

**SKS MICROFINANCE LIMITED**  
**SHAREHOLDING PATTERN 30th SEPTEMBER 2014**  
**(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE-35**

NAME OF THE COMPANY: SKS MICROFINANCE LIMITED		Name of the Scrip: SKS Microfinance Limited
SCRIP CODE: 1. BSE Code - 533228 2. NSE Code - SKSMICRO		
Class of Security: Equity Shares		
QUARTER ENDED: 30th September 2014		
<b>Partly paid-up shares</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>
Held by promoter/promoter group	0	0
Held by Public	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of shares of the Company assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	0
Held by Public	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>
<b>Warrants:</b>	<b>No. of warrants</b>	<b>As a % of total no. of shares of the Company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	0
Held by Public	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the Company, assuming full conversion of warrants and convertible securities</b>	<b>125997166</b>	<b>100.00</b>



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CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS (III)	TOTAL NUMBER OF SHARES (IV)	NO OF SHARES HELD IN DEMATERIALIZED FORM (V)	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES			SHARES PLEDGE OR OTHERWISE ENCUMBERED
					AS a PERCENTAGE of (A+B) (VI)	As a PERCENTAGE of (A+B+C) (VII)	AS a PERCENTAGE OF SHARES (VIII)	
							(IX)=(VIII)/(IV)*100	
(I)	<b>PROMOTER AND PROMOTER GROUP</b>							
(A)	Indian							
(1)	Individual /HUF	0	0	0	0.00	0.00	0.00	
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0.00	
(c)	Bodies Corporate	0	0	0	0.00	0.00	0.00	
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	0.00	
(e)	Others - Trusts	0	0	0	0.00	0.00	0.00	
	<b>Sub-Total A(1) :</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
(2)	<b>FOREIGN</b>							
(a)	Individuals (NRIs/Foreign Individuals)	0	0	0	0.00	0.00	0.00	
(b)	Bodies Corporate	2	9125231	9125231	7.24	7.24	0.00	
(c)	Institutions	0	0	0	0.00	0.00	0.00	
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0.00	
(e)	Others - FII Sub Account	1	2560292	2560292	2.03	2.03	0.00	
	<b>Sub-Total A(2) :</b>	<b>3</b>	<b>11685523</b>	<b>11685523</b>	<b>9.27</b>	<b>9.27</b>	<b>0.00</b>	
	<b>Total A=A(1)+A(2)</b>	<b>3</b>	<b>11685523</b>	<b>11685523</b>	<b>9.27</b>	<b>9.27</b>	<b>0.00</b>	
(B)	<b>PUBLIC SHAREHOLDING</b>							
(1)	<b>Institutions</b>							
(a)	Mutual Funds /UTI	34	17451683	17451683	13.85	13.85	-	
(b)	Financial Institutions /Banks	4	1765330	1765330	1.40	1.40	-	
(c)	Central Government / State Government(s)	0	0	0	0.00	0.00	-	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	
(e)	Insurance Companies	0	0	0	0.00	0.00	-	
(f)	Foreign Institutional Investors	91	56345764	56345764	44.72	44.72	-	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	
(i)	Others	0	0	0	0.00	0.00	-	
	<b>Sub-Total B(1) :</b>	<b>129</b>	<b>75562777</b>	<b>75562777</b>	<b>59.97</b>	<b>59.97</b>	<b>-</b>	
(2)	<b>NON-INSTITUTIONS</b>							
(a)	Bodies Corporate	987	5308176	5308176	4.21	4.21	-	
(b)	Individuals							
	(i) Individuals holding nominal share capital upto Rs 1 lakh*	49652	6959898	6826940	5.52	5.52	-	
	(ii) Individuals holding nominal share capital in excess of Rs. 1 lakh	94	4246690	3871463	3.37	3.37	-	
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	

Note: \* Includes 27,629 equity shares allotted on September 23, 2014 to employees under various ESOP Plans, which are yet to be listed.

Key



Key

CATEGORY CODE	CATEGORY OF SHAREHOLDER	NO OF SHAREHOLDERS		TOTAL NUMBER OF SHARES	NO OF SHARES HELD IN DEMATERIALIZED FORM	TOTAL SHAREHOLDING AS A % OF TOTAL NO OF SHARES		SHARES PLEDGE OR OTHERWISE ENCUMBERED	
		(iii)	(iv)			AS a PERCENTAGE of (A+B)	As a PERCENTAGE of (A+B+C)	NUMBER OF SHARES	AS a PERCENTAGE (X)=(VIII)/(IV)*100
(d)	Others								
	i. Trusts	6	5335454	5284083		4.23	4.23		
	ii. Foreign Bodies Corporate	4	11261824	11261824		8.94	8.94		
	iii. Resident Directors**	2	323566	4400		0.26	0.26		
	iv. Non Resident Director	1	8080	8080		0.01	0.01		
	v. Non Resident Indians	692	4816460	577594		3.82	3.82		
	vi. Clearing Members	315	488718	488718		0.39	0.39		
	<b>Sub-Total B(2) :</b>	<b>51753</b>	<b>38748866</b>	<b>33631278</b>		<b>30.75</b>	<b>30.75</b>		
	<b>Total B=B(1)+B(2) :</b>	<b>51882</b>	<b>114311643</b>	<b>109194055</b>		<b>90.73</b>	<b>90.73</b>		
	<b>Total (A+B) :</b>	<b>51885</b>	<b>125997166</b>	<b>120879578</b>		<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0</b>
(C)	Shares held by custodians, against which Depository Receipts have been issued								
(1)	Promoter and Promoter Group	0	0	0		0.00	0.00		
(2)	Public	0	0	0		0.00	0.00		
	<b>GRAND TOTAL (A+B+C) :</b>	<b>51885</b>	<b>125997166</b>	<b>120879578</b>		<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>

Note: \*\* Includes 25,000 equity shares allotted on September 23, 2014 to a Director under ESOP 2010, which are yet to be listed.



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(i) Sr.No	(ii) Name of the Shareholder	Details of Shares held				Details of Warrants				Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital.
		No. of Shares held (iii)	As a % of grand total (A)+(B)+(C) (iv)	Pledge Shares (V) (V)=(VI)/(iii)*100	AS a % of grand total (A) + (B) + (C) of sub-clause (i)(a) (vi)	Number of warrants held (viii)	As a % total number of warrants of the same class (ix)	Number of convertible securities held (x)	As a % total number of convertible securities of the same class. (xi)			
1	KISMET MICROFINANCE	954869	4.47	0	0.00	0	0.00	0	0.00	0	0.00	4.47
2	WESTRIDGE VENTURES I LLC (FORMERLY SEQUOIA CAPITAL INVESTMENT HOLDINGS)	9490422	2.77	0	0.00	0	0.00	0	0.00	0	0.00	2.77
3	KUMARON INVESTMENT HOLDINGS (FIJ) SUB ACCOUNT WITH HSBC BANK (MAURITIUS) LIMITED	2560262	2.03	0	0.00	0	0.00	0	0.00	0	0.00	2.03
	<b>TOTAL :</b>	<b>11665523</b>	<b>9.27</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>9.27</b>

*Ray*



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Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grant total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	MORGAN STANLEY ASIA (SINGAPORE) PTE	7187720	5.70	0	0.00	0	0.00	5.70
2	IDFC PREMIER EQUITY FUND	5990100	4.75	0	0.00	0	0.00	4.75
3	SANDSTONE INVESTMENT PARTNERS I	5638900	4.63	0	0.00	0	0.00	4.63
4	CROWAN CAPITAL LIMITED	5356528	4.25	0	0.00	0	0.00	4.25
5	AMANSA CAPITAL PTE LIMITED A/C AMANSA HOLDINGS PRIVATE LIMITED	4719557	3.75	0	0.00	0	0.00	3.75
6	WINOD KHOSLA	4238866	3.36	0	0.00	0	0.00	3.36
7	DRIEHALS EMERGING MARKETS GROWTH FUND, A SERIES OF DRIEHALS MUTUAL FUNDS	3904438	3.10	0	0.00	0	0.00	3.10
8	GOVERNMENT PENSION FUND GLOBAL	3740779	2.97	0	0.00	0	0.00	2.97
9	KISMET SKS II	3530000	2.80	0	0.00	0	0.00	2.80
10	ROUTE ONE INVESTMENT COMPANY, L.P. A/C ROUTE ONE FUND I, L.P.	2864857	2.27	0	0.00	0	0.00	2.27
11	QVT MAURITIUS WEST FUND	2766287	2.20	0	0.00	0	0.00	2.20
12	ROUTE ONE INVESTMENT COMPANY, L.P. A/C ROUTE ONE OFFSHORE MASTER FUND L.P.	2534955	2.01	0	0.00	0	0.00	2.01
13	DSP BLACKROCK EQUITY FUND	2381751	1.89	0	0.00	0	0.00	1.89
14	TREE LINE ASIA MASTER FUND (SINGAPORE) PTE LTD	2357076	1.87	0	0.00	0	0.00	1.87
15	AMUNDI FUNDS A/C AMUNDI FUNDS - EQUITY INDIA	2200000	1.75	0	0.00	0	0.00	1.75
16	DSP BLACKROCK SMALL AND MID CAP FUND	2136406	1.70	0	0.00	0	0.00	1.70
17	SMALL INDUSTRIES DEVELOPMENT BANK OF INDIA	1631961	1.30	0	0.00	0	0.00	1.30
18	TREE LINE ASIA MASTER FUND (SINGAPORE) PTE LTD	1492924	1.19	0	0.00	0	0.00	1.19
19	SKS TRUST ADVISORS PRIVATE LIMITED	1410055	1.12	0	0.00	0	0.00	1.12
20	SHIVANAND SHANKAR MANKEKAR	1310000	1.03	0	0.00	0	0.00	1.03
	<b>TOTAL :</b>	<b>67893180</b>	<b>53.64</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>53.64</b>



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Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares (i.e., Grant total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants			Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	
1	MORGAN STANLEY ASIA (SINGAPORE) PTE.	7187720	5.70	0	0.00	0	5.70
	<b>TOTAL :</b>	<b>7187720</b>	<b>5.70</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>5.70</b>

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STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES"			
(I)(d)			
Sr.No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a (%) percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) Indicated in Statement at para (I)(a) above}
			Promoter/Promoter Group/Public
		Nil	

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<b>STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRS)</b>			
(II)(a) Sr.No.	Type of outstanding DR (ADRs,GDRs,SDRs,etc.)	Number of outstanding DRs underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at Para(I)(a) above}
Nil			
<b>TOTAL :</b>		0	0

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(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "Promoter/Promoter group" are in excess of 1% of the total number shares.

Sr.No.	Name of the DR Holder	Type of outstanding DR (ADRs,GDRs,SD Rs,etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para(I)(a) above)
		Nil	0	0
<b>TOTAL :</b>			0	0

*Ray*

