

# KJMC FINANCIAL SERVICES LTD.

NBFC : No. B-13.01633



April 13, 2017

To,  
The Dept. of Corporate Services - CRD  
**BSE LIMITED**  
Phiroze Jeejeebhoy Towers, 1<sup>st</sup> Floor,  
Dalal Street,  
Mumbai - 400 001.

Dear Sir/Madam,

Ref.: Scrip Code 530235

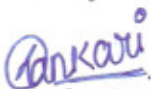
Sub. : Submission of Certificate issued under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the Certificate for the half year ended March 31, 2017 issued by M/s S. S. Rauthan & Associates, Practicing Company Secretaries.

Kindly take the above on record.

Thanking you,

Yours faithfully,  
For KJMC Financial Services Limited

  
Sankari Muthuraj  
Company Secretary

Encl.: As above



# S. S. Rauthan & Associates

Company Secretaries



**SS Surjan Singh Rauthan**  
B.Com., F.C.S.

## **CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2017**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **KJMC Financial Services Limited** having registered office at 162, Atlanta, 16<sup>th</sup> Floor, Nariman Point, Mumbai - 400 021 (hereinafter referred as "The Company") maintained by Bigshare Services Private Limited having office at E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (East), Mumbai - 400072, (hereinafter referred as Registrar and Share Transfer Agent "The RTA") pertaining to transfer of 100 equity shares of the Company for the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (LODR) Regulations, 2015, by **KJMC Financial Services Limited** and based on the information provided by the Company and its RTA hereby certify that the Company has delivered during the half year ended on 31<sup>st</sup> March, 2017;

- A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds.
- B) No Share Certificates in respect of requests for exchange of duplicate and split certificates were received during the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017.

For **S. S. Rauthan & Associates**  
Company Secretaries

  
**Surjan Singh Rauthan**  
Proprietor

**FCS: 4807 C.P. No. 3233**



**Date :** 13/04/2017

**Place :** Mumbai