

# Mukesh Babu Financial Services Ltd.



Mukesh Babu Financial Services Limited												
(IX)(b) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY 'PROMOTER AND PROMOTER GROUP'												
Sr. No.	NAME OF THE SHAREHOLDER	NO. OF SHARES HELD	TOTAL SHARES HELD	ENCUMBERED SHARES	ENCUMBERED SHARES AS A PERCENTAGE	ENCUMBERED SHARES AS A % OF GRAND TOTAL	ENCUMBERED SHARES AS A % OF GRAND TOTAL	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
(i)	(ii)	(iii)	(iv)	(v)	(vi) = (v) / (iii) * 100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)
1	MUKESH C BABU	3431600	49.2275	0	0	0	0					
2	MEENA MUKESH BABU	950000	13.6281	0	0	0	0					
	<b>Total :</b>	<b>4381600</b>	<b>62.8556</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>					
GENERATED ON : 09/10/2014		NSDL : 30/09/2014		CDSL : 30/09/2014								

For Mukesh Babu Financial Services Ltd.

*M. Babu*  
COMPANY SECRETARY

# Mukesh Babu Financial Services Ltd.



<b>(a) Statement Shareholding Pattern</b>			
<b>Name of the Company :</b> Mukesh Babu Financial Services Limited			
<b>Script Code:</b> 530341		<b>Class of Security:</b> EQUITY	
<b>AS ON NSDL DATA:</b> 30/09/2014		<b>CDSL DATA:</b> 30/09/2014	
<b>Partly Paid-up shares</b>	<b>No. of Partly Paid-up shares</b>	<b>As a % of total no. of Partly paid-up shares</b>	<b>As a % of total no. of shares of the Company</b>
Held by promoter/ Promoter group	0.0000	0.0000	0.0000
Held by public	10300.0000	100.0000	0.1478
<b>Total</b>	<b>10300.0000</b>	<b>100.0000</b>	<b>0.1478</b>
<b>Outstanding convertible securities</b>	<b>No. of Outstanding securities</b>	<b>As a % of total No. of Outstanding convertible securities</b>	<b>As a % of total No. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/ Promoter group			
Held by public			
<b>Total</b>			
<b>Warrants</b>	<b>No. of Warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total No. of shares of the company, assuming full conversion of the convertible warrants</b>
Held by promoter/ Promoter group			
Held by public			
<b>Total</b>			
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>6,970,900</b>		

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# Mukesh Babu Financial Services Ltd.



Mukesh Babu Financial Services Limited								
Category code	Category of Shareholder	Number of Shareholder	Total number of Shares	Number of Shares dematerialized form	Total Shareholding as a percentage of total shares	Shares pledged (or otherwise encumbered)		
(i)	(ii)	(iii)	(iv)	(v)	As a percentage of (A+B) (vi)	As a percentage of (A+B+C) (vii)	Number of shares (viii)	As a percentage (ix) = (viii)/(iv)*100
(A)	PROMOTER AND PROMOTER GROUP							
[1]	INDIAN							
	INDIVIDUALS / HINDU UNDIVIDED FAMILY	2	4381600	4381600	62.86	62.86	0	0
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	BODIES CORPORATE	0	0	0	0	0	0	0
	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	<b>Sub Total</b>	<b>2</b>	<b>4381600</b>	<b>4381600</b>	<b>62.86</b>	<b>62.86</b>	<b>0</b>	<b>0</b>
[2]	FOREIGN							
	INDIVIDUALS (NON-RESIDENT INDIVIDUALS / FOREIGN INDIVIDUALS)	0	0	0	0	0	0	0
	BODIES CORPORATE	0	0	0	0	0	0	0
	INSTITUTIONS	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-IND	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0

# Mukesh Babu Financial Services Ltd.



	NON RESIDENT INDIANS (REPAT)	82	108646	9946	1.56	1.56	0	0
	NON RESIDENT INDIANS (NON REPAT)	6	13900	13900	0.2	0.2	0	0
	FOREIGN COMPANIES	0	0	0	0	0	0	0
	OVERSEAS BODIES CORPORATES	1	32900	0	0.47	0.47	0	0
	DIRECTORS / RELATIVES	0	0	0	0	0	0	0
	PERSONS ACTING IN CONCERT	0	0	0	0	0	0	0
	GROUP COMPANIES	0	0	0	0	0	0	0
	GOVT. NOMINEES	0	0	0	0	0	0	0
	NOTIFIED PERSONS	0	0	0	0	0	0	0
	OTHER SHROFF COMPANIES	0	0	0	0	0	0	0
	OTHER SHROFF FAMILY	0	0	0	0	0	0	0
	OTHER SHROFF FAMILY [NRI]	0	0	0	0	0	0	0
	SUBSIDIARY COMPANIES	0	0	0	0	0	0	0
	SHROFF PLEDGE	0	0	0	0	0	0	0
	FOREIGN DIRECTORS	0	0	0	0	0	0	0
	PARTNERSHIP FIRM	0	0	0	0	0	0	0
	PROPRIETARY FIRM	0	0	0	0	0	0	0
	OTHER DIRECTORS	0	0	0	0	0	0	0
	ESCROW ACCOUNT	0	0	0	0	0	0	0
	FOREFIETED SHARES	0	0	0	0	0	0	0
	FREINDS & ASSOCIATES	0	0	0	0	0	0	0
	HOLDING COMPANY	0	0	0	0	0	0	0
	HINDU UNDIVIDED FAMILY	12	25150	25150	0.36	0.36	0	0
	TRUSTS	0	0	0	0	0	0	0
	<b>Sub Total</b>	<b>1916</b>	<b>2380100</b>	<b>1940300</b>	<b>34.14</b>	<b>34.14</b>	<b>12800</b>	<b>0.54</b>
	<b>Total (B)</b>	<b>1919</b>	<b>2589300</b>	<b>1940300</b>	<b>37.14</b>	<b>37.14</b>	<b>12800</b>	<b>0.49</b>
	<b>Total (A)+(B)</b>	<b>1921</b>	<b>6970900</b>	<b>6321900</b>	<b>100</b>	<b>100</b>	<b>12800</b>	<b>0.18</b>
	SHARES HELD BY CUSTODIANS AND AGAINST WHICH DEPOSITORY RECEIPTS HAVE BEEN ISSUED							

(C)

# Mukesh Babu Financial Services Ltd.



NON RESIDENT INDIANS (REPAT)	82	108646	9946	1.56	1.56	0	0
NON RESIDENT INDIANS (NON REPAT)	6	13900	13900	0.2	0.2	0	0
FOREIGN COMPANIES	0	0	0	0	0	0	0
OVERSEAS BODIES CORPORATES	1	32900	0	0.47	0.47	0	0
DIRECTORS / RELATIVES	0	0	0	0	0	0	0
PERSONS ACTING IN CONCERT	0	0	0	0	0	0	0
GROUP COMPANIES	0	0	0	0	0	0	0
GOVT. NOMINEES	0	0	0	0	0	0	0
NOTIFIED PERSONS	0	0	0	0	0	0	0
OTHER SHROFF COMPANIES	0	0	0	0	0	0	0
OTHER SHROFF FAMILY	0	0	0	0	0	0	0
OTHER SHROFF FAMILY (NRI)	0	0	0	0	0	0	0
SUBSIDIARY COMPANIES	0	0	0	0	0	0	0
SHROFF PLEDGE	0	0	0	0	0	0	0
FOREIGN DIRECTORS	0	0	0	0	0	0	0
PARTNERSHIP FIRM	0	0	0	0	0	0	0
PROPRIETARY FIRM	0	0	0	0	0	0	0
OTHER DIRECTORS	0	0	0	0	0	0	0
ESCROW ACCOUNT	0	0	0	0	0	0	0
FOREFIETED SHARES	0	0	0	0	0	0	0
FRIENDS & ASSOCIATES	0	0	0	0	0	0	0
HOLDING COMPANY	0	0	0	0	0	0	0
HINDU UNDIVIDED FAMILY	12	25150	25150	0.36	0.36	0	0
TRUSTS	0	0	0	0	0	0	0
Sub Total	1916	2380100	1940300	34.14	34.14	12800	0.54
Total (B)	1919	2589300	1940300	37.14	37.14	12800	0.49
Total (A)+(B)	1921	6970900	6321900	100	100	12800	0.18
SHARES HELD BY CUSTODIANS AND AGAINST WHICH DEPOSITORY RECEIPTS HAVE BEEN ISSUED							

(C)

# Mukesh Babu Financial Services Ltd.



SHARES HELD BY CUSTODIANS										
Sub Total						0				
Total (C)						0				
Total (A)+(B)+(C)						1921	6970900	6321900	100	12800
										0.18

GENERATED ON : 09/10/2014      MSDI : 30/09/2014      CDSL : 30/09/2014



# Mukesh Babu Financial Services Ltd.

## Mukesh Babu Financial Services Limited

(1)(C)(i) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY 'PUBLIC' AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES

SR. NO.	NAME(S) OF THE SHAREHOLDER	NUMBER SHARES	SHARES AS A GRAND TOTAL INDICATED IN PARA (1)(a) ABOVE }	PAN	NUMBER WARRANTS HELD	AS A % NUMBER WARRANT THE SAME CLASS	NUMBER OF CONVERTIBLE SECURITIES HELD	% W.R.T TOTAL OF SECURITIES OF THE SAME	TOTAL SHARES (INCLUDING UNDERLYING SHARES FULL OF WARRANTS AND SECURITIES) AS A % OF DILUTED SHARE CAPITAL
1	SAGAR SYSTECH LIMITED	604500							
2	THANKI BHARATBHAI TRIKAMJI	205132	8.6718						
3	SBI CAPITAL MARKETS LTD	100000	2.9427						
4	INDIAN BANK A/C INDIAN BANK MUTUAL	97500	1.4345						
	<b>Total :</b>	<b>1007132</b>	<b>14.4477</b>						

GENERATED ON : 09/10/2014

NSDL : 30/09/2014

CDSL : 30/09/2014

# Mukesh Babu Financial Services Ltd.



Mukesh Babu Financial Services Limited									
(ii)(H) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) BELONGING TO THE CATEGORY 'PUBLIC AND HOLDING MORE THAN 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY									
Sr. No.	NAME(S) OF THE AND THE PERSONS ACTING IN CONCERT(PAC) WITH THEM	NUMBER OF SHARES	SHARES AS A TOTAL NUMBER GRAND TOTAL INDICATED IN PARA (I)(a)	PAN	NUMBER OF WARRANTS HELD	AS A % TOTAL NUMBER OF WARRANTS HELD THE SAME CLASS	NUMBER OF CONVERTIBLE SECURITIES HELD	% W .R.T TOTAL NUMBER OF SECURITIES OF THE SAME	TOTAL SHARES (INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
1	SAGAR SYSTECH LIMITED	604500	8.6718						
	<b>Total :</b>	604500	8.6718						

GENERATED ON : 09/10/2014

NSDL : 30/09/2014

CDSL : 30/09/2014



# Mukesh Babu Financial Services Ltd.

Annex Lock i Shares



Mukesh Babu Financial Services Limited				
(d) STATEMENT SHOWING DETAILS OF LOCKED - IN SHARES				
Sr.No.	NAME OF SH	NUMBER OF I	LOCKED-IN S	Promotor/PromotorGroup/Public
	NIL	NIL	NIL	NIL
<b>Total :</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
GENERATED ON : 30/09/2014		NSDL : 30/09/2014		CDSL : 30/09/2014

OF DEPOSITORY RECEIPTS (DRs), WHERE UNDERLYING SHARES ARE IN EXCESS OF 1				
Sr.No.	OF THE DR H	ONDING DR (A)	DF SHARES UN	RES UNDERLYING OUTSTANDING
OF TOTAL NUMBER OF SHARES (i.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT				
	NIL	NIL	NIL	NIL
<b>Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
GENERATED ON : 30/09/2014		NSDL : 30/09/2014		CDSL : 30/09/2014

STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS				
Sr.No.	OF THE DR H	UNDERLYING	UNDERLYING	OUTSTANDING
SHARES (i.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (1)(a) ABOVE }				
	NIL	NIL	NIL	NIL
<b>Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
GENERATED ON : 30/09/2014		NSDL : 30/09/2014		