



**Essar Securities Limited**  
Essar House,  
11, K. K. Marg,  
Mahalaxmi,  
Mumbai- 400 034  
CIN No.: L65990TN2005PLC071791

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F +91 22 6666 9432  
[www.essar.com](http://www.essar.com)

**Date: October 16, 2017**

To  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort.  
Mumbai – 400 001

Dear Sir,

**Sub.: Statement of Investor Complaints for the quarter ended September 30, 2017.**

**Ref: Scrip Code 533149**

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, please find enclosed herewith the status of Investor Complaints for the quarter ended September 30, 2017 as received from our Registrar and Transfer Agent viz. Data Software Research Company Private Limited.

Request you to kindly take the same on your record.

Thanking you,

**For Essar Securities Limited**

  
**Somashekhar Malagi**  
**Director**  
**DIN: 07626139**



# DATA SOFTWARE RESEARCH COMPANY PRIVATE LIMITED

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19, Pycrofts Garden Lane  
Off Haddows Road  
Nungambakkam  
Chennai - 600 006.  
Tel : 044 - 2821 3738 / 4487  
Fax: 044 - 2821 4636

October 11, 2017

Ms. Priyanka Jain  
Company Secretary  
Essar Securities Limited  
ESSAR HOUSE  
Mumbai - 400 034.

Dear Sir/Madam,

Sub: Status of complaints for the quarter ended 30th Sep. 2017

Ref: Essar Securities Limited

We are furnishing the status of complaints for the quarter ended 30th September 2017 below :-

1	Number of complaints pending at the beginning of the quarter	NIL
2	Number of complaints received and disposed of during the quarter	6
3	Number of complaints remaining unresolved at the end of the quarter	NIL

This is for your information.

Thanking you,

Yours faithfully,  
For DATA SOFTWARE RESEARCH CO PVT LTD



S CHANDRASEKARAN  
SENIOR MANAGER-CAPITAL ISSUE DIVISION

ESSAR SECURITIES LIMITED

STATUS OF COMPLAINTS - 01.07.2017 TO 30.09.2017

NO.	NAME AND FOLIO NO.	NATURE OF COMPLAINT	STATUS
01	THOMAS JOSEPH 019916	QUERY REGARDING SHARES	REPLY SENT BY EMAIL
02	HITESH RAMJI JAVERI IN300360-20087818	NON-RECEIPT OF ANNUAL REPORT	REGARDING THE DESPATCH OF ANNUAL REPORT, REPLY SENT BY EMAIL.
03	INDER MOHAN JERATH 005907	QUERY REGARDING DIVIDEND	FRESH DD IN LIEU OF DIVIDEND WARRANT HAS BEEN SENT.
04	M HARISH KUMAR 12029900-06014829	NON-RECEIPT OF ANNUAL REPORT	REGARDING THE DESPATCH OF ANNUAL REPORT, REPLY SENT BY EMAIL.
05	KUSUM WILSON CHRISTIAN 021612/008011	DEMAT / TRANSFER PROCEDURE	NAME DELETION AND PROCEDURE FOR DEMAT INFORMED BY EMAIL.
06	SUSHILADEVI NAHAR IN303382-10085111	NON-RECEIPT OF ANNUAL REPORT	ANNUAL REPORTS 2016-17 HAVE BEEN SENT ALREADY IN THE NORMAL COURSE.