

## (I) (a) Statement showing Shareholding Pattern

Name of the Company: PLETHICO PHARMACEUTICALS LIMITED			
Scrip Code, Name of the scrip, class of security: Equity		BSE- 532739, NSE-PLETHICO; Equity	
Quarter ended		30th September, 2014	
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by Promoter / Promoter Group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total No. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by Promoter / Promoter Group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by Promoter / Promoter Group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Total paid-up capital of the company assuming full conversion of warrants and convertible securities			34066667.00



Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total shareholding as a percentage of total number of		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
<b>(A)</b>	<b>Promoter and Promoter Group</b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/Hindu Undivided Family	14	24416430.00	24416430.00	71.67	71.67	20937385.00	85.75
(b)	Central Government/State Government(s)	0	0.00	0.00	0.00	0.00	0.00	0.00
(c)	Bodies Corporate	0	0.00	0.00	0.00	0.00	0.00	0.00
(d)	Financial Institutions / Banks	0	0.00	0.00	0.00	0.00	0.00	0.00
(e)	Any Other (specify)	0	0.00	0.00	0.00	0.00	0.00	0.00
	<b>Sub Total (A)(1)</b>	<b>14</b>	<b>24416430.00</b>	<b>24416430.00</b>	<b>71.67</b>	<b>71.67</b>	<b>20937385.00</b>	<b>85.75</b>
<b>2</b>	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0.00	0.00	0.00	0.00	0.00	0.00
(b)	Bodies Corporate	0	0.00	0.00	0.00	0.00	0.00	0.00
(c)	Institutions	0	0.00	0.00	0.00	0.00	0.00	0.00
(d)	Qualified Foreign Investors	0	0.00	0.00	0.00	0.00	0.00	0.00
(e)	Any Other (specify)	0	0.00	0.00	0.00	0.00	0.00	0.00
	<b>Sub Total (A)(2)</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>14</b>	<b>24416430.00</b>	<b>24416430.00</b>	<b>71.67</b>	<b>71.67</b>	<b>20937385.00</b>	<b>85.75</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/UTI	0	0.00	0.00	0.00	0.00	0.00	0.00
(b)	Financial Institutions / Banks	6	4066631.00	4066631.00	11.94	11.94	0.00	0.00
(c)	Central Government/State Government(s)	0	0.00	0.00	0.00	0.00	0.00	0.00
(d)	Venture Capital Funds	0	0.00	0.00	0.00	0.00	0.00	0.00
(e)	Insurance Companies	0	0.00	0.00	0.00	0.00	0.00	0.00
(f)	Foreign Institute Investor	18	1624594.00	1624594.00	4.77	4.77	0.00	0.00
(g)	Foreign Banks	0	0.00	0.00	0.00	0.00	0.00	0.00
(h)	Qualified Foreign Investors	0	0.00	0.00	0.00	0.00	0.00	0.00
(i)	Any Other (specify)	0	0.00	0.00	0.00	0.00	0.00	0.00
	<b>Sub Total (B) (1)</b>	<b>24</b>	<b>5691225.00</b>	<b>5691225.00</b>	<b>16.71</b>	<b>16.71</b>	<b>0.00</b>	<b>0.00</b>
<b>2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	287	563984.00	563984.00	1.66	1.66	0.00	0.00
(b) (i)	Individuals - shareholders holding nominal share capital up to Rs 1 Lakh	13721	2732307.00	2732291.00	8.02	8.02	0.00	0.00
(b) (ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	19	382164.00	382164.00	1.12	1.12	0.00	0.00
(c)	Qualified Foreign Investors	0	0.00	0.00	0.00	0.00	0.00	0.00
(d)	<b>Any Other</b>							
i	Non Resident Indians (Repat)	118	92151.00	92151.00	0.27	0.27	0.00	0.00
ii	Non Resident Indians (Non Repat)	26	9505.00	9505.00	0.03	0.03	0.00	0.00
iii	Foreign Companies	0	0.00	0.00	0.00	0.00	0.00	0.00
iv	Clearing Member	175	178901.00	178901.00	0.53	0.53	0.00	0.00
v	Directors / Relatives	0	0.00	0.00	0.00	0.00	0.00	0.00
vi	Trusts	0	0.00	0.00	0.00	0.00	0.00	0.00
	<b>Sub Total (B)(2)</b>	<b>14346</b>	<b>3959012.00</b>	<b>3958996.00</b>	<b>11.62</b>	<b>11.62</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Public Shareholding Public Group (B)=(B)(1)+(B)(2)</b>	<b>14370</b>	<b>9650237.00</b>	<b>9650221.00</b>	<b>28.33</b>	<b>28.33</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total (A)+(B)</b>	<b>14384</b>	<b>34066667.00</b>	<b>34066651.00</b>	<b>100.00</b>	<b>100.00</b>	<b>20937385.00</b>	<b>61.46</b>
<b>(C)</b>	<b>Shares held by custodians and against which Depository Receipts have been issued</b>							
i	Promoter and Promoter group	0	0.00	0.00	0.00	0.00	0.00	0.00
ii	Public	0	0.00	0.00	0.00	0.00	0.00	0.00
	<b>Sub Total ( C )</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>14384</b>	<b>34066667.00</b>	<b>34066651.00</b>	<b>100.00</b>	<b>100.00</b>	<b>20937385.00</b>	<b>61.46</b>



## (I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Shashikant Patel (AFVPP0343K)	23,631,210.00	69.37	20187385.00	85.43	59.26	0	0.00	0	0.00	69.37
2	Chirag Patel (ADHPP4699Q)	784,000.00	2.30	750000.00	95.66	2.20	0	0.00	0	0.00	2.30
3	Aditya Vijay Patel (ARFPP4859E)	400.00	0.00	0.00	0.00	0.00	0	0.00	0	0.00	0.00
4	Gauravi Parikh (AKPPP7466E)	400.00	0.00	0.00	0.00	0.00	0	0.00	0	0.00	0.00
5	Ritu Patel (AAAPL1534C)	400.00	0.00	0.00	0.00	0.00	0	0.00	0	0.00	0.00
6	Jitendra Bhai Patel (ADMPP7130K)	20.00	0.00	0.00	0.00	0.00	0	0.00	0	0.00	0.00
TOTAL		24,416,430.00	71.67	20937385.00	85.75	61.46	0	0.00	0	0.00	71.67

## (I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a)]	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Hypnos Fund Limited (AACCH6785N)	999988.00	2.94	0	0.00	0	0.00	2.94
2	SICOM Ltd ( AAACS5524J)	2720499.00	7.99	0	0.00	0	0.00	7.99
3	United India Insurance Company Limited (AAACU5552C)	646321.00	1.90	0	0.00	0	0.00	1.90
4	General Insurance Corporation of India (AAACG0615N)	500000.00	1.47	0	0.00	0	0.00	1.47
TOTAL		4866808.00	14.29	0	0	0	0	14.29

## (I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a)]	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants)
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SICOM Ltd ( AAACS5524J)	2720499.00	7.99	0	0.00	0	0.00	7.99
TOTAL		2720499.00	7.99	0	0.00	0	0.00	7.99

## (I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category of shareholder (Promoters/Public)	Number of Locked in Shares	Locked-in shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
1	NIL	NIL	NIL	NIL
TOTAL		NIL	NIL	NIL

## (II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
1	NIL	NIL	NIL	NIL
TOTAL		NIL	NIL	NIL

## (II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above]
1	NIL	NIL	NIL	NIL
TOTAL		NIL	NIL	NIL

