# **NDA SECURITIES LIMITED**



: Bombay Stock Exchange Ltd.

: National Securities Depository Ltd.

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CIN: L74899DL1992PLC050366



Statement showing Shareholding pattern Name of the Company: NDA Securities Limited Name of Scrip: NDASEC Quarter ended: 30.09.2014 Scrip Code: 511535 Partly Paid-up Shares:-No.of Partly Paid-up Shares As a % of total No. of partly As a % of Total No. of paid up shares shares of the Company Held by Promoter/Promoters Group 0 0 0 0 0 Held by Public 0 Total 0 0 No. of outstanding securities Outstanding convertible securities As a % of total No. of As a % of Total No. of Outstanding convertible shares of the Company, assuming full conversion of the Convertible securities Held by Promoter/Promoters Group 0 0 0 0 0 0 Held by Public Total 0 0 0 Warrants:-No. of warrants As a % of total Number of As a % of total no. of shares of the the warrants Company, assuming full conversion of warrants Held by Promoter/Promoters Group 0 0 0 0 Held by Public 0 0 0 0 0 Total paid -up capital of the Company assuming full conversion of warrants and convertible securities

Contd. 21-



| Cate-<br>gory<br>code<br>(I) | Category of shareholder (II)   | Numbe I<br>r of s<br>shareh<br>olders<br>(III) | Total number of shares (IV) | shares held in<br>dematerialized<br>form | as a percentage of |   | fencumbered |   |
|------------------------------|--|--|-----------------------------|--|--------------------|---|-------------|---|
|                              |  |  |                             | (V)                                      | percent            | As a percentage of (A+B+C) (VII)        | Shares      | f As a percentag e (IX)=(VIII)/(IV)*100 |
| (A)                          | Shareholding of Promoter and<br>Promoter Group   |  |                             |  | -                  |   |             |   |
| (1)                          | Indian   |  |                             |  |                    |   |             |   |
| (a)                          | Individuals/ Hindu Undivided Family  | 9  | 3589240                     | 3589240                                  | 70.49              | 70.49                                   | NIL         |   |
| (b)                          | Central Govt. / State Govt.(s)   | 0  | 0                           | 0  | 0.00               | 0.00                                    | NIL         |   |
| (c)                          | Bodies Corporate   | 1  | 50000                       |  | 0.98               | 0.98                                    |             |   |
| (d)                          | Financial Institutions/ Banks  | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| (e)                          | Any Other (Specify)  | 0  | 0                           | 0  | 0.00               | 0.00                                    | NIL         |   |
|                              | Sub-Total (A)(1)   | 10   | 3639240                     | 3639240                                  | 71.47              | 71.47                                   |             | 0                                       |
| (2)<br>(a)                   | Foreign (Non-Resident  |  |                             |  |                    |   |             |   |
|                              | Individuals/ Foreign Individuals)  | 0  | 0                           | 0  | 0.00               | 0.00                                    | NIII        | 93                                      |
| (b)                          | Bodies Corporate   | 0  | 0                           | 0  | 0.00               |   |             |   |
| (c)                          | Institutions   | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| (d)                          | Any Other (Specify)  | 0  | 0                           | 0  | 0.00               |   |             |   |
|                              | Sub-Total (A)(2)  Total shareholding of Promoters and Promoter Group (A)=  | 10   | 3639240                     | 3630340                                  | 0.00               | 0.00                                    | NIL         |   |
| (B)                          | (A)(1)+(A)(2) Public shareholding  | 10   | 3039240                     | 3639240                                  | 71.47              | 71.47                                   | NA          | 0                                       |
| (1)                          | Institutions   |  |                             |  |                    |   | NA<br>NA    | NA<br>NA                                |
| (a)                          | Mutual Funds/ UTI  | 0  | 0                           | 0  | 0.00               | 0.00                                    | IIIA        | IVA                                     |
| (b)                          | Financial Institutions/ Banks Central Govt. / State Govt.(s)   | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
|                              |  | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| (d)                          | Venture Capital Funds  | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| (e)                          | Insurance Companies  | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| (f)                          | Foreign Institutional Investors  |  |                             |  |                    |   |             |   |
| (a)                          | Foreign Venture Capital Investors  | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| (g)                          | Parigation Commission Assets Control Commission Commiss | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| (h)                          | Any Other (Specify)  | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
|                              | Sub-Total (B)(1)   | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| (2)                          | Non-institutions   |  |                             |  |                    |   |             |   |
| (a)<br>(b)                   | Bodies Corporate Individuals - i. Individual shareholders holding nominal share capital up to Rs. 1  | 56   | 32597                       | 16697                                    | 0.64               | 0.64                                    | NA          | NA                                      |
|                              | Lakh.  | 3510   | 632363                      | 224891                                   | 12.42              | 12.42                                   |             | 0                                       |
|                              | ii. Individual shareholders holding<br>nominal share capital in excess of<br>Rs. 1 Lakh  | 11   | 741856                      | 722056                                   | 14.57              | 14.57                                   |             | 0                                       |
| (c)                          | NRI (Rept and Non-rept)  | 1  | 10                          | 10                                       | 0.00               | 0.00                                    |             | 0                                       |
| c-i)                         | Public Trust Corporate Bodies-OCB  | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| c-iii)                       | Intermediary/Other Depository a/c  | 0  | 0                           | 0  | 0.00               | 0.00                                    |             |   |
| c-iv)                        | Hindu Undivided Family   | 22   | 46134                       | 46134                                    | 0.00               | 0.00                                    |             |   |
| C-V)                         | Clearing Members/House   | 0  | 0                           | 0  | 0                  | 0.01                                    |             |   |
| c-vi)                        | Qualified Foreign Investor-Individual  | 0  | 0                           | 0  | 0                  | 0                                       |             |   |
| c-vii)                       | Qualified Foreign Investor-Corporate Sub-Total (B)(2)  | 3 <b>600</b>                                   | 1452960                     | 0<br>1014378                             | 28.53              | 28.53                                   |             | 0                                       |
|                              | Total Public Shareholding  |  |                             |  | -                  | 220000000000000000000000000000000000000 | plenew      | 65002055                                |
|                              | (B)= (B)(1)+(B)(2)   | 3600<br>3610                                   | 1452960<br>5092200          | 1014378                                  | 28.53              | 28.53                                   | NA          | NA O                                    |
| (C)                          | TOTAL (A)+(B) Shares held by Custodians and against which Depository   | 3010   | 5092200                     | 4653618                                  | 100.00             | 100.00                                  |             | 0                                       |
| ×                            | Receipts have been issued GRAND TOTAL  | 0  | 0                           | 0  | 0.00               | 0.00                                    | NA          | NA                                      |
|                              | (A)+(B)+(C)  | 3610   | 5092200                     | 4653618                                  | 100.00             | 100.00                                  |             | 0                                       |



#### (I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

| Sr.<br>No. | Name of the shareholder (II)          | Total Shar      | es held                                      | Shares Pledged or otherwise encumbered |  |   |  |
|------------|---------------------------------------|-----------------|--|--|--|---|--|
| (1)        |                                       | Number<br>(III) | As a %of grand<br>total (A)+(B)+(C<br>) (IV) |  | As a<br>Percentage<br>(VI)=(V)/(III)*<br>100 | As a % of<br>grand total<br>(A)+(B)+(C) of<br>sub-clause (I)<br>(a) |  |
| 1          | Sh. N.D. Agarwal                      | 3034774         | 59.60  | NIL                                    | N/A  | TN/A  |  |
| 2          | N.D. Agarwal (HUF)                    | 264700          | 5.20   | NIL                                    | N/A  | N/A   |  |
| 3          | Sh. Sanjay Agarwal                    | 180785          | 3.55   | NIL                                    | N/A  | N/A   |  |
| 4          | Smt. Saroj Agarwal                    | 80010           | 1.57   | NIL                                    | N/A  | N/A   |  |
| 5          | NDA Research & Technologies Pvt. Ltd. | 50000           | 0.98   | NIL                                    | N/A  | N/A   |  |
| 6          | Smt.Deepti Agarwal                    | 28451           | 0.56   | NIL                                    | N/A  | N/A   |  |
| 7          | Smt. Reena Gupta                      | 510             | 0.01   | NIL                                    | N/A  | N/A   |  |
| 8          | Smt Neena Dewan                       | 10              | 0.00   | NIL                                    | N/A  | N/A   |  |
|            | TOTAL                                 | 3639240         | 71.47  |  |  |   |  |

# (I)(c)(i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr.<br>No. | Name of the shareholder | A CONTRACTOR OF THE CONTRACTOR OF CONTRACTOR | Shares as a percentage of total number of shares |
|------------|-------------------------|--|--|
| 1          | Rameshwar Dayal Tayal   | 176354   | 3.46   |
| 2          | Trilok Chand Agarwal    | 168536   | 3.31   |
| 3          | Prabha Somani           | 160971   | 3.16   |
| 4          | Usha Rani Gupta         | 77572  | 1.52   |
|            | TOTAL                   | 583433   | 11.46  |

### (I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the  | Number    | Shares as a   | Details o             | f warrants  | Details of                                     | convertible  |
|---------|---|-----------|---|-----------------------|---|--|--|
|         | shareholder(s) and<br>the Persons Acting in<br>Concert (PAC) with<br>them | of shares | percentage of<br>total number of<br>shares<br>{i.e., Grand<br>Total<br>(A)+(B)+(C)<br>indicated in<br>Statement at<br>para (I)(a)<br>above} | Number of<br>warrants | As a % total<br>number of<br>warrants of<br>the<br>same class | Number of<br>convertible<br>securities<br>held | % w.r.t total number of convertible securities of the same class |
| 1       | N/A   | 0         | 0   | 0                     | 0   | 0  | 0  |
| 2       | N/A   | 0         | 0   | 0                     | 0   | 0  | 0  |
|         | TOTAL   | 0         | 0   | 0                     | 0   | 0  | 0  |

#### (I)(d) Statement showing details of locked-in shares

| Sr.No. | Name of the shareholder | Number<br>of<br>locked-in<br>shares | Locked-in Shares as a<br>percentage of total number<br>of shares (i.e., Grand Total<br>(A)+(B)+(C) Indicated in<br>Statement at para (I)(a)<br>above) |
|--------|-------------------------|-------------------------------------|---|
| 1      | N/A                     | 0                                   | 0   |
| 2      | N/A                     | 0                                   | 0   |
| TOTAL  |                         | . 0                                 | 0   |

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| Sr. No. | Type of<br>outstanding<br>DR (ADRs,<br>GDRs, SDRs,<br>etc.) | Number of<br>outstanding<br>DRs | Number of<br>shares<br>underlying<br>outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|---|---------------------------------|--|---|
| 1       | N/A   | 0                               | 0  | 0.00  |
| 2       | N/A   | 0                               | 0  | 0.00  |
| TOTAL   |   | 0                               | 0  | 0.00  |

## (II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

| Sr.   | Name of the  | Type of                                       | Number of                               | Shares underlying   |
|-------|--|---|---|---|
| No.   | DR Holder<br>Type of<br>outstanding<br>DR (ADRs,<br>GDRs, SDRs,<br>etc.) | outstanding DR<br>(ADRs, GDRs,<br>SDRs, etc.) | shares<br>underlying<br>outstanding DRs | outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) |
| 1     | N/A  | N/A   | N/A                                     | N/A   |
| 2     | N/A  | N/A   | N/A                                     | N/A   |
| TOTAL | N/A  | N/A   | N/A                                     | N/A   |

For NDA Securities Ltd.

Company Secretary

Dated:October 13, 2014