



**CMMIDEV/5<sup>SM</sup>**  
Exp. 2017-04-16 / Appraisal #22067

SONATA SOFTWARE LIMITED

9<sup>th</sup> October, 2017

National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [neaps@nse.co.in](mailto:neaps@nse.co.in)  
Stock Code - SONATSOFTW

BSE Limited  
P.J. Towers, Dalal Street  
Mumbai  
Kind Attn: Manager, Listing Department  
Email Id: [listing.centre@bseindia.com](mailto:listing.centre@bseindia.com)  
Stock Code - 532221

Dear Sir/Madam,

**Sub: CERTIFICATE FROM THE PRACTICING COMPANY SECRETARY**  
**Ref: REGULATION 40 OF THE SEBI (LODR) REGULATIONS, 2015**

With reference to the above, please find enclosed copy of Compliance Certificate dated 6<sup>th</sup> October, 2017 for the half year ended 30<sup>th</sup> September, 2017 as required under Regulation 40 of the SEBI (LODR) Regulations, 2015 issued by Practicing Company Secretary.

This is for your information and records.

Thanking you,

Yours faithfully

For **Sonata Software Limited**

  
Kundan K. Lal  
Company Secretary and Head of Legal



**P Sriram & Associates**  
Practising Company Secretaries

To,  
The Board of Directors  
Sonata Software Limited  
208 T V Indl Estate, 2nd Floor, S. K. Ahire Marg, Worli, Mumbai - 400030

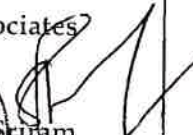

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.  
FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2017.**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. SONATA SOFTWARE LIMITED maintained by M/s. Karvy Computershare Pvt Ltd pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 for the purpose of issuing a Certificate as per regulation 40 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 entered into by the Company, with the listed stock exchanges and based on the information provided by the Company and hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2017.

- A) The Company has not received any valid Share Transfer Deeds during the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017
- B) The Company has not received any valid requests for exchange of Duplicate Share Certificates during the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017

Date : 06.10.2017  
Place: Chennai

For M/s. P.Sriram & Associates

  
  
P. Sriram  
Proprietor  
Membership number- 4862  
Certificate number - 3310