

Regd. Off:

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New Link Road, Near Oshiwara Police
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Introductory sub-table (I)(a)

CIN: L65923MH1976PLC019072

Name of the Company: ROCKON FINTECH LIMITED			
Scrip Code, Name of the scrip, class of security: 531447			
Quarter ended: 30/09/2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	16517690	0	0

For ROCKON FINTECH LTD.

Tam Ag
Director / Authorized Signatory

Statement Showing Shareholding Pattern

Table (I)(a)

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group ²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	664586	664586	4.02	4.02	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)		0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	2	664586	664586	4.02	4.02	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
d-i		0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	2	664586	664586	4.02	4.02	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	1	100000	100000	0.61	0.61	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(h-i)		0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	1	100000	100000	0.61	0.61	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	74	3546963	3515563	21.47	21.47	0	0.00
(b)	Individuals							
i	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	3399	1942198	946533	11.76	11.76	0	0.00
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	36	10226126	9210382	61.91	61.91	0	0.00
(c)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(c-i)	NRI (Repeat & Non Repeat)	13	12649	3849	0.08	0.08	0	0.00
(c-ii)	Hindu Undivided Family (HUF)	31	25119	25119	0.15	0.15	0	0.00
(c-iii)	Clearing Member	2	49	49	0.00	0.00	0	0.00
	Sub-Total (B)(2)	3555	15753104	13701495	95.37	95.37	0	0.00
(B)	Total Public Shareholding (B) = (B)(1)+(B)(2)	3556	15853104	13801495	95.98	95.98	0	0.00
	TOTAL (A)+(B)	3558	16517690	14466081	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0.00
	Sub-Total (C)	0	0	0	0	0.00	0	0.00
	GRAND TOTAL (A)+(B)+(C)	3558	16517690	14466081	100.00	100.00	0	0.00

ROCKON FINTECH LTD.

Tanu Ag
Director / Authorised Signatory

Sr.No	Notes
1	
2	
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FOR ROCKON FINTECH LTD.

 Director / Authorised Signatory

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+ (C)	No.	As a percentage (V)=(V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Handful Investrade Pvt. Ltd	605686	3.67	0	0.00	0.00	0	0	0	0	3.67
2	Shree Nath Commercial and Finance Ltd	58900	0.36	0	0.00	0.00	0	0	0	0	0.36
TOTAL		664586	4.02	0	0.00	0.00	0	0	0	0	4.02

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

FOR ROCKON FINTECH LTD.

 Director / Authorised Signatory

(1)(c) (i) - Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CHANDRAKANT BABU MOHITE	1000744	6.06	0	0	0	0	6.06
2	SUNIL KUKREJA	800000	4.84	0	0	0	0	4.84
3	MOHAN KUKREJA	800000	4.84	0	0	0	0	4.84
4	SANDEEP SITARAM KHERADE	749509	4.54	0	0	0	0	4.54
5	SHWETA SHYAM PEDAMKAR	670117	4.06	0	0	0	0	4.06
6	SACHIN PANACHAND GALA	646238	3.91	0	0	0	0	3.91
7	MEENA ARVIND RAMBHIA	616500	3.73	0	0	0	0	3.73
8	SNOWBLUE STOCKIEST PRIVATE LIMITED	536914	3.25	0	0	0	0	3.25
9	PUSHPABEN PANACHAND GALA	499208	3.02	0	0	0	0	3.02
10	ELLISBRIDGE TRADING PRIVATE LIMITED	496910	3.01	0	0	0	0	3.01
11	BHAWARPURI B GOSWAMI	493317	2.99	0	0	0	0	2.99
12	PRASHANT SHASHIKANT SAWANT	415410	2.51	0	0	0	0	2.51
13	SHYAM LAXMAN PEDAMKAR	393160	2.38	0	0	0	0	2.38
14	NEETA UDAY JOSHI	378402	2.29	0	0	0	0	2.29
15	ROCKERS TRADELINK PRIVATE LIMITED	374088	2.26	0	0	0	0	2.26
16	KASHIRAM PUNDALIK KADAM	373192	2.26	0	0	0	0	2.26
17	RUPAK DEVELOPERS PVT LTD	344290	2.08	0	0	0	0	2.08
18	MANGESH MADHUKAR DHOTRE	333106	2.02	0	0	0	0	2.02
19	SURFACE FINANCE PVT. LTD.	302900	1.83	0	0	0	0	1.83
20	GUNVANTI VASANTILAL SAVLA	289251	1.75	0	0	0	0	1.75
21	NITYADHARA PLAZA PVT. LTD.	210000	1.27	0	0	0	0	1.27
22	SHREEHARI VINIMAY PRIVATE LIMITED	210000	1.27	0	0	0	0	1.27
23	ASTABHUJA CONSTRUCTION PVT. LTD.	200000	1.21	0	0	0	0	1.21
24	WAKIL RAJBHAR	200000	1.21	0	0	0	0	1.21
25	STARTREE DEALCOM PRIVATE LIMITED	193500	1.17	0	0	0	0	1.17
26	KETAN JASHWANTILAL SHAH	187850	1.14	0	0	0	0	1.14
27	SANDESH BABARAM MHABDI	183555	1.11	0	0	0	0	1.11
28	RAJESH TUKARAM DAMBRE	171801	1.04	0	0	0	0	1.04
TOTAL		12069962	73.07	0	0	0	0	73.07

FOR ROCKON FINTECH LTD

Director / Authorised Signatory

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CHANDRAKANT BABU MOHITE	1000744	6.06	0	0	0	0	6.06
TOTAL		1000744	6.06	0	0	0	0	6.06

FOR ROCKON FINTECH LTD.

Tanu Agarwal
Director / Authorised Signatory

Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above}
1	NIL	0	0.00
TOTAL		0	0.00

for ROCKON FINTECH LTD.

Director / Authorised Signatory

(11)(a)

TOTAL

FOR ROCKON ENTTECH LTD.

Director / Authorised Signatory

promoter group" are in excess of 1% of the total number of shares

1	Nil	0	0	0.00
2		0	0	0.00
3		0	0	0.00
4		0	0	0.00
5		0	0	0.00
6		0	0	0.00
7		0	0	0.00
8		0	0	0.00
9		0	0	0.00
		0	0	0.00

Director / Authorised Signatory