

**Date: 25<sup>th</sup> May 2022**

To,

**BSE Ltd.**

Corporate Relationship Department,  
1st Floor, New Trading Ring,  
Rotunda Building, P /Towers,  
Dalal Street, Fort,  
Mumbai - 400 001  
corp.relations@bseindia.com  
Scrip Code – 532323

Dear Sir/Madam,

**Sub: Secretarial Compliance Report for the Year ended 31.03.2022**

Dear Sir/Madam,

With reference to the above and in pursuance of Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended from time to time, please find enclosed herewith Secretarial Compliance Report for the Year ended on 31.03.2022, signed by Mr. Sunil Agarwal, Practicing Company Secretary, for your information and record.

You are Kindly requested to take note of the above.

Thanking You,

Yours Faithfully

**For Shiva Cement Limited**



**Sneha Bindra**  
**Company Secretary**  
**A29721**

Encl: As above

# **SUNIL AGARWAL & CO.**

COMPANY SECRETARIES

124-125, Ostwal Ornat, Building No. 1, 'A' Wing, Opp. Jain Temple, Bhayander (East), Thane-401105, web: [www.cssunilagarwal.com](http://www.cssunilagarwal.com)

Email: [sunilcs\\_mumbai@rediffmail.com](mailto:sunilcs_mumbai@rediffmail.com), [agarwalcs\\_mumbai@yahoo.co.in](mailto:agarwalcs_mumbai@yahoo.co.in)

## **SECRETARIAL COMPLIANCE REPORT OF SHIVA CEMENT FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2022**

I Sunil Agarwal, Proprietor of Sunil Agarwal & Co., Practicing Company Secretary have examined:

- (a) all the documents and records made available to us and explanation provided by Shiva Cement Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;



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Email: [sunilcs\\_mumbai@rediffmail.com](mailto:sunilcs_mumbai@rediffmail.com), [agarwalcs\\_mumbai@yahoo.co.in](mailto:agarwalcs_mumbai@yahoo.co.in)

- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009 as amended on 3<sup>rd</sup> August 2021

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
	NIL	NIL	NIL

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



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Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
	NOT REQUIRED			

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
		NOT REQUIRED		

For **SUNIL AGARWAL & CO.**  
Company Secretaries



*Asawa*

Place: MUMBAI  
Date: - APRIL 14, 2022

**SUNIL AGARWAL**  
(Proprietor)  
FCS No. 8706  
COP. No. 3286

UDIN number F008706D000111490

Peer review Unit No. 788/2020