

Ref: ISD/17-18/18

15th April, 2017

The Deputy General Manager, Corporate Relationships Dept. BSE Ltd. Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001. Scrip Code-532 477	The Deputy General Manager, Listing Dept. National Stock Exchange of India Ltd. Exchange Plaza, Plot No.C/1, G Block Bandra-Kurla Complex, Bandra (E), Mumbai-400 051. Scrip Symbol/Series-UNIONBANK-EQ
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Madam/Sir,

Subject: Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Certificate of Practicing Company Secretary for the half year ended on 31st March, 2017

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith a copy of certificate dated 11th April, 2017 issued by M/s. S. N. Ananthasubramanian & Co., Company Secretaries under regulation 40(9) of SEBI (LODR) Regulations, 2015, for the half year ended on 31st March, 2017 for your perusal and information.

Thanking you.

Yours faithfully,


(Dipak D. Sanghavi)
Company Secretary

Encl.: as above.

CERTIFICATE UNDER CLAUSE 40 (9) OF
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31st MARCH, 2017

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Union Bank of India** (ISIN INE692A01016) and having its registered office at 239, Vidhan Bhavan Marg, Nariman Point, Mumbai 400021 (hereinafter referred to as the Bank) and maintained by **Datamatics Financial Services Limited**, Bank's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Bank pertaining to certificate/s of equity shares, for the period from **1st October, 2016** to **31st March, 2017** (review period) for the purpose of issuing a Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Bank with **BSE Limited** and **National Stock Exchange of India Limited**. Based on the aforesaid examination and information provided by the officers of the Bank and / its RTA, we hereby certify that;

During the review period, the Bank has delivered the Certificates as under: -

Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Transfer of Shares	Within 15 days from the date of receipt of request for transfer by RTA.	116	Yes	-
Consolidation of Shares	Within 15 days from the date of receipt of request by RTA.	NIL	Not Applicable	-



S. N. ANANTHASUBRAMANIAN & CO.
COMPANY SECRETARIES

FIRM REGISTRATION No.P1991MH040400

10/26, BRINDABAN, THANE - 400601 | Tel 25345648/25432704 | Fax 25390292 | Email sna@snaco.net | Website www.snaco.net

Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Sub-division of shares	Within 15 days from the date of receipt of request by RTA	NIL	Not Applicable	-
Renewal	Within 15 days from the date of receipt of request by RTA.	NIL	Not Applicable	-
Exchange or endorsement of calls/allotment monies	Within 15 days from the date of receipt of request by RTA.	NIL	Not Applicable	-
Deletion of name of deceased holder(s) of securities, where the securities are held in the name of two or more holders of securities	Within 15 days from the date of receipt of request by RTA	55	Yes	-



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Event	Due Date	Number of Certificates	Whether Complied or not (Yes / No /Not Applicable)	If No, reason for such Non-compliance
Transposition of securities, where there is a change in the order of name	Within 15 days from the date of receipt of request by RTA	NIL	Not Applicable	-
Transmission of securities to the legal heir(s), where deceased holder of securities was the sole holder of securities	Within 21 days after receipt of Specified documents by RTA	83	Yes	-

For S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

Malati A. Kumar



Malati Kumar
Partner
CP. No. 10980

Date: 11th April, 2017
Place: Thane