transactions relating to split, sub-division or endorsement of calls/ allotment monies during the period.

Place: Noida  
Date : 18.10.2017



For Kumar Naresh Sinha & Associates  
For **Kumar Naresh Sinha & Associates**  
Company Secretaries

  
(N.K. Sinha)  
Proprietor  
C.P.-14984  
Naresh Kumar Sinha  
Proprietor  
FCS No. 1807, C.P. No. 14984