

UPL LIMITED
SHAREHOLDING PATTERN AS ON 31.03.2014

(I) (a) Statement showing Shareholding Pattern

BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		As on : 31.03.2014		
NSE SYMBOL : UPL								
Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
	Outstanding Convertible Securities			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
	Warrants			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
Category Code	Total conversion of warrants and convertible securities							
	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares As a percentage of (A+B)1 (A+B+C)		Shares pledged or otherwise encumbered Number of Shares As a %	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals/H.U.F	10	3782619	3782619	0.88	0.88	0	0.00
(b)	Central/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	123970160	123970160	28.95	28.92	14587000	11.77
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	12	127752779	127752779	29.83	29.81	14587000	11.42
(2)	Foreign							
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub-Total (A)(2)							
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	12	127752779	127752779	29.83	29.81	14587000	11.42



BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		As on : 31.03.2014		
NSE SYMBOL : UPL								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(B) Public Shareholding³								
(1) Institutions								
(a)	Mutual Fund/UTI	41	25538424	25538424	5.96	5.96		
(b)	Financial Institutions/Banks	14	322233	322233	0.08	0.08		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds							
(e)	Insurance Companies	9	3277094	3277094	0.77	0.76		
(f)	Foreign Institutional Investors	286	200467770	200426270	46.81	46.77		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
	Sub-Total (B)(1)	350	229605521	229564021	53.62	53.67	N . A.	N . A.
(2) Non Institutions								
(a)	Bodies Corporate	1256	29525639	29394544	6.89	6.89		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	56874	29367390	24138870	6.86	6.85		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	42	5892421	5628141	1.38	1.37		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
	Overseas Corporate Bodies	4	6740	0	0.00	0.00		
	Non Resident Individuals	2200	5960196	3465101	1.39	1.39		
	Foreign Bodies	3	122868	122868	0.03	0.03		
	Sub-Total (B)(2)	60379	70875254	62749524	16.55	16.54	N . A.	N . A.
	Total Public shareholding (B)=(B)(1)+(B)(2)	60729	300480775	292313545	70.17	70.11		
	TOTAL (A)+(B)	60741	428233554	420066324	100.00	99.91	14587000	3.41
(C) Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	370720	362720	0.09	0.09	N . A.	N . A.
	GRAND TOTAL (A)+(B)+(C)	60744	428604274	420429044	100.00	100.00	14587000	3.40



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Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		No. of shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (1) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class		
												(III)
(1)	(II)											
1	NERKA CHEMICALS PVT LTD	98633100	23.01	12565000	12.74	2.93	0	0	0	0	23.01	
2	UNIPHOS ENTERPRISES LIMITED	25337060	5.91	2022000	7.98	0.47	0	0	0	0	5.91	
3	SHILPA R SHROFF	1469493	0.34								0.34	
4	SHILPA SHROFF	1437780	0.34								0.34	
5	JYOTSNA J BHATT	448750	0.10								0.10	
6	JYOTINDRA MANSHANKAR BHATT	393580	0.09								0.09	
7	VARUN JAIDEV SHROFF	14000	0.00								0.00	
8	TANIA JAIDEV SHROFF	6000	0.00								0.00	
9	PRADIP N SAGAR	5930	0.00								0.00	
10	SHAILA SHASHIKUMAR SHROFF	4080	0.00								0.00	
11	MEKHALA VIKRAM SHROFF	2000	0.00								0.00	
12	SHAILA SHASHIKUMAR SHROFF	1000	0.00								0.00	
	Total	127752779	29.81	14587000	11.42	3.40	0	0	0	0	29.81	

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.



(f) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above)	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	GOLDMAN SACHS (SINGAPORE) PTE	16048933	3.74					3.74
2	HDFC STANDARD LIFE INSURANCE CO LTD	10166303	2.37					2.37
3	SKAGEN KON-TIKI VERDIPAPIRFOND	7231488	1.69					1.69
4	ICICI PRUDENTIAL LIFE INSURANCE CO LTD	7113142	1.66					1.66
5	MORGAN STANLEY ASIA (SINGAPORE) PTE	7109913	1.66					1.66
6	INVESCO PERPETUAL GLOBAL EQUITY FUND	7061909	1.65					1.65
7	INVESCO PERPETUAL TRUSTEE CO LTD	5842674	1.36					1.36
8	RELIANCE CAPITAL TRUSTEE CO LTD	5829076	1.36					1.36
9	CITIBANK INTERNATIONAL PLC AS DEPOSITORY	4934054	1.15					1.15
10	MACQUARIE FUND SOLUTIONS	4393200	1.03					1.03
11	LSV EMERGING MARKETS EQUITY FUND LP	4333759	1.01					1.01
12	BRANDES INSTITUTIONAL EQUITY TRUST	4268343	1.00					1.00
	12 DIMENSIONAL EMERGING MARKETS VALUE FUND							
	TOTAL	84332794	19.68					19.68



(i) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (1) (a) above)	Details of warrants		Details of Convertible Securities		Total shares (including underlying full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	NIL							

(i) (d) Statement showing details of locked-in shares

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (1)(a) above)
	NIL			



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(II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				As on : 31.03.2014
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	GDR	37072	370720	0.09

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(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				As on : 31.03.2014
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	outstanding DRs as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
			N I L	



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III (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

(Give description of voting rights for each class of security Class X, Class Y, Class Z)

Category code	Caegory of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a % of (A+B) (VII)	As a % of (A+B+C) (VIII)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals/Hindu Undivided Family						
(b)	Central/State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/Banks						
(e)	Any Other (specify)						
	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(2)	Foreign						
(a)	Individuals (Non Resident/Foreign)						
(b)	Bodies Corporate						
(c)	Instititins						
(d)	Any Other (specify)						
	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
	Total Shareholding of Ptomoter and Ptomoter Group (A)=(A)(1) + (A)(2)						
(B)	Public Shareholding						
(1)	Instititins						
(a)	Mutual Funds/UTI						
(b)	Financial Institutions/Banks						
(c)	Central/State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(2)	Non Instituons						
(a)	Bodies Corporate						
(b)	Individuals						
	i. Individual shareholders holding nominal share capital upto Rs. 1 lakh						
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.						
(c)	Any Other (specify)						
	Sub-Total (B) (2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
	TOTAL (A) + (B)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

