

**VA TECH WABAG LIMITED - SHAREHOLDING PATTERN UNDER CLAUSE-35 FOR THE QUARTER ENDED 30<sup>TH</sup> SEPTEMBER, 2014**  
**(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE-35**

NAME OF THE COMPANY:VA TECH WABAG LIMITED		Name of the Scrip: WABAG	
SCRIP CODE:	533269		
Class of Security:	EQUITY		
QUARTER ENDED:	30/09/2014		
Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company.
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>
Outstanding convertible securities:	No. of outstanding securities	As a % of total no. of outstanding convertible securities.	As a % of total no. of shares of the Company assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>
Warrants:	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by Public	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities</b>	<b>26802373</b>	<b>0</b>	<b>100.00</b>



*(Handwritten mark)*

VA TECH WABAG LIMITED - SHAREHOLDING PATTERN UNDER CLAUSE-35 FOR THE QUARTER ENDED 30<sup>TH</sup> SEPTEMBER, 2014

CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES			SHARES PLEDGE OR OTHERWISE ENCUMBERED	
					(IV)	(V)	AS a PERCENTAGE of (A+B)	As a PERCENTAGE of (A+B+C)	NUMBER OF SHARES
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)=[viii]/(iv)*100	
(A)	PROMOTER AND PROMOTER GROUP								
(1)	INDIAN								
(a)	Individual/HUF	3	3031999	3031999	11.31	11.31	0	0.00	
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0.00	
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00	
(e)	Others	0	0	0	0.00	0.00	0	0.00	
	<b>Sub-Total A(1) :</b>	<b>3</b>	<b>3031999</b>	<b>3031999</b>	<b>11.31</b>	<b>11.31</b>	<b>0</b>	<b>0.00</b>	
(2)	FOREIGN								
(a)	Individuals (NRIs/Foreign Individuals)	1	4854703	4854703	18.11	18.11	0	0.00	
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
(c)	Institutions	0	0	0	0.00	0.00	0	0.00	
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(e)	Others	0	0	0	0.00	0.00	0	0.00	
	<b>Sub-Total A(2) :</b>	<b>1</b>	<b>4854703</b>	<b>4854703</b>	<b>18.11</b>	<b>18.11</b>	<b>0</b>	<b>0.00</b>	
	<b>Total A=A(1)+A(2)</b>	<b>4</b>	<b>7886702</b>	<b>7886702</b>	<b>29.43</b>	<b>29.43</b>	<b>0</b>	<b>0.00</b>	
(B)	PUBLIC SHAREHOLDING								
(1)	INSTITUTIONS								
(a)	Mutual Funds/UTI	64	5661333	5661333	21.12	21.12	0	0	
(b)	Financial Institutions /Banks	2	16097	16097	0.06	0.06	0	0	
(c)	Central Government / State Government(s)	0	0	0	0.00	0.00	0	0	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0	
(e)	Insurance Companies	1	55158	55158	0.21	0.21	0	0	
(f)	Foreign Institutional Investors	91	7651003	7651003	28.55	28.55	0	0	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0	
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0	
(i)	Others	0	0	0	0.00	0.00	0	0	
	<b>Sub-Total B(1) :</b>	<b>158</b>	<b>13383591</b>	<b>13383591</b>	<b>49.93</b>	<b>49.93</b>	<b>0</b>	<b>0</b>	



CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS	TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES			SHARES PLEDGE OR OTHERWISE ENCUMBERED				
					(II)	(IV)	(V)	AS a PERCENTAGE of (A+B)	As a PERCENTAGE of (A+B+C)	(VII)	NUMBER OF SHARES	AS a PERCENTAGE AS a PERCENTAGE (IX)=[(VII)/(IV)]*100
(1)	(ii) NON-INSTITUTIONS											
(2)	Bodies Corporate	710	1795765	1795765			6.70	6.70			0	0
(a)	Individuals											
(b)	(i) Individuals holding nominal share capital upto Rs.1 lakh	26837	2310701	2310678			8.62	8.62			0	0
	(ii) Individuals holding nominal share capital in excess of Rs.1 lakh	0	0	0			0.00	0.00			0	0
(c)	Qualified Foreign Investor	0	0	0			0.00	0.00			0	0
(d)	Others											
	TRUSTS	7	19824	19824			0.07	0.07			0	0
	NON RESIDENT INDIANS	518	114937	114937			0.43	0.43			0	0
	FOREIGN BODIES	3	945075	945075			3.53	3.53			0	0
	CLEARING MEMBERS	295	155543	155543			0.58	0.58			0	0
	DIRECTORS	1	92734	92734			0.35	0.35			0	0
	HUF	1444	97501	97501			0.36	0.36			0	0
	FOREIGN NATIONALS	0	0	0			0.00	0.00			0	0
	Sub-Total B(2) :	29815	5532080	5532057			20.64	20.64			0	0
	Total B=B(1)+B(2) :	29973	18915671	18915648			70.57	70.57			0	0
	Total (A+B) :	29977	26802373	26802350			100.00	100.00			0	0
(C)	Shares held by custodians, against which Depository Receipts have been issued											
(1)	Promoter and Promoter Group	0	0	0			0.00	0.00			0	0
(2)	Public											
	GRAND TOTAL (A+B+C) :	29977	26802373	26802350			100.00	100.00			0	0.00



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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"											
Sr.No	Name of the Shareholder	Details of Shares held		Encumbered shares (*)		Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		No. of Shares held (III)	As a % of grand total (A)+(B)+(C) (IV)	Pledge Shares (V)	AS a percentage (VI)=(V)/(III)*100 (VII)	AS a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VIII)	Number of warrants held (IX)	As a % total number of warrants of the same class (X)	Number of convertible securities held (XI)		As a % total number of convertible securities of the same class (XII)
1	RAJIV MITTAL	4854703	18.11	0	0.00	0	0.00	0	0.00	0	0.00
2	AMIT SENGUPTA	1138941	4.25	0	0.00	0	0.00	0	0.00	0	0.00
3	VARADARAJAN S	1092881	4.08	0	0.00	0	0.00	0	0.00	0	0.00
4	SHIV NARAYAN SARAF	800177	2.99	0	0.00	0	0.00	0	0.00	0	0.00
	<b>TOTAL :</b>	<b>7866702</b>	<b>29.43</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>



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(f)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares						
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grant total (A)+(B)+(C) indicated in Statement at para (f)(a) above)	Details of warrants		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	
				Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	IDFC PREMIER EQUITY FUND	1940000	7.24	0	0.00	0.00
2	SBI MAGNUM TAXGAIN SCHEME	1264000	4.72	0	0.00	0.00
3	HSBC BANK (MAURITIUS) LIMITED A/C-SUMITOMO CORPORATION	1228460	4.58	0	0.00	0.00
4	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD	965649	3.60	0	0.00	0.00
5	EMERGING MARKETS GROWTH FUND, INC.	881192	3.29	0	0.00	0.00
6	PARVEST EQUITY INDIA	850449	3.17	0	0.00	0.00
7	GOVERNMENT PENSION FUND GLOBAL	585367	2.18	0	0.00	0.00
8	SATTVA INDIA OPPORTUNITIES COMPANY LIMITED	474855	1.77	0	0.00	0.00
9	GOVERNMENT OF SINGAPORE	456112	1.70	0	0.00	0.00
10	CAPITAL INTERNATIONAL EMERGING MARKETS FUND	433845	1.62	0	0.00	0.00
11	AMUNDI FUNDS A/C AMUNDI FUNDS - EQUITY INDIA	300000	1.12	0	0.00	0.00
12	TATA AIA LIFE INSURANCE CO LTD-WHOLE LIFE MID CAP EQUITY FUND-ULIF	280000	1.04	0	0.00	0.00
<b>TOTAL :</b>				<b>9660029</b>	<b>0</b>	<b>0.00</b>



(D)(C)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company						
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with shares held	Number of shares held	Shares as a percentage of total number of shares (i.e., Grant total (A)+(B)+(C) indicated in Statement at para (f)(a) above)	Details of warrants		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	
1	IDFC PREMIER EQUITY FUND	1940000	7.24	0	0.00	0.00
<b>TOTAL :</b>		<b>1940000</b>	<b>7.24</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>



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**STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES"**

(1)(d)				
SLNO	NAME OF THE SHAREHOLDER	CAT. CODE	NO OF SHARES	PERCENTAGE
<b>TOTAL :</b>			<b>0</b>	<b>0.00</b>



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STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)			
(II)(a)			
Sr.No.	Type of outstanding DR (ADRs,GDRs,SDRs,etc.)	Number of outstanding DRS	Number of shares underlying outstanding DRS
		0	0
TOTAL :		0	0
			Shares underlying outstanding DRS as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at Para(I)(a) above}



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(II)(b)	Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "Promoter/Promoter group" are in excess of 1% of the total number shares.			
	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
Sr.No.				
	TOTAL :		0	0



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