

Annexure - A [Page - 1/2]

CLAUSE 35 OF EQUITY LISTING AGREEMENT

(I) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company (Scrip) : **NICCO CORPORATION LIMITED**

Class of Security: **Equity**

Scrip Code : **590028**

As on: **30th September 2014**

(I)	(II)	(III)	(IV)
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoters group	0		
Held by public	0		
<i>Total :</i>	0	0	0.000
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible
Held by promoter/promoters group	0		
Held by public	0		
<i>Total :</i>	0	0	0.000
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoters group	0		
Held by public	0		
<i>Total :</i>	0	0	0.000
Total paid-up capital of the company assuming full conversion of warrants and convertible securities			

NICCO CORPORATION LIMITED


INDRANIL MITRA
G M & COMPANY SECRETARY

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(I) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company (Scrip) : NICCO CORPORATION LIMITED								
Scrip Code : 590028								
AS ON 30th September 2014								
Category Code	Category of Shareholder	No of Share holders	Total Number of Shares	No of Shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)I	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A) Share holding of Promoter and Promoter Group								
(1) INDIAN								
(a)	Indian Individuals/ Hindu Undivided Family	9	24722179	24722179	18.746	18.746	400626	0.879
(b)	Central Government/ State Government(s)				0.000	0.000		
(c)	Body Corporates	8	20846164	20846164	15.807	15.807	9365099	20.552
(d)	Financial Institutions / Banks				0.000	0.000		
(e)	Any Other (Specify)				0.000	0.000		
	<i>Sub Total (A) (1)</i>	17	45568343	45568343	34.554	34.554	9765725	21.431
(2) Foreign								
a	Individuals (Non Resident Individuals / Foreign Individuals)	0	0	0	0.000	0.000		
b	Bodies Corporate							
c	Institutions							
d	Any Other (Specify)							
	<i>Sub Total (A) (2)</i>							
Total Shareholding of Promoter and Promoter Group A= (A)(1) + (A)(2)		17	45568343	45568343	34.554	34.554	9765725	21.431
(B) Public Shareholding								
(1) Institutions								
a	Mutual Funds & UTI	11	12321	45	0.009	0.009	N.A	N.A
b	Financial Institutions / Banks	32	1282346	638791	0.972	0.972		
c	Central Government /State Government(s).	0	0	0	0.000	0.000		
d	Venture Capital Funds				0.000	0.000		
e	Insurance Companies	4	1159674	1159674	0.879	0.879		
f	Foreign Institutional Investors(SEBI-registered)	1	1761	0	0.001	0.001		
g	Foreign Venture Capital Investors				0.000	0.000		
h	Any Others (Specify)				0.000	0.000		
	<i>Sub Total (B) (1)</i>	48	2456102	1798510	1.862	1.862	0	0.000
(2) Non Institutions								
a	Bodies Corporate	591	44697419	43544766	33.893	33.893	N.A	N.A
b	i) Individuals shareholders holding nominal sharecapital up to Rs. 1 Lakh	40040	25164262	22868123	19.082	19.082		
	ii) Individuals shareholders holding nominal sharecapital excess of Rs. 1 Lakh	69	11632546	11224050	8.821	8.821		
c	Any Others (Specify)				0.000	0.000		
	N.R.I.	542	528810	347622	0.401	0.401		
	Overseas Bodies Corporates	3	1830000	0	1.388	1.388		
	<i>Sub Total (B) (2)</i>	41245	83853037	77984561	63.584	63.584	0	0.000
Total Public Shareholding B=(B)(1)+(B)(2)		41293	86309139	79783071	65.446	65.446	0	0.000
Total (A) + (B)		41310	131877482	125351414	100.000	100.000	9765725	21.431
(C) Shares held by Custodians and against which Depository Receipts have been issued								
(1)	Promoter and Promoter Group					0.000		
(2)	Public							
Grand Total (A) (B) + (C)		41310	131877482	125351414	100.000	100.000	9765725	21.431

NOTE :

NICCO CORPORATION LIMITED



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Annexure II - (I) (b)

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Name of the Company (Scrip) : **NICCO CORPORATION LIMITED**

Scrip Code: **590028**

AS ON **30th September 2014**

SRL No	Name Of the ShareHolder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number	As a % of grand total (A)+(B)+(C)	Number	As a percentage (VI)=(V)/(III) *	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III) *	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	MRS M M KAUL	150626	0.114%	150626	0.331%	0.114%		0.000%	0.000%	0.000%	0.114%
2	RAJIVE KAUL	100000	0.076%	100000	0.219%	0.076%		0.000%	0.000%	0.000%	0.076%
3	MRS ANJALI BHAN KAMTEKAR	150000	0.114%	150000	0.329%	0.114%		0.000%	0.000%	0.000%	0.114%
4	NAROTTAM DAS	5038	0.004%		0.000%	0.000%		0.000%	0.000%	0.000%	0.004%
5	PRABIR CHAKRAVORTY	100	0.000%		0.000%	0.000%		0.000%	0.000%	0.000%	0.000%
6	NICCO UCO ALLIANCE CREDIT LTD	4096875	3.107%		0.000%	0.000%		0.000%	0.000%	0.000%	3.107%
7	PALLAVI PRIYADARSHAIN KAUL	2000	0.002%		0.000%	0.000%		0.000%	0.000%	0.000%	0.002%
8	SHEKHAR INFOTECH PVT LTD	4450000	3.374%	4450000	9.766%	3.374%		0.000%	0.000%	0.000%	3.374%
9	NICCO FINANCIAL SERVICES LTD	2380432	1.805%	2380432	5.224%	1.805%		0.000%	0.000%	0.000%	1.805%
10	ASSOCIATED INDUSTRIAL DEV CO LTD	863454	0.655%	863454	1.895%	0.655%		0.000%	0.000%	0.000%	0.655%
11	HINDUSTHAN WIRE & METAL PROD LTD	290508	0.220%	290508	0.638%	0.220%		0.000%	0.000%	0.000%	0.220%
12	HERCULES TRADING CORPN PVT LTD	1380705	1.047%	1380705	3.030%	1.047%		0.000%	0.000%	0.000%	1.047%
13	KANTA BHAN PROPERTIES PVT LTD	7455	0.006%		0.000%	0.000%		0.000%	0.000%	0.000%	0.006%
14	RAJIVE KAUL (J.N.BHAN MEMORIAL CHARITY TRUST)	171360	0.130%		0.000%	0.000%		0.000%	0.000%	0.000%	0.130%
15	NICCO RESTRUCTURING EMPLOYEES TRUST FUND	23800165	18.047%		0.000%	0.000%		0.000%	0.000%	0.000%	18.047%
16	RAJIVE KAUL (G.K.KHEMKA CHARITY TRUST)	342890	0.260%		0.000%	0.000%		0.000%	0.000%	0.000%	0.260%
17	RUCHIKA ELECTRICAL & ENGINEERS PVT LTD	7376735	5.594%		0.000%	0.000%		0.000%	0.000%	0.000%	5.594%
	Total:	45568343	34.554%	9765725	21.431%	7.405%	0.000%	0.000%	0.000%	0.000%	34.554%

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

NICCO CORPORATION LIMITED

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Annexure II - (I) (c)(i)

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Name of the Company (Scrip) : NICCO CORPORATION LIMITED		Scrip Code : 590028		AS ON 30th September 2014				
SRL No	Name Of the ShareHolder	No of Shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	MANISHA PINCHA	133327	1.011%	0.000%	0.000%	0.000%	0.000%	0.000%
			0.000%	0.000%	0.000%	0.000%	0.000%	1.011%
			0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
			0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
			0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
			0.000%	0.000%	0.000%	0.000%	0.000%	0.000%
	Total:	133327	1.011%	0.000%	0.000%	0.000%	0.000%	1.011%

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Annexure II - (I) (d)

(I)(c) STATEMENT SHOWING details of locked-in shares

Name of the Company (Scrip) : NICCO CORPORATION LIMITED				
Scrip Code : 590028				
AS ON 30th September 2014				
SRL No	Name Of the ShareHolder	No of locked-in Shares	Locked-in Shares as a % of total number of shares [i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (I) (a) above)	Promoter / Promoter Group / Public
1	NICCO RESTRUCTURING EMPLOYEES TRUST FUND	6000000	4.550%	Promoter Group
Total:		6000000	4.55%	

NICCO CORPORATION LIMITED


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Annexure II - (II) (a)

(II) (a) STATEMENT details of Depository Receipts(DRs)

Name of the Company (Scrip) :		NICCO CORPORATION LIMITED		
Scrip Code :		590028		
as on		30th September 2014		
SRL No	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Type of outstanding DRs	No of Shares underlying outstanding DRs	Shares undrlying outstanding DRs as a % of total no of shares{i.e.,Grand Total (A) +(B)+(C) indicated in Statement at para (1) (a)} above
1				
2	NIL			
3				
Total:				

NICCO CORPORATION LIMITED


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Annexure II - (II) (b)

(I I) (b) STATEMENT SHOWING HOLDING of Depository Receipts(DRs) where underlying shares are in excess of 1% of the total number of shares

Name of the Company (Scrip) : NICCO CORPORATION LIMITED				
Scrip Code (BSE): 590028				
AS on 30th September 2014				
SRL No	Name Of the DR Holder	Type of otstndg DR(ADRs,GDRs,SDRs,etc)	No of Shares underlying outstanding DRs	Shares undrlying outstanding DRs as a % of total no of shares[i.e.,Grand Total (A) +(B)+ (C) indicated in Statement at para (1) (a) above
1				
2	NIL			
3				
Total:				

NICCO CORPORATION LIMITED


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Annexure III - (a)

CLAUSE 35 OF EQUITY LISTING AGREEMENT

(I) (a) Statement showing voting pattern of shareholders, if more than one class of shares/securities is issued by the Issuer: **NOT APPLICABLE**

Name of the Company (Scrip) :		NICCO CORPORATION LIMITED					
Scrip Code :		590028					
AS On		30th September 2014					
Category Code	Category of Shareholder	Number of Voting Rights held in each class of Securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e (VI)	
		EQUITY (X)	Y	Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group2						
(1)	INDIAN						
(a)	Indian Individuals/ Hindu Undivided Family				0	#DIV/0!	#DIV/0!
(b)	Central Government/ State Government(s)						
(C)	Body Corporates				0	#DIV/0!	#DIV/0!
(d)	Financial Institutions / Banks						
(e)	Any Other						
	<i>Sub Total (A) (1)</i>	0	0	0	0	#DIV/0!	#DIV/0!
(2)	Foreign						
a	Individuals (Non Resident Individuals / Foreign Individuals)						
b	Bodies Corporate						
c	Institutions						
d	Any Other						
	<i>Sub Total (A) (2)</i>	0	0	0	0	0.000	0.000
	Total Shareholding of Promoter and Promoter Group A= (A)(1) + (A)(2)	0	0	0	0	#DIV/0!	#DIV/0!
(B)	Public Shareholding						
(1)	Institutions						
a	Mutual Funds & UTI						
b	Financial Institutions / Banks						
c	Central Government /State Government(s).					#DIV/0!	#DIV/0!
d	Venture Capital Funds						#DIV/0!
e	Insurance Companies						
f	Foreign Institutional Investors (SEBI-registered)						
g	Foreign Venture Capital Investors						
h	Others						
	<i>Sub Total (B) (1)</i>	0	0	0	0	#DIV/0!	#DIV/0!
(2)	Non Institutions						
a	Bodies Corporate						
b	i) Individuals shareholders holding nominal sharecapital up to Rs. 1 Lakh ii) Individuals shareholders holding nominal sharecapital excess of Rs. 1 Lakh						
c	Any Others						
	NRI / OCB						
	<i>Sub Total (B) (2)</i>						
	<i>Total Public Shareholding B= (B)(1) + (B)(2)</i>	0	0	0	0	#DIV/0!	#DIV/0!
	<i>Total Public Shareholding (A) + (B)</i>	0	0	0	0	#DIV/0!	#DIV/0!
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	<i>Grand Total (A) (B) + (C)</i>	0	0	0	0	#DIV/0!	#DIV/0!

NOTE :

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