

Introductory sub-table 1)(a)

Name of the Company: Nalwa Sons Investments Limited
 Scrip Code, Name of the scrip, class of security: BSE: 532256, NSE: NSIL (Equity Shares)
 As on 30.9.2014

Partly paid - up shares	No. of partly paid - up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total	-	-	-
Outstanding Convertible Securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of convertible securities
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total	-	-	-
Warrants:	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total	-	-	-
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	Rs. 5,13,61,630/- divided into 51,36,163 equity shares of Rs.10/- each.		

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Statement Showing Shareholding Pattern

Category code	Category of shareholder (iii)	No. of shareholders (iii)	Total no. of shares (iv)	No. of shares held in dematerialized form (v)	Total shareholding as a % of total no. of shares		Shares pledged or otherwise encumbered (viii)	As a % of (ix)=[viii]/(iv)*100
					As a % of (A+B) (vi)	As a % of (A+B+C) (vii)		
(A)	Shareholding of promoter & promoter group							
(a)	Indian Individuals /HUF	20	35,041	35,041	0.68	0.68	-	-
(b)	Central Govt./State Govt.	-	-	-	-	-	-	-
(c)	Bodies Corporates	11	2,811,989	*2799268	54.75	54.75	-	-
(d)	Financial Institutions /Banks	-	-	-	-	-	-	-
(e)	Any Other	-	-	-	-	-	-	-
	Sub Total (A)[1]	31	2,847,030	2,834,309	55.43	55.43	-	-
2	Foreign							
(a)	Individuals (Non Resident individuals/Foreign Ind)	3	9,480	9,480	0.18	0.18	-	-
(b)	Bodies Corporates	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-
(e)	Any other	-	-	-	-	-	-	-
	Sub Total (A)[2]	3	9,480	9,480	0.18	0.18	-	-
	Total shareholding of promoter and promoter group (A) = (A)[1]+(A)[2]	34	2,856,510	2,843,789	55.62	55.62	-	-
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds / UTI	15	1,420	523	0.03	0.03	N.A.	N.A.
(b)	Financial Institutions /Banks	20	1,350	193	0.03	0.03	N.A.	N.A.
(c)	Central Govt. /State Govt.	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-
(e)	Insurance Companies	2	28,126	28,126	0.55	0.55	-	-
(f)	Foreign Institutional Investors	10	216,901	216,200	4.22	4.22	-	-
(g)	Foreign Venture capital investors	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-
(i)	Any other	-	-	-	-	-	-	-
	Sub Total (B) [1]	47	247,797	245,042	4.82	4.82	-	-
B2	Non Institutions							
(a)	Bodies Corporates	370	166,360	161,902	3.24	3.24	N.A.	N.A.
(b)	Individuals	22,093	608,064	431,063	11.84	11.84	-	-
I	Individuals shareholders holding nominal share capital up to Rs. 1 lakh							
II	Individuals shareholders holding nominal share capital in excess of Rs. 1 lakh							
(c)	Qualified Foreign Investor	-	-	-	-	-	-	-
(d)	Any other :							
	Trusts	1	193	193	0.00	0.00	-	-
	Non Resident Indians	1,088	1,236,727	1,200,725	24.08	24.08	-	-
	Overseas Corporate Bodies	2	97	-	0.00	0.00	-	-
	Foreign Nationals	1	227	227	0.00	0.00	-	-
	Clearing Members	12	486	486	0.01	0.01	-	-
	Hindu Undivided Families	236	19,702	19,515	0.38	0.38	-	-
	Sub Total (B) [2]	23,803	2,031,856	1,814,111	39.56	39.56	-	-
	Total Public Shareholding (B) = (B)[1]+(B)[2]	23,850	2,279,653	2,059,153	44.38	44.38	-	-
	Total (A)+(B)	23,884	5,136,163	4,902,942	100.00	100.00	N.A.	N.A.
(C)	Shares held by custodians and against which GDS have been issued							
1	Promoter & promoter group	-	-	-	-	-	-	-
2	Public	-	-	-	-	-	-	-
	Grand Total (A)+(B)+(C)	23,884	5,136,163	4,902,942	100.00	100.00	-	-

Note: *12721 equity shares (28,11,989 - 2799268) held by bodies corporate as shown under Indian promoter group in physical mode are sub-judice and therefore could not be dematerialized till further direction of the Hon'ble Court.

(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter & Promoter group."

Sr.	Name of shareholder	Details of Shares held			Encumbered shares			Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares (iii)	As a % of grand total (A)-(B)+(C) of sub-clause (i)(e) (iv)	No. of shares (v)	As a % of (vi)=(v)/(iii)*100 (vi)	As a % of grand total (A)-(B)+(C) of sub-clause (i)(a) (vii)	Number of warrants held (viii)	As a % of total number of warrants of the same class (ix)	Number of Convertible securities held (x)	As a % of total number of convertible securities of the same class (xi)	(xii)		
1	ABHYUDAY JINDAL	1,206	0.02	-	-	-	-	-	-	-	0.02		
2	ARTI JINDAL	781	0.02	-	-	-	-	-	-	-	0.02		
3	DEEPIKA JINDAL	3,760	0.07	-	-	-	-	-	-	-	0.07		
4	GOSWAMIS CREDIT & INVESTMENT LIMITED	12,721	0.25	-	-	-	-	-	-	-	0.25		
5	JSW HOLDINGS LIMITED	25,014	0.49	-	-	-	-	-	-	-	0.49		
6	NAVEEN JINDAL	692	0.01	-	-	-	-	-	-	-	0.01		
7	NAVEEN JINDAL HUF	5,854	0.11	-	-	-	-	-	-	-	0.11		
8	PARTH JINDAL	1,497	0.03	-	-	-	-	-	-	-	0.03		
9	PRITHVI RAJ JINDAL	1,698	0.03	-	-	-	-	-	-	-	0.03		
10	P. R. JINDAL HUF	3,163	0.06	-	-	-	-	-	-	-	0.06		
11	RATAN JINDAL	4,024	0.08	-	-	-	-	-	-	-	0.08		
12	R.K. JINDAL & SONS HUF	756	0.01	-	-	-	-	-	-	-	0.01		
13	SAJIAN JINDAL	2,592	0.05	-	-	-	-	-	-	-	0.05		
14	S K JINDAL & SONS HUF	1,809	0.04	-	-	-	-	-	-	-	0.04		
15	SANGITA JINDAL	2,547	0.05	-	-	-	-	-	-	-	0.05		
16	SARIKA JHUNHUNWALA	4,250	0.08	-	-	-	-	-	-	-	0.08		
17	SAROJ BHARTIA	2	0.00	-	-	-	-	-	-	-	0.00		
18	SAVITRI DEVI JINDAL	4,815	0.09	-	-	-	-	-	-	-	0.09		
19	SEEMA JAJODIA	49	0.00	-	-	-	-	-	-	-	0.00		
20	SMINU JINDAL	2,382	0.05	-	-	-	-	-	-	-	0.05		
21	TANVI JINDAL	652	0.01	-	-	-	-	-	-	-	0.01		
22	TARUNI JINDAL	651	0.01	-	-	-	-	-	-	-	0.01		
23	TRIPTI JINDAL	662	0.01	-	-	-	-	-	-	-	0.01		
24	URMILA BHUWALKA	48	0.00	-	-	-	-	-	-	-	0.00		
25	URVI JINDAL	631	0.01	-	-	-	-	-	-	-	0.01		
26	HEXA TRADEX LIMITED	100	0.00	-	-	-	-	-	-	-	0.00		
27	GLEBE TRADING PRIVATE LIMITED	122,306	2.38	-	-	-	-	-	-	-	2.38		
28	VINAMIRA CONSULTANCY PRIVATE LIMITED	121,244	2.36	-	-	-	-	-	-	-	2.36		
29	JSL LIMITED	120,615	2.35	-	-	-	-	-	-	-	2.35		
30	JINDAL COAL PRIVATE LIMITED	124,446	2.42	-	-	-	-	-	-	-	2.42		
31	DANTA ENTERPRISES PRIVATE LIMITED	571,386	11.12	-	-	-	-	-	-	-	11.12		
32	SAHYOG TRADCORP PRIVATE LIMITED	571,386	11.12	-	-	-	-	-	-	-	11.12		
33	VIRTUOUS TRADCORP PRIVATE LIMITED	571,385	11.12	-	-	-	-	-	-	-	11.12		
34	OPI TRADING PRIVATE LIMITED	571,385	11.12	-	-	-	-	-	-	-	11.12		
	TOTAL	2,856,510	55.62	-	-	-	-	-	-	-	55.62		

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(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.	Name of shareholder	Details of Shares held			Details of warrants			Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities)
		No. of shares (III)	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)		Number of warrants held (VIII)	As a % of total number of warrants of the same class (IX)	Number of Convertible securities held (X)	As a % of total number of convertible securities of the same class (XI)		
			(IV)	(V)						
(I)										
1	RICKY ISHWARDAS KIRPALANI	405,561	7.90	-	-	-	-	-	-	7.90
2	KESWANI HARESH	301,192	5.86	-	-	-	-	-	-	5.86
3	ANIL ARYA	249,285	4.85	-	-	-	-	-	-	4.85
4	VAISHALI ARYA	193,316	3.76	-	-	-	-	-	-	3.76
5	ACACIA PARTNERS LP	79,236	1.54	-	-	-	-	-	-	1.54
6	ACACIA INSTITUTIONAL PARTNERS, LP	89,082	1.73	-	-	-	-	-	-	1.73
7	IL AND FS TRUST CO LTD	117,682	2.29	-	-	-	-	-	-	2.29
	TOTAL	1,435,354	27.95	-	-	-	-	-	-	27.95

(II)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr.	Name(s) of the shareholder(s) and the Person acting in Concert (PAC) with them	Details of Shares held			Details of warrants			Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities)
		No. of shares (III)	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)		Number of warrants held (VIII)	As a % of total number of warrants of the same class (IX)	Number of Convertible securities held (X)	As a % of total number of convertible securities of the same class (XI)		
			(IV)	(V)						
(I)										
1	RICKY ISHWARDAS KIRPALANI (alongwith PAC)	836,169	16.28	-	-	-	-	-	-	16.28
	Ricky Ishwardas Kirplani	-	-	-	-	-	-	-	-	-
	Keswani Hareesh (As a PAC with Ricky Ishwardas Kirplani)	4,05,561	-	-	-	-	-	-	-	-
	Johnny Kirplani (As a PAC with Ricky Ishwardas Kirplani)	3,01,192	-	-	-	-	-	-	-	-
	Alisha Kirplani (As a PAC with Ricky Ishwardas Kirplani)	2,150	-	-	-	-	-	-	-	-
	Pooja Vijay Assomuli (As a PAC with Ricky Ishwardas Kirplani)	6,384	-	-	-	-	-	-	-	-
	IL AND FS Trust Co. Ltd. (As a PAC with Ricky Ishwardas Kirplani)	3,200	-	-	-	-	-	-	-	-
	Total	1,17,682	-	-	-	-	-	-	-	-
	ANIL ARYA (alongwith PAC)	8,36,169	-	-	-	-	-	-	-	-
	Anil Arya	-	-	-	-	-	-	-	-	-
	Vaishali Arya (As a PAC with Anil Arya)	2,49,285	-	-	-	-	-	-	-	-
	Total	1,93,316	-	-	-	-	-	-	-	-
	TOTAL	442,601	8.62	-	-	-	-	-	-	8.62
	TOTAL	1,278,770	24.90	-	-	-	-	-	-	24.90

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(1)(d) Statement showing details of locked in shares

Sr. no.	Name of shareholder	No. of locked in shares	Locked in shares as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above	Promoter / Promoter Group / Public
	NIL	NIL	NIL	NIL
	TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. no.	Type of outstanding DR (ADRs, GDRs, SRDs, etc.)	No. of outstanding DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above
	NIL	NIL	NIL	NIL
	TOTAL			

(II)(b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by promoter/promoter group are in excess of 1% of the total number of shares

Sr. no.	Name of DR holder	Type of outstanding DR (ADRs, GDRs SDR, etc.)	No. of underlying outstanding shares	Shares underlying outstanding DRs as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above
	NIL	NIL	NIL	NIL
	TOTAL			

III (a) Statement showing the voting pattern of the shareholders, if more than one class of shares / securities is issued by the issuer:

Category code	Category of shareholder	No. of voting right held in each class of security Class X Y Z (III) (IV) (V)	Total voting right (III+IV+V)	Total voting Right I.e. (VI) As a % of (A+B) (VII) (VIII)
(I)	(II)	(VI)	(VI)	(VIII)
NOT APPLICABLE The Company has not issued any separate class of shares				