

(D)(a) Statement showing Shareholding Pattern

Name of the Company : The Ugar Sugar Works Limited
Scrip Code, Name of the scrip, class of security : UGARSUGAR
As on Date : 30-Jun-2014

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up Shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	112500000		

(D)(a) Statement showing Shareholding Pattern

Sr. no.	Category of shareholder (II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹ (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII) / (IV) * 100
(A)	Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/Hindu Undivided Family	52	15512916	14656330	13.79	13.79	0	0
(b)	Central Government/State Government(s)	0	0	0	0	0	0	0
(c)	Bodies Corporate	14	36844393	36829385	32.75	32.75	0	0
(d)	Financial Institutions/Banks	0	0	0	0	0	0	0
(e)	Any Other (Total)	0	0	0	0.00	0.00	0	0
	Sub-Total (A)(1)	66	52357309	51485715	46.54	46.54	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0	0
(b)	Bodies Corporate	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0

³For definitions of "Public Shareholding", refer to Clause 40A.

(D)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	PAN of the Shareholder	Total Shares held		Shares pledged or otherwise encumbered		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XIII)
			Number (IV)	as a % of grand total (A) + (B) + (C) (V)	Number (VI)	as a percentage (VII) = (VI) / (IV)*100	Number of warrants held (XI)	As a % total number of warrants of the same class (X)	Number of convertible securities held (XI)	As a % total number of convertible securities of the same class (XII)	
1	Rajendra V. Shirgaokar	AGMPS9567L	121000	0.11	0	0.00	0	0.00	0	0.00	0.00
2	Prafulla V Shirgaokar	AGMPS9566M	768016	0.68	0	0.00	0	0.00	0	0.00	0.00
3	Prafulla V		55913	0.05	0	0.00	0	0.00	0	0.00	0.00

50	Sukhada A Shirgaokar		9449	0.01	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
51	KUMAR PRABHAKAR SHIRGAOKAR (HUF)		55913	0.05	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
52	SANDHYA ASHOK KUMAR GUPTA		132480	0.12	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
53	SHANTARAM MACHINERIES PVT LTD	AACCS6178A	888760	0.79	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
54	TARA TILES PVT LTD	AAACT6628E	1011200	0.90	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
55	B.B. THEATRES PVT LTD		2400	0.00	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
56	SANGLI FABRICATORS PVT LTD	AADCS5193A	12608	0.01	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
57	UGAR PIPE IND PVT LTD		12608	0.01	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
58	VINAYAK SHIRGAOKAR INV PVT LTD	AAACV8500B	3192694	2.84	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00
59	SURESH SHIRGAOKAR INV PVT LTD	AADCS9487P	1466920	1.30	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00

60	D.M. SHIRGAOKAR INV PVT LTD	AAACD8653K	2150880	1.91	0	0.00	0.00	0.00	0	0.00	0	0.00	0.00	0.00
61	MOHAN SHIRGAOKAR INV PVT LTD	AABCM6745J	3446095	3.06	0	0.00	0.00	0.00	0	0.00	0	0.00	0.00	0.00
62	PRAFULLA SHIRGAOKAR INV PVT LTD	AABCP4769K	1833836	1.63	0	0.00	0.00	0.00	0	0.00	0	0.00	0.00	0.00
63	SHISHIR SHIRGAOKAR INV PVT LTD	AADCS9486N	2025662	1.80	0	0.00	0.00	0.00	0	0.00	0	0.00	0.00	0.00
64	SANJIV SHIRGAOKAR INV PVT LTD	AADCS5192B	1752950	1.56	0	0.00	0.00	0.00	0	0.00	0	0.00	0.00	0.00
65	PRABHAKAR SHIRGAOKAR INV PVT LTD	AABCP6512E	3351121	2.98	0	0.00	0.00	0.00	0	0.00	0	0.00	0.00	0.00
66	S. B. RESHELLERS PVT LTD	AAACT9142M	15696659	13.95	0	0.00	0.00	0.00	0	0.00	0	0.00	0.00	0.00
	TOTAL		52357309	46.54	0	0.00	0.00	0.00	0	0.00	0	0.00	0.00	0.00

D)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	PAN of the Shareholder of	Number of	Shares as a percentage of	Details of warrants	Details of convertible securities	Total shares (including
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	shares	total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Number of warrants held	As a % total number of warrants the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
1	Not applicable	0	0	0.00	0	0.00	0
	TOTAL	0	0	0.00	0	0.00	0

D(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares

Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a)}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities)
					Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	

				above}					securities) as a % of diluted
1	SHREE RENUKA SUGARS LIMITED	AADCS1728B	5001000	4.45	0	0.00	0	0.00	0.00
2	UNIVERSAL CINE TRADES PVT LTD	AAACU0809J	1633000	1.45	0	0.00	0	0.00	0.00
	TOTAL		6634000	5.90	0	0.00	0	0.00	0.00

(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1	Nil		0	0.00
	TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs,	Number of outstanding DRs	Number of shares underlying DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand
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SDRs, etc.)	outstanding DRs	Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
TOTAL	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
		TOTAL	0	0.00

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Sr. no.	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(A)	Promoter and Promoter Group ²						
(1)	Indian						
(a)	Individuals/Hindu Undivided Family	0	0	0	0	0.00	0.00
(b)	Central Government/State Government(s)	0	0	0	0	0.00	0.00

(c)	Bodies Corporate	0	0	0	0	0	0	0	0.00	0.00
(d)	Financial Institutions/Banks	0	0	0	0	0	0	0	0.00	0.00
(e)	Any Other (Total)	0	0	0	0	0	0	0	0.00	0.00
	Sub-Total (A)(1)	0	0	0	0	0	0	0	0.00	0.00
(2)	Foreign									
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0	0	0.00	0.00
(b)	Bodies Corporate	0	0	0	0	0	0	0	0.00	0.00
(c)	Institutions	0	0	0	0	0	0	0	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0	0	0	0	0.00	0.00
(e)	Any Other (Total)	0	0	0	0	0	0	0	0.00	0.00
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0.00	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0	0	0	0	0.00	0.00
(B)	Public shareholding									
(1)	Institutions									
(a)	Mutual Funds/UTI	0	0	0	0	0	0	0	0.00	0.00
(b)	Financial Institutions/Banks	0	0	0	0	0	0	0	0.00	0.00
(c)	Central Government/State Government(s)	0	0	0	0	0	0	0	0.00	0.00
(d)	Venture Capital Funds	0	0	0	0	0	0	0	0.00	0.00
(e)	Insurance Companies	0	0	0	0	0	0	0	0.00	0.00
(f)	Foreign Institutional Investors	0	0	0	0	0	0	0	0.00	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0.00	0.00
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0	0.00	0.00
(h)	Any Other (Total)	0	0	0	0	0	0	0	0.00	0.00

