

# UPL LIMITED

SHAREHOLDING PATTERN AS ON 31.03.2015

**(I) (a) Statement showing Shareholding Pattern**

BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		Quarter Ended : 31.03.2015		
NSE SYMBOL : UPL								
Category Code	<b>Partly paid up shares</b>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<b>Outstanding Convertible Securities</b>			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<b>Warrants</b>			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
Category Code	<b>Total conversion of warrants and convertible securities</b>							
	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares As a percentage of (A+B)1 (A+B+C)		Shares pledged or otherwise encumbered Number of Shares As a %	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) (IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
(1)	<b>Indian</b>							
(a)	Individuals/H.U.F	10	3758181	3758181	0.88	0.88	0	0.00
(b)	Central/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	123970160	123970160	28.94	28.92	10221531	8.25
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	<b>12</b>	<b>127728341</b>	<b>127728341</b>	<b>29.82</b>	<b>29.80</b>	<b>10221531</b>	<b>8.00</b>
(2)	<b>Foreign</b>							
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub-Total (A)(2)							
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	<b>12</b>	<b>127728341</b>	<b>127728341</b>	<b>29.82</b>	<b>29.80</b>	<b>10221531</b>	<b>8.00</b>



Name of the Company : UPL Limited

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BSE SCRIP CODE : 512070

Name of Scrip : UPL Ltd

Class of Security : Equity

Quarter Ended : 31.03.2015

NSE SYMBOL : UPL

Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(V)*100
(B)	Public Shareholding3							
(1)	Institutions							
(a)	Mutual Fund/UTI	94	39402793	39402793	9.20	9.19		
(b)	Financial Institutions/Banks	12	184753	184753	0.04	0.04		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds							
(e)	Insurance Companies	7	3639395	3639395	0.85	0.85		
(f)	Foreign Institutional Investors	347	198396550	198355050	46.32	46.29		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
	Sub-Total (B)(1)	460	241623491	241581991	56.41	56.37	N. A.	N. A.
(2)	Non Institutions							
(a)	Bodies Corporate	1030	22547905	22457845	5.26	5.26		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	47113	25554374	20658389	5.97	5.96		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	38	5263701	5051701	1.23	1.23		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
	Overseas Corporate Bodies	4	6740	0	0.00	0.00		
	Non Resident Individuals	2101	5449734	3106489	1.27	1.27		
	Foreign Bodies	3	122868	122868	0.03	0.03		
	Sub-Total (B)(2)	50289	58945322	51397292	13.76	13.75	N. A.	N. A.
	Total Public shareholding (B)=(B)(1)+(B)(2)	50749	300568813	292979283	70.18	70.13		
	TOTAL (A)+(B)	50761	428297154	420707624	100.00	99.93	10221531	2.39
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	307120	299120	0.07	0.07	N. A.	N. A.
	GRAND TOTAL (A)+(B)+(C)	50764	428604274	421006744		100.00	10221531	2.38



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(l) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of shares held			Encumbered shares (*)			Details of Warrants			Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (1) (a)	No. of warrants held	As a % of total No. of warrants of the same class	No. of Convertible securities held	As a % of total No. of convertible securities of the same class			
											(III)	(IV)	
1	NERKA CHEMICALS PVT LTD	9863100	23.01	8221531	8.34	1.92	0	0	0	0	0	0	23.01
2	UNIPOS ENTERPRISES LIMITED	25337060	5.91	2000000	7.89	0.47	0	0	0	0	0	0	5.91
3	SHILPA R SHROFF	1445061	0.34										0.34
4	SHILPA SHROFF	1437780	0.34										0.34
5	JYOTSNA J BHATT	448750	0.10										0.10
6	JYOTINDRA MANSANKAR BHATT	393580	0.09										0.09
7	VARUN JAIDEV SHROFF	14000	0.00										0.00
8	TANIA JAIDEV SHROFF	6000	0.00										0.00
9	PRADIP N SAGAR	5930	0.00										0.00
10	SHAILA SHASHIKUMAR SHROFF	4080	0.00										0.00
11	MEKHALA VIKRAM SHROFF	2000	0.00										0.00
12	SHAILA SHASHIKUMAR SHROFF	1000	0.00										0.00
	<b>Total</b>	<b>127728341</b>	<b>29.80</b>	<b>10221531</b>	<b>8.00</b>	<b>2.38</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>29.80</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.



(f) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above)	Details of warrants held		As a % total No. of warrants of same class	Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	No. of convertible securities held		No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	GOVERNMENT PENSION FUND GLOBAL	10826131	2.53						2.53
2	SKAGEN KON-TIKI VERDIPAPIRFOND	10294488	2.40						2.40
3	HDFC STANDARD LIFE INSURANCE CO LTD	7119004	1.66						1.66
4	INVESCO PERPETUAL GLOBAL EQUITY FUND	6328487	1.48						1.48
5	INVESCO ASIAN EQUITY FUND	6306691	1.47						1.47
6	RELJANCE CAPITAL TRUSTEE CO LTD	5558873	1.30						1.30
7	CITIBANK INTERNATIONAL PLC AS DEPOSITORY	5550955	1.30						1.30
8	MORGAN STANLEY ASIA (SINGAPORE) PTE	5169103	1.21						1.21
9	ICICI PRUDENTIAL LIFE INSURANCE CO LTD	5061127	1.18						1.18
10	MACQUARIE FUND SOLUTIONS	4995082	1.17						1.17
11	DIMENSIONAL EMERGING MARKETS VALUE FUND	4559964	1.06						1.06
	<b>TOTAL</b>	<b>71769905</b>	<b>16.75</b>						<b>16.75</b>



(f) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above)	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	NIL							

(f) (d) Statement showing details of locked-in shares

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (1)(a) above)
	NIL			



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### (II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				Quarter ended : 31.03.2015
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
1	GDR	30712	307120	0.07

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### (II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				Quarter ended : 31.03.2015
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	outstanding DRs as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
			N I L	

