



# NICCO CORPORATION LIMITED

April 17, 2014

The Vice President  
National Stock Exchange of India Ltd.  
Exchange plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra-Kurla Complex,  
Bandra (E) Mumbai 400 051.

Dear Sir / Madam,

Clause 35 of the Listing Agreement

Nicco Corporation Limited (NCL)  
Stock Code : NICCO ISIN No. : INE241C01026

Distribution of Shareholding for the  
Quarter ended on 31<sup>st</sup> March, 2014

As required under Clause 35 of the Listing Agreement, we are enclosing herewith Distribution of Shareholding for the Quarter ended on 31<sup>st</sup> March, 2014.

This is for your information and records please.

Thanking you,

Yours faithfully,  
NICCO CORPORATION LTD.

  
INDRANIL MITRA  
GENERAL MANAGER  
& CO. SECRETARY

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Encl : As above

**Annexure - A [Page - 1/2)**

**CLAUSE 35 OF EQUITY LISTING AGREEMENT**

**(I) (a) STATEMENT SHOWING SHAREHOLDING PATTERN**

Name of the Company (Scrip) : **NICCO CORPORATION LIMITED**

Class of Security: **Equity**

Scrip Code : **590028**

As on: **31st March 2014**

(I)	(II)	(III)	(IV)
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoters group	0		
Held by public	0		
<b>Total :</b>	0	0	0.000
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total No. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible</b>
Held by promoter/promoters group	0		
Held by public	0		
<b>Total :</b>	0	0	0.000
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoters group	0		
Held by public	0		
<b>Total :</b>	0	0	0.000
<b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b>			

**NICCO CORPORATION LIMITED**

  
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## CLAUSE 35 OF EQUITY LISTING AGREEMENT

## (I) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company (Scrip) : NICCO CORPORATION LIMITED								
Scrip Code : 590028								
AS ON 31st March 2014								
Category Code	Category of Shareholder	No of Share holders	Total Number of Shares	No of Shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/ (IV)*100
(A)	Share holding of Promoter and Promoter Group							
(1)	INDIAN							
(a)	Indian Individuals/ Hindu Undivided Family	9	24722179	18722179	18.746	18.746	400626	0.879
(b)	Central Government/ State Government(s)				0.000	0.000		
(c)	Body Corporates	8	20846164	20846164	15.807	15.807	9365099	20.552
(d)	Financial Institutions / Banks				0.000	0.000		
(e)	Any Other (Specify)				0.000	0.000		
	<i>Sub Total (A) (1)</i>	17	45568343	39568343	34.554	34.554	9765725	21.431
(2)	Foreign							
a	Individuals (Non Resident Individuals / Foreign Individuals)	0	0	0	0.000	0.000		
b	Bodies Corporate							
c	Institutions							
d	Any Other (Specify)							
	<i>Sub Total (A) (2)</i>							
	Total Shareholding of Promoter and Promoter Group A= (A)(1) + (A)(2)	17	45568343	39568343	34.554	34.554	9765725	21.431
(B)	Public Shareholding							
(1)	Institutions							
a	Mutual Funds & UTI	11	12321	45	0.009	0.009	N.A	N.A
b	Financial Institutions / Banks	49	1458587	815032	1.106	1.106		
c	Central Government /State Government(s).	0	0	0	0.000	0.000		
d	Venture Capital Funds				0.000	0.000		
e	Insurance Companies	4	1159674	1159674	0.879	0.879		
f	Foreign Institutional Investors(SEBI-registered)	1	1761	0	0.001	0.001		
g	Foreign Venture Capital Investors				0.000	0.000		
h	Any Others (Specify)				0.000	0.000		
	<i>Sub Total (B) (1)</i>	65	2632343	1974751	1.996	1.996	0	0.000
(2)	Non Institutions							
a	Bodies Corporate	597	44960446	43807793	34.093	34.093	N.A	N.A
b	i) Individuals shareholders holding nominal sharecapital up to Rs. 1 Lakh	40741	24454677	22105465	18.543	18.543		
	ii) Individuals shareholders holding nominal sharecapital excess of Rs. 1 Lakh	66	11907881	11499385	9.030	9.030		
c	Any Others (Specify)				0.000	0.000		
	N.R.I.	543	523792	342604	0.397	0.397		
	Overseas Bodies Corporates	3	1830000	0	1.388	1.388		
	<i>Sub Total (B) (2)</i>	41950	83676796	77755247	63.450	63.450	0	0.000
	<i>Total Public Shareholding B=(B)(1)+(B)(2)</i>	42015	86309139	79729998	65.446	65.446	0	0.000
	<i>Total (A) + (B)</i>	42032	131877482	119298341	100.000	100.000	9765725	21.431
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
(1)	Promoter and Promoter Group							
(2)	Public							
	<i>Grand Total (A) (B) + (C)</i>	42032	131877482	119298341	100.000	100.000	9765725	21.431

NOTE :

NICCO CORPORATION LIMITED


  
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## Annexure II - (I) (b)

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Name of the Company (Scrip) : NICCO CORPORATION LIMITED

Scrip Code: 590028

AS ON 31st March 2014

SRL No	Name Of the ShareHolder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number	As a % of grand total (A)+(B)+(C)	Number	As a percentage (VI)=(V)/(III) * 100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	MRS M M KAUL	150626	0.114%	150626	0.331%	0.114%		0.000%	0.000%	0.000%	0.114%
2	RAJIVE KAUL	100000	0.076%	100000	0.219%	0.076%		0.000%	0.000%	0.000%	0.076%
3	MRS ANJALI BHAN KAMTEKAR	150000	0.114%	150000	0.329%	0.114%		0.000%	0.000%	0.000%	0.114%
4	NAROTTAM DAS	5038	0.004%		0.000%	0.000%		0.000%	0.000%	0.000%	0.004%
5	PRABIR CHAKRAVORTY	100	0.000%		0.000%	0.000%		0.000%	0.000%	0.000%	0.000%
6	NICCO UGO ALLIANCE CREDIT LTD	4096875	3.107%		0.000%	0.000%		0.000%	0.000%	0.000%	3.107%
7	PALLAVI PRIYADARSHAIN KAUL	2000	0.002%		0.000%	0.000%		0.000%	0.000%	0.000%	0.002%
8	SHEKHAR INFOTECH PVT LTD	4450000	3.374%	4450000	9.766%	3.374%		0.000%	0.000%	0.000%	3.374%
9	NICCO FINANCIAL SERVICES LTD	2380432	1.805%	2380432	5.224%	1.805%		0.000%	0.000%	0.000%	1.805%
10	ASSOCIATED INDUSTRIAL DEV CO LTD	863454	0.655%	863454	1.895%	0.655%		0.000%	0.000%	0.000%	0.655%
11	HINDUSTHAN WIRE & METAL PROD LTD	290508	0.220%	290508	0.638%	0.220%		0.000%	0.000%	0.000%	0.220%
12	HERCULES TRADING CORPN PVT LTD	1380705	1.047%	1380705	3.030%	1.047%		0.000%	0.000%	0.000%	1.047%
13	KANTA BHAN PROPERTIES PVT LTD	7435	0.006%		0.000%	0.000%		0.000%	0.000%	0.000%	0.006%
14	RAJIVE KAUL G.N.BHAN MEMORIAL CHARITY TRUST	171360	0.130%		0.000%	0.000%		0.000%	0.000%	0.000%	0.130%
15	NICCO RESTRUCTURING EMPLOYEES TRUST - FUND	23800165	18.047%		0.000%	0.000%		0.000%	0.000%	0.000%	18.047%
16	RAJIVE KAUL (G.K.KHEMKA CHARITY TRUST)	342890	0.260%		0.000%	0.000%		0.000%	0.000%	0.000%	0.260%
17	RUCHIKA ELECTRICAL & ENGINEERS PVT LTD	7376735	5.594%		0.000%	0.000%		0.000%	0.000%	0.000%	5.594%
	<b>Total:</b>	<b>45568343</b>	<b>34.554%</b>	<b>9765725</b>	<b>21.431%</b>	<b>7.405%</b>	<b>0.000%</b>	<b>0.000%</b>	<b>0.000%</b>	<b>0.000%</b>	<b>34.554%</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SASI Regulations, 2011.





<b>Annexure II - ( I ) ( d )</b>				
<b>( I ) ( c ) STATEMENT SHOWING details of locked-in shares</b>				
	<b>Name of the Company (Scrip) :</b>		<b>NICCO CORPORATION LIMITED</b>	
	<b>Scrip Code :</b>		<b>590028</b>	
	<b>AS ON</b>		<b>31st March 2014</b>	
<b>SRL No</b>	<b>Name Of the ShareHolder</b>	<b>No of locked-in Shares</b>	<b>Locked-in Shares as a % of total number of shares (i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (I) (a) above)</b>	<b>Promoter / Promoter Group / Public</b>
1	NICCO RESTRUCTURING EMPLOYEES TRUST FUND	23773915	18.03	Promoter Group
	<b>Total:</b>	<b>23773915</b>	<b>18.03</b>	

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## Annexure II - ( II ) (a)

(II)(a) STATEMENT details of Depository Receipts(DRs)

Name of the Company (Scrip) : <b>NICCO CORPORATION LIMITED</b>				
Scrip Code : <b>590028</b>				
as on <b>31st March 2014</b>				
SRL No	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Type of outstanding DRs	No of Shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no of shares{i.e.,Grand Total (A) +(B)+ (C) indicated in Statement at para (1) (a)} above
1				
2	NIL			
3				
	<b>Total:</b>			

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## Annexure II - ( II ) (b)

(II)(b) STATEMENT SHOWING HOLDING of Depository Receipts(DRs) where underlying shares are in excess of 1% of the total number of shares

Name of the Company (Scrip) : <b>NICCO CORPORATION LIMITED</b>					
Scrip Code (BSE): 590028					
AS on 31st March 2014					
SRL No	Name Of the DR Holder	Type of otstndg DR(ADRs,GDRs,SDRs,etc)	No of Shares underlying outstanding DRs	Shares undrlying outstanding DRs as a % of total no of shares[i.e.,Grand Total (A) +(B)+ (C) indicated in Statement at para (I) (a)] above	
1					
2	NIL				
3					
<b>Total:</b>					

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## Annexure III - (a)

### CLAUSE 35 OF EQUITY LISTING AGREEMENT

(I) (a) Statement showing voting pattern of shareholders, if more than one class of shares/securities is issued by the Issuer: **NOT APPLICABLE**

Name of the Company (Scrip) :		NICCO CORPORATION LIMITED					
Scrip Code :		590028					
AS On		31st March 2014					
Category Code	Category of Shareholder	Number of Voting Rights held in each class of Securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e (VI)	
		EQUITY (X)	Y	Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group2						
(1)	INDIAN						
(a)	Indian Individuals/ Hindu Undivided Family				0	#DIV/0!	#DIV/0!
(b)	Central Government/ State Government(s)						
(C)	Body Corporates				0	#DIV/0!	#DIV/0!
(d)	Financial Institutions / Banks						
(e)	Any Other						
	<i>Sub Total (A) (1)</i>	0	0	0	0	#DIV/0!	#DIV/0!
(2)	Foreign						
a	Individuals (Non Resident Individuals / Foreign Individuals)						
b	Bodies Corporate						
c	Institutions						
d	Any Other						
	<i>Sub Total (A) (2)</i>	0	0	0	0	0.000	0.000
Total Shareholding of Promoter and Promoter Group A= (A)(1) + (A)(2)		0	0	0	0	#DIV/0!	#DIV/0!
(B)	Public Shareholding						
(1)	Institutions						
a	Mutual Funds & UTI						
b	Financial Institutions / Banks						
c	Central Government /State Government(s).					#DIV/0!	#DIV/0!
d	Venture Capital Funds						#DIV/0!
e	Insurance Companies						
f	Foreign Institutional Investors (SEBI-registered)						
g	Foreign Venture Capital Investors						
h	Others						
	<i>Sub Total (B) (1)</i>	0	0	0	0	#DIV/0!	#DIV/0!
(2)	Non Institutions						
a	Bodies Corporate						
b	i) Individuals shareholders holding nominal sharecapital up to Rs. 1 Lakh ii) Individuals shareholders holding nominal sharecapital excess of Rs. 1 Lakh						
c	Any Others						
	NRI / OCB						
	<i>Sub Total (B) (2)</i>						
	<i>Total Public Shareholding B= (B)(1) + (B)(2)</i>	0	0	0	0	#DIV/0!	#DIV/0!
	<i>Total Public Shareholding (A) + (B)</i>	0	0	0	0	#DIV/0!	#DIV/0!
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	<i>Grand Total (A) (B) + (C)</i>	0	0	0	0	#DIV/0!	#DIV/0!

NOTE :

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