

(1)(a) Statement showing Shareholding Pattern

Name of the Company : The Ugar Sugar Works Limited

Scrp Code, Name of the scrip, class of security : UGARSSUGAR

As on Date : 30-Sep-2014

	No. of partly paid-up shares	As a % of total no. of partly paid-up Shares	As a % of total no. of shares of the company
Partly paid-up shares			
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	112500000		

FOR THE UGAR SUGAR WORKS LTD.

G. Kulkarni

General Manager Corporate Affairs & CR



(1)(a) Statement showing Shareholding Pattern

Sr. no.	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	As a percentage (IX) = (VIII) / (IV) * 100
					As a percentage of (A+B) ¹ (VI)	As a percentage of (A+B+C) (VII)		
(1)	(II)	(III)	(IV)	(V)				
(A)	Promoter and Promoter Group ²							
(1)	Indian							
(a)	Individuals/Hindu Undivided Family	51	15420636	14844011	13.71	13.71	0	0.00
(b)	Central Government/State Government(s)	0	0	0	0	0	0	0
(c)	Bodies Corporate	14	36844393	36829385	32.75	32.75	0	0.00
(d)	Financial Institutions/Banks	0	0	0	0	0	0	0
(e)	Any Other (Total)	0	0	0	0.00	0.00	0	0
	Sub-Total (A)(1)	65	52265029	51673396	46.46	46.46	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0	0
(b)	Bodies Corporate	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0



(d)	Qualified Foreign Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Total)	0	0	0	0	0	0.00	0	0.00	0	0	0	0	0.00	0	0	0	0	0.00
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00
	Total Shareholding of Promoter and Promoter (Group (A)=(A)(1)+(A)(2))	65	52265029	51673396	46.46	46.46	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0.00
(B)	Public shareholding ³																		
(1)	Institutions																		
(a)	Mutual Funds/UTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Financial Institutions/Banks	1	2880	0	0.00	0.00	0	0	0.00	0	0	0	0	0	0	0	0	0	0
(c)	Central Government/State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f)	Foreign Institutional Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i)	Any Other (Total)	0	0	0	0	0	0.00	0	0.00	0	0	0	0	0.00	0	0	0	0	0.00
	Sub-Total (B)(1)	1	2880	0	0.00	0.00	0	0	0.00	0	0	0	0	0.00	0	0	0	0	0.00
(2)	Non-institutions																		
(a)	Bodies Corporate	289	9396817	9356449	8.35	8.35	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Individuals - i. Individual Shareholders Holding	23420	48046775	25516218	42.71	42.71	0	0	0	0	0	0	0	0	0	0	0	0	0



For definitions of "Public Shareholding", refer to Clause 40A.

(D)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	PAN of the Shareholder	Total Shares held		Shares pledged or otherwise encumbered	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XIII)	
			Number (IV)	as a % of grand total (A) + (B) + (C) (V)		Number (VI)	as a percentage (VII) = (VI) / (IV)*100	Number of warrants held (XI)	As a % total number of warrants of the same class (X)		Number of convertible securities held (XI)
1	Rajendra V. Shirgaokar	AGNMPSS9567L	116000	0.10	0	0.00	0	0.00	0	0.00	0.00
2	Prafulla V Shirgaokar	AGMPPSS9566M	768016	0.68	0	0.00	0	0.00	0	0.00	0.00
3	Shishir S	AGNMPSS9565J	349255	0.31	0	0.00	0	0.00	0	0.00	0.00



17	Shradha D Shirgaokar	ADW/PS10691E	159480	0.14	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
18	Rishabh Samat Shirgaokar		34203	0.03	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
19	Kunda K Shirgaokar		616544	0.55	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
20	Samat Shirgaokar	ACC/GPS2748R	73608	0.07	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
21	Tarini S Shirgaokar		1792266	1.59	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
22	Puja H. Pusalkar		18000	0.02	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
23	Jeevan V Shirgaokar		134311	0.12	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
24	Anuja J Shirgaokar		68200	0.06	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
25	Avinash V Shirgaokar		181840	0.16	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
26	Aniket J Shirgaokar		15700	0.01	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
27	Chandan S Shirgaokar	ADG/PS7606B	495104	0.44	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
28	Sohan S Shirgaokar		197690	0.18	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
29	Rekha R Khedekar		17264	0.02	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
30	Deepchand B Shah	BOH/PS8633B	35000	0.03	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
31	Mallapa R Desai	ACC/ATD1981E	69608	0.06	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00
32	Shrikrishna N	AAA/PI1052J	18750	0.02	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00



	(HEFT)																		
49	SANDHYA ASHOK KUMAR GUPTA		132480	0.12	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
50	RAKESH KAPOOR		5000	0.00	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
51	DHEEPAK GHAIASAS		5000	0.00	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
52	SHANTARAM MACHINERIES PVT LTD	AAACCS6178A	888760	0.79	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
53	TARA TILES PVT LTD	AAACT6628E	1011200	0.90	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
54	SANGLI FABRICATORS PVT LTD	AAIDCS5193A	12608	0.01	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
55	UGAR PIPE IND PVT LTD		12608	0.01	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
56	VINAYAK SHIRGAOKAR INV PVT LTD	AAACV8500B	3192694	2.84	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
57	SURESH SHIRGAOKAR INV PVT LTD	AAIDCS9487P	1466920	1.30	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
58	D.M. SHIRGAOKAR INV PVT LTD	AAACCD8653K	2153280	1.91	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	
59	MOHAN	AAABC6745J	3446095	3.06	0	0.00	0.00	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	



Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of shares	Shares as a percentage of total number of shares f.i.e., Grand Total (A)+(B)+(C)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and	of the same class	Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	of the same class	securities of the same class	full conversion of warrants and convertible securities) as a % of diluted
					Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same						
1	SHREE RENUKA SUGARS LIMITED		AAIDCS1728B	5001000	4.45	0	0.00	0	0.00	0				0
2	UNIVERSAL CINE TRADES PVT LTD		AAACU0809J	1633000	1.45	0	0.00	0	0.00	0				0
	TOTAL			6634000	5.90	0	0.00	0	0.00	0				0

(1)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares



			indicated in Statement at para (I)(a) above}					class	convertible securities) as a % of diluted
1	NA	0	0.00	0	0.00	0	0.00		0.00
	TOTAL	0	0.00	0	0.00	0	0.00		0.00

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil		0	0.00
	TOTAL		0	0.00

(I)(e) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	TOTAL	0	0	0.00



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
		TOTAL	0	0.00

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Sr. no.	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(A)	Promoter and Promoter Group ²						
(I)	Indian						
(a)	Individuals/Hindu Undivided Family	0	0	0	0	0.00	0.00
(b)	Central Government/State Government(s)	0	0	0	0	0.00	0.00
(c)	Bodies Corporate	0	0	0	0	0.00	0.00
(d)	Financial Institutions/Banks	0	0	0	0	0.00	0.00
(e)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (A)(I)	0	0	0	0	0.00	0.00



	Shareholders Holding Nominal Share Capital Up To >Rs. 1 Lakh.								
	Individuals - ii. Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 1 Lakh	0	0	0	0	0	0.00	0.00	0.00
(c)	Qualified Foreign Investor	0	0	0	0	0	0.00	0.00	0.00
(d)	Any Other (Total)	0	0	0	0	0	0.00	0.00	0.00
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0.00	0.00
	Total Public Shareholding (B) = (B)(1)+(B)(2)	0	0	0	0	0	0.00	0.00	0.00
	TOTAL (A)+(B)	0	0	0	0	0	0.00	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0	0.00	0.00	0.00
C1	Promoter and Promoter Group	0	0	0	0	0	0	0	0
C2	Public	0	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	0	0	0	0	0	0.00	0.00	0.00

FOR THE UGAR SUGAR WORKS LTD.

B. G. Kulkarni
B. G. Kulkarni

General Manager, Corporate Affairs & CR

