

Introductory sub-table (I)(a)			
Name of the Company: PHILLIPS CARBON BLACK LIMITED			
Scrip Code, Name of the scrip, class of security: 506590 (Bse), PHILPCARB (Nse), 26125 (Cse) Equity Shares			
Quarter ended: 31-03-2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	2112	100	0.006
Total - D	2112	100	0.006
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total - E	NIL	NIL	NIL
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total - F	NIL	NIL	NIL
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	34467572		

100

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Statement Showing Shareholding Pattern

Table (I)(a)

Category code (I)	Category of shareholder(II)	Table (I)(a)		Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
		Number of shareholders (III)	Total number of shares (IV)		As a percentage of (A+B)	As a percentage of (A+B+C) (VII)	Number of shares	As a percentage (IX) = (VIII/IV)* 100
(A)	<u>Shareholding of Promoter and Promoter Group</u>							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	8	18,426,557	18,426,557	53.461	53.461	-	-
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(e)	Any Other (specify) Person acting in concern	-	-	-	-	-	-	-
	Sub-Total (A)(1)	8	18,426,557	18,426,557	53.461	53.461	-	-
2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investors	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	8	18,426,557	18,426,557	53.461	53.461	-	-
(B)	<u>Public shareholding</u>						NA	NA
1	Institutions						NA	NA
(a)	Mutual Funds/ UTI	3	519,641	518,039	1.508	1.508	-	-
(b)	Financial Institutions/ Banks	29	3,001	1,649	0.009	0.009	-	-
(c)	Central Government/ State Government(s)	1	467,900	467,900	1.358	1.358	-	-
(d)	Insurance Companies	-	-	-	-	-	-	-
(e)	FIs	12	3,400,131	3,400,131	9.865	9.865	-	-
(f)	Qualified Foreign Investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital	-	-	-	-	-	-	-
(h)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (B)(1)	45	4,390,673	4,387,719	12.739	12.739	-	-
2	Non-institutions						NA	NA
(a)	Bodies Corporate	505	3,498,952	3,490,876	10.151	10.151	-	-
(b)	Individuals						-	-
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	30,613	6,082,828	5,283,152	17.648	17.648	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	44	1,503,021	1,489,888	4.361	4.361	-	-
(c)	Any Other (Specify) 1.Directors	-	-	-	-	-	-	-
	2. Relatives	-	-	-	-	-	-	-
	3. Clearing Member	160	192,162	192,162	0.558	0.558	-	-
	4. Trust	-	-	-	-	-	-	-
	5. Office Bearers	-	-	-	-	-	-	-
	6. NRIs	695	309,709	244,013	0.899	0.899	-	-
	7. NRN	115	63,672	63,499	0.185	0.185	-	-
	8. Foreign National	-	-	-	-	-	-	-
	9. Foreign Company	-	-	-	-	-	-	-
	Sub-Total (B)(2)	32,132	11,650,342	10,763,390	33.801	33.801	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)	32,177	16,041,015	15,151,109	46.539	46.539	NA	NA
	TOTAL (A)+(B)	32,185	34,467,572	33,577,666	100.000	100.000	0.00	0.00
(C)	<u>Shares held by Custodians and against which Depository Receipts have been issued</u>						NA	NA
	1 Promoter & Promoter Group	-	-	-	-	-	-	-
	2 Public	-	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	32,185	34,467,572	33,577,666	100.000	100.000	0.00	0.00

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(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Category	Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)		No.	As a percentage	% of grand total (A)+(B)+(C) of sub-clause (1)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)		(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	UNIVERSAL INDUSTRIAL FUND LTD	5856762	16.992	Promoter Group								
2	ADORN INVESTMENTS LTD	4163749	12.080	Promoter Group								
3	UJALA AGENCY PRIVATE LIMITED	2736019	7.938	Promoter Group								
4	OFF SHORE INDIA LTD	2699655	7.832	Promoter Group								
5	ADAPT INVESTMENTS LTD	2558039	7.422	Promoter Group								
6	TRADE APARTMENTS LTD	321850	0.934	Promoter Group								
7	STEL HOLDINGS LIMITED	90383	0.262	Promoter Group								
8	SAREGAMA INDIA LIMITED	100	0.000	Promoter Group								
TOTAL		18426557	53.461		0	0.00	0.000					

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NATIONAL WESTMINSTER BANK PLC AS TRUSTEE PAN:AABTT4862E	1000000	2.901					
2	ACACIA PARTNERS, LP PAN:AALFA7272K	637500	1.850					
3	M.G. SHARES AND STOCKS PVT LTD PAN AAACM0834B	530000	1.538					
4	BNK CAPITAL MARKETS LD. MAYFAIR TOWER 2 PALM AVENUE KOLKATA - 700 019 PAN:AABC84140N	520000	1.509					
5	ACACIA INSTITUTIONAL PARTNERS, LP PAN:AALFA7287Q	501600	1.455					
6	KERALA STATE INDUSTRIAL DEVELOPMENT FUND PAN:AAACK9434D	467900	1.358					
7	ACACIA COSEVERATION FUND PAN:AACGA4642M	360000	1.044					
	TOTAL	4017000	11.654					

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(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1		0	0.00					
TOTAL		0		0		0		0

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(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	CATEGORY	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	OFF SHORE INDIA LTD	PROMOTER	450,000	1.306
2	ADORN INVESTMENTS LTD	PROMOTER	400,000	1.161
3	ADAPT INVESTMENTS LTD	PROMOTER	400,000	1.161
TOTAL			1,250,000	3.627

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(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N.A.			
2				
3				
TOTAL		0	0	

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(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N.A.			
2				
3				
TOTAL			0	

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