

Date: January 04, 2023

The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

Subject: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants)
Regulations, 2018

Dear Sir/Madam,

We enclose herewith a Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2022 as received from M/s Skyline Financial Services Private Limited, Registrar and Share Transfer Agent of the Company.

You are requested to kindly take the above on record and acknowledge the receipt of the same. Thanking You,

Thanking you,

For Aravali Securities and Finance Limited

Ruchi Shrivastava Company Secretary

Encl: As above

## ARAVALI SECURITIES & FINANCE LIMITED

REGD. OFFICE: RIDER HOUSE, 4<sup>th</sup> Floor, Plot No. 136, Sector-44, Gurgaon-122003

Phone: +91-124-4556677

CIN: L67120HR1980PLC039125 | Website: www.aravalisecurities.com | Email: info@aravalisecurities.com



## Skyline Financial Services Pvt. Ltd.

SEBI Registered Category-1 Registrars & Share Transfer Agent

Regd. & Corp. Office: D-153A, 1st Floor, Okhla Industrial Area, Phase-I, New Delhi - 110 020 Tel.: +91-11-40450193-97, 26812682-83 E-mail: info@skylinerta.com Website: www.skylinerta.com CIN No.: U74899DL1995PTC071324

Dated: January 02, 2023

Ref: SFSPL/RTA/ December/2022

To,
The Company Secretary
ARAVALI SECURITIES & FINANCE LIMITED
PLOT NO - 136, 4TH FLOOR, RIDER HOUSE,
SECTOR 44 GURGAON 122003

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In accordance with Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the Quarter ended **31st December**, **2022**, w.r.t. physical share certificates received for dematerialization of securities from the depository participant (Equity Shares) of the Company, " **ARAVALI SECURITIES & FINANCE LIMITED**" **CIN: L67120HR1980PLC039125.** We hereby confirm and certify the following:

a) The securities comprised in the said certificate(s) have been listed on the Stock Exchange where the earlier issued securities are listed:

and

b) The said certificate(s) after due verification have been mutilated and cancelled and the name of depository has been substituted in our records as registered owner within 15 days.

This is for you information and records.

Thanking you,

For Skyline Financial Services Private Limited

Parveen Sharina
Authorised Signatory

Mumbai Office: A-506, Dattani Plaza, Andheri Kurla Road, Safeed Pool, Mumbai-400072
Tel.: +91-22-49721245, 28511022, E-mail: mumbai@skylinerta.com

ARAVALI SECURITIES & FINANCE LIMITED ISIN: INE068C01015 LIST OF DEMAT/REMAT/REJECTION(NSDL) FROM: 01/10/2022 TO 31/12/2022

10.	CLIENT ID	D/R	DRN/RRN	NAME OF SHAREHOLDERS	RECEIPT DATE	QTY REQ.	SETUP DATE	QTY REJ.	QTY ACP.	CONF DATE	DAYS	RTA REF
1	IN300118-11817382	D	10000000094933	USHA AGARWAL,SUBODH KUMAR AGARWAL,	06/10/2022	30	24/09/2022	0	30	10/10/2022	5	646
2	IN304088-10087168	D	1000000001388	VIVEK KUMAR BECTOR,,	05/11/2022	100	29/10/2022	100	0	07/11/2022	3	647
3	IN300214-10506781	D	10000000239432	HARIPADA SAMANTA,ALPANA SAMANTA,	18/11/2022	100	17/11/2022	0	100	25/11/2022	8	647
4	IN301774-20343876	D	10000000139259	RAVINDRA KUMAR AGARWAL,,	18/11/2022	215	17/11/2022	0	215	25/11/2022	8	647:
5	IN301549-53890759	D	10000000555051	SEEMA UBEROI,ASHA UBEROI,	25/11/2022	200	21/11/2022	0	200	29/11/2022	5	647
6	IN300183-12105847	D	10000000070897	V JAGADEESAN,BRINDA JAGADEESAN,	26/11/2022	100	25/11/2022	0	100	30/11/2022	5	647
7	IN301330-20055109	D	10000000252844	GOPAL KRISHNA GUPTA,VIPULA GUPTA,PRADEEP KUMAR GUPTA	28/11/2022	100	25/11/2022	0	100	03/12/2022	6	647
	IN301330-20055109	D	10000000252845	GOPAL KRISHNA GUPTA,VIPULA GUPTA,PRADEEP KUMAR GUPTA	28/11/2022		25/11/2022	0		03/12/2022	6	647
0	114301330-20033103		1000000232843	OUTA	20/11/2022	100	23/11/2022		100	03/12/2022	1 0	047
9	IN301039-24427016	D	1000000013121	KAILASBEN JAGDISHCHANDRA THAKRAR,,	21/12/2022	200	17/12/2022	200	0	26/12/2022	6	647

SUMMARY									
(3)	QUANTITY DOWNLOADED	#NOS.	QUANTITY REJECTED	- see 1 1 1	QUANTITY ACCEPTED	#NOS			
DEMAT	1145	9	300	2	845	7			
REMAT	0	0	0	0	0	C			
Total	1145	9	300	2	845	7			



ARAVALI SECURITIES & FINANCE LIMITED ISIN: INE068C01015 LIST OF DEMAT/REMAT/REJECTION(CDSL) FROM: 01/10/2022 TO 31/12/2022

CLIENT ID	DRN/RRN									
		NAME OF SHAREHOLDERS	RECEIPT DATE	QTY REQ.	SETUP DATE	QTY REJ.	QTY ACP.	CONF DATE	DAYS	RTA REF
1201060004411903	10489216	VISHNU KANTA BADARYA,DAL CHAND BADARYA.	15/10/2022	150	10/10/2022	150	0	18/10/2022	4	161
		CHINTAMANI JAIN,,	10/11/2022			0				161
301380000288249	10538201	VIBHA SHASHIKANT PATIL,SHASHIKANT DEVJI	28/11/2022	200	24/11/2022	200	0	02/12/2022	5	161
		SHASHIKANT DEVJI PATIL,VIBHA SHASHIKANT								
.301380000288234	10538206	Contract of the Contract of th	28/11/2022	200	24/11/2022	200	0	02/12/2022	5	161
202890001979385	10541061	THE POSITION AND REPORTED THE PROPERTY OF THE POSITION OF THE	30/11/2022	100	28/11/2022	0	100	02/12/2022	3	162
202990001575149	10569228	RAVI KANT DEWAN,,	24/12/2022	100	20/12/2022	0	100	28/12/2022	5	162
	.301380000288249 .301380000288234 .202890001979385	.202990000284212 10518225 .301380000288249 10538201 .301380000288234 10538206 .202890001979385 10541061	.202990000284212 10518225 CHINTAMANI JAIN,,  VIBHA SHASHIKANT  PATIL,SHASHIKANT DEVJI  PATIL,  SHASHIKANT DEVJI  PATIL,VIBHA SHASHIKANT  301380000288234 10538206 PATIL,  BHANWARLAL GOVINDRAM  202890001979385 10541061 CHOTIA,,	202990000284212 10518225 CHINTAMANI JAIN,, 10/11/2022 VIBHA SHASHIKANT PATIL,SHASHIKANT DEVJI 301380000288249 10538201 PATIL, 28/11/2022 SHASHIKANT DEVJI PATIL,VIBHA SHASHIKANT 301380000288234 10538206 PATIL, 28/11/2022 BHANWARLAL GOVINDRAM 202890001979385 10541061 CHOTIA,, 30/11/2022	202990000284212 10518225 CHINTAMANI JAIN,, 10/11/2022 50 VIBHA SHASHIKANT PATIL,SHASHIKANT DEVJI 28/11/2022 200 SHASHIKANT DEVJI PATIL,VIBHA SHASHIKANT 301380000288234 10538206 PATIL, 28/11/2022 200 BHANWARLAL GOVINDRAM 202890001979385 10541061 CHOTIA,, 30/11/2022 100	.202990000284212 10518225 CHINTAMANI JAIN,, 10/11/2022 50 07/11/2022  VIBHA SHASHIKANT  PATIL,SHASHIKANT DEVJI  .301380000288249 10538201 PATIL, 28/11/2022 200 24/11/2022  SHASHIKANT DEVJI  PATIL,VIBHA SHASHIKANT  .301380000288234 10538206 PATIL, 28/11/2022 200 24/11/2022  BHANWARLAL GOVINDRAM  202890001979385 10541061 CHOTIA,, 30/11/2022 100 28/11/2022	202990000284212 10518225 CHINTAMANI JAIN,, 10/11/2022 50 07/11/2022 0  VIBHA SHASHIKANT  PATIL,SHASHIKANT DEVJI  PATIL,VIBHA SHASHIKANT  PATIL,VIBHA SHASHIKANT  301380000288234 10538206 PATIL, 28/11/2022 200 24/11/2022 200  BHANWARLAL GOVINDRAM  202890001979385 10541061 CHOTIA,, 30/11/2022 100 28/11/2022 0	202990000284212 10518225 CHINTAMANI JAIN,, 10/11/2022 50 07/11/2022 0 50  VIBHA SHASHIKANT  PATIL,SHASHIKANT DEVJI  SHASHIKANT DEVJI  PATIL,VIBHA SHASHIKANT  PATIL,VIBHA SHASHIKANT  301380000288234 10538206 PATIL, 28/11/2022 200 24/11/2022 200 0  BHANWARLAL GOVINDRAM  202890001979385 10541061 CHOTIA,, 30/11/2022 100 28/11/2022 0 100	202990000284212 10518225 CHINTAMANI JAIN,, 10/11/2022 50 07/11/2022 0 50 14/11/2022   VIBHA SHASHIKANT PATIL,SHASHIKANT DEVJI	202990000284212 10518225 CHINTAMANI JAIN,, 10/11/2022 50 07/11/2022 0 50 14/11/2022 5 VIBHA SHASHIKANT PATIL,SHASHIKANT DEVJI PATIL, SHASHIKANT DEVJI PATIL,VIBHA SHASHIKANT DEVJI PATIL,VIBHA SHASHIKANT DEVJI PATIL,VIBHA SHASHIKANT DEVJI PATIL,VIBHA SHASHIKANT DEVJI PATIL, SHASHIKANT SHASHIKANT SHASHIKANT DEVJI PATIL, SHASHIKANT SHASHIKANT DEVJI PATIL, SHASHIKANT SHASHIKANT SHASHIKANT SHASHIKANT SHASHIKANT SHASHIKANT SHASHIKANT DEVJI PATIL, SHASHIKANT SHASHI

		SUM	MARY			
	QUANTITY DOWNLOA DED	#NOS.	QUANTITY REJECTED	#NOS.	QUANTITY ACCEPTED	#NOS
DEMAT	800	6	550	3	250	3
REMAT	0	0	0	0	0	0
Total	800	6	550	3	250	3

