

CLAUSE 35 OF EQUITY LISTING AGREEMENT

TATA SPONGE IRON LIMITED

(1) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Scrip Code : 513010 Scrip Symbol : TATASPONGE Class of Security: Equity

Quarter End : 30-JUNE-2014

Sr. No. (I)	Name of the Shareholder (II)	Details of Shares Held		Encumbered Shares			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		Number of Shares held (III)	As a % of grand total (A)+(B)+(C) (IV)	No. (V)	As a percentage (VI)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) (VII)	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	
1	Tata Steel Limited	7,854,000	51.00	0	0.00	0.00	0	0.00	0	0.00	51.00
2	Kalimati Investment Company Limited	539,554	3.50	0	0.00	0.00	0	0.00	0	0.00	3.50
	<b>TOTAL</b>	<b>8,393,554</b>	<b>54.50</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>54.50</b>

(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the Shareholder	Number of Shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (1)(a) above)	Details of warrants		Details of Convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Acacia Partners, LP	342,000	2.22	0	0.00	0	0.00	2.22
2	Acacia Institutional Partners, LP	216,000	1.40	0	0.00	0	0.00	1.40
3	Dws Invest - Dws Invest Asian Small / Mid Cap	185,000	1.20	0	0.00	0	0.00	1.20
4	Acacia Conservation Fund LP	180,000	1.17	0	0.00	0	0.00	1.17
5	Acacia Banyan Partners	161,200	1.05	0	0.00	0	0.00	1.05
	<b>TOTAL</b>	<b>1,084,200</b>	<b>7.04</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>7.04</b>

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them.	Number of Shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (1)(a) above)	Details of warrants		Details of Convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1								N.A.
	<b>TOTAL</b>							



**(I) (d) Statement showing details of locked-in shares**

Sr. No.	Name of the Shareholder	Number of Locked-in Shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)	Promoters / Promoter Group/ Public
N.A.				
TOTAL				

**(II) (a) Statement showing details of Depository Receipts (DRs)**

Sr. No. etc.)	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)
N.A.				
TOTAL				

**(II) (b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter group' are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above)
N.A.				
TOTAL				



Name of the Company : TATA SPONGE IRON LIMITED

Quarter End : 30-JUNE-2014

Scrip Code : 513010

Scrip Symbol : TATASPONGE

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.

Category code (I)	Category of Shareholder (II)	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)
(A)	Shareholding of Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals / Hindu Undivided Family						
(b)	Central Government / State Governments(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions / Banks						
(e)	Any Other (Trust)						
	Sub-Total (A) (1)	0	0	0	0.00	0.00	0.00
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0.00
	Total Shareholding of Promoter and Promoter Group	0	0	0	0.00	0.00	0.00
(B)	Public Shareholding						
(1)	Institutions						
(a)	Mutual Funds / UTI						
(b)	Financial Institutions / Banks						
(c)	Central Government / State Governments(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B) (1)	0	0	0	0.00	0.00	0.00
(2)	Non-Institutions						
(a)	Bodies Corporate						
(b)	Individuals -						
	i Individual shareholders holding nominal share capital upto Rs. 1 lakh						
	ii Individual shareholders holding nominal share capital in excess of Rs. 1 lakh						
(c)	Any Other (specify)						
	i Trusts						
	ii Foreign Corporate Bodies						
	Sub-total (B) (2)	0	0	0	0.00	0.00	0.00
	Total Public Shareholding (B) = (B)(1)+(B)(2)	0	0	0	0.00	0.00	0.00
	TOTAL (A)+(B)	0	0	0	0.00	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)	0	0	0	0.00	0.00	0.00

Note

Only one class of Securities is issued by the Company, hence column (III) (a) is not applicable



CLAUSE 35 OF EQUITY LISTING AGREEMENT

Cl-35 report: (I) (a) Statement showing Shareholding Pattern

TATA SPONGE IRON LIMITED

Scrip Code : 513010 Scrip Symbol : TATASPONGE Class of Security: Equity

Quarter End :30-JUNE-2014

Partly paid-up shares:-		No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company				
Held by Promoter / Promoters Group		0	0	0				
Held by public		0	0	0				
Total		0	0	0				
Outstanding convertible securities:-		No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities				
Held by Promoter / Promoters Group		0	0	0				
Held by public		0	0	0				
Total		0	0	0				
Warrants:-		No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants				
Held by Promoter / Promoters Group		0	0	0				
Held by public		0	0	0				
Total		0	0	0				
Total paid-up capital of the company assuming full conversion of warrants and convertible securities								
0								
Category code (I)	Category of Shareholder (II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialised form (V)	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a Percentage (IX)=(VIII) / (IV)*100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	8,393,554	8,393,554	54.50	54.50	0	0.00
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any other (specify)							
	Sub-Total (A) (1)	2	8,393,554	8,393,554	54.50	54.50	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (A) (2)							
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	2	8,393,554	8,393,554	54.50	54.50	0	0.00
(B)	Public Shareholding							
(1)	Institutions						NA	NA
(a)	Mutual Funds / UTI	9	159,471	155,971	1.04	1.04		
(b)	Financial Institutions / Banks	13	11,075	5,325	0.07	0.07		
(c)	Central Government / State Governments(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	2	138,500	138,500	0.90	0.90		
(f)	Foreign Institutional Investors	29	1,906,462	1,906,462	12.38	12.38		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (Specify)							
	Sub-Total (B) (1)	53	2,215,508	2,206,258	14.39	14.39		
(2)	Non-Institutions							
(a)	Bodies Corporate	711	462,165	452,375	3.00	3.00		
(b)	Individuals							
(i)	Individual Shareholders holding nominal Share Capital upto Rs.1 Lakh	24,915	3,697,149	2,759,517	24.01	24.01		
(ii)	Individual Shareholders holding nominal Share Capital in excess of Rs.1 Lakh	26	630,424	630,424	4.09	4.09		
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (Specify)							
(f)	Trust	1	1,200	1,200	0.01	0.01		
	Sub-Total (B) (2)	25,853	4,790,938	3,843,516	31.11	31.11		
	Total Public Shareholding (B) = (B)(1)+(B)(2)	25,708	7,006,446	6,049,774	45.50	45.50		
	TOTAL (A)+(B)							
(C)	Shares held by Custodians against which DRs are issued (GDR)							
(1)	Promoter and Promoter Group	0	0	0	0.00	0.00		
(2)	Public	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	25,708	15,400,000	14,443,328	0.00	100.00	0	0.00

