

## I(a) Statement Shareholding Pattern

| Name of the Company : Maxwell Industries Limited  |                               |   |  |
|---|-------------------------------|---|--|
| Script Code : 532613  |                               | Class of Security : Equity                                |  |
| Quarter Ended : 31st March, 2014  |                               |   |  |
| Partly Paid-up shares   | No. of Partly Paid-up shares  | As a % of total no. of Partly paid-up shares              | As a % of total no. of shares of the Company   |
| Held by promoter/Promoter group   | 0                             | 0   | 0  |
| Held by public  | 0                             | 0   | 0  |
| Total   | 0                             | 0   | 0  |
| Outstanding convertible securities  | No. of Outstanding securities | As a % of total No. of Outstanding convertible securities | As a % of total No. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/Promoter group   | 0                             | 0   | 0  |
| Held by Public  | 0                             | 0   | 0  |
| Total   | 0                             | 0   | 0  |
| Warrants  | No. of Warrants               | As a % of total no. of warrants                           | As a % of total No. of shares of the company, assuming full conversion of the convertible Warrants   |
| Held by promoter/Promoter group   | 0                             | 0   | 0  |
| Held by Public  | 0                             | 0   | 0  |
| Total   | 0                             | 0   | 0  |
| Total paid-up capital of the company, assuming full conversion of warrants and convertible securities | 63077215                      |   |  |



## (I) (a) Statement showing Shareholding Pattern

| Category code | Category of Shareholder  | Number of Shareholders | Total number of Shares | Number of Shares held in dematerialized form | Total Shareholding as a percentage of total number of shares |                                  | Shares pledged (or otherwise encumbered) |  |
|---------------|--|------------------------|------------------------|--|--|----------------------------------|--|--|
|               |  |                        |                        |  | As a percentage of (A+B) (VI)                                | As a percentage of (A+B+C) (VII) | Number of shares (VIII)                  | As a percentage (IX) = (VIII)/(IV)*100 |
| (I)           | (II)   | (III)                  | (IV)                   | (V)  | (VI)   | (VII)                            | (VIII)                                   | (IX)                                   |
| <b>(A)</b>    | <b>Promoter and Promoter Group</b>   |                        |                        |  |  |                                  |  |  |
| [1]           | <b>Indian</b>  |                        |                        |  |  |                                  |  |  |
| (a)           | Individual / Hindu Undivided Family  | 14                     | 40097868               | 40097868                                     | 63.57  | 63.57                            | -  | -                                      |
| (b)           | Central Government / State Government(s)                                     | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (c)           | Bodies Corporate   | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (d)           | Financial Institutions / Banks   | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (e)           | Any Other (Specify)  | -                      | -                      | -  | -  | -                                | -  | -                                      |
|               | <b>Sub Total (A) (1)</b>   | <b>14</b>              | <b>40097868</b>        | <b>40097868</b>                              | <b>63.57</b>   | <b>63.57</b>                     | -  | -                                      |
| [2]           | <b>Foreign</b>   |                        |                        |  |  |                                  |  |  |
| (a)           | Individuals (Non-Resident Individuals / Foreign Individuals)                 | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (b)           | Bodies Corporate   | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (c)           | Institutions   | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (d)           | Qualified Foreign Investors  | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (e)           | Any Other (Specify)  | -                      | -                      | -  | -  | -                                | -  | -                                      |
|               | <b>Sub Total (A) (2)</b>   | -                      | -                      | -  | -  | -                                | -  | -                                      |
|               | <b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b> | <b>14</b>              | <b>40097868</b>        | <b>40097868</b>                              | <b>63.57</b>   | <b>63.57</b>                     | -  | -                                      |
| <b>(B)</b>    | <b>Public Shareholding</b>   |                        |                        |  |  |                                  |  |  |
| [1]           | <b>Institutions</b>  |                        |                        |  |  |                                  |  |  |
| (a)           | Mutual Fund / UTI  | 1                      | 875                    | 875  | 0.00   | 0.00                             | -  | -                                      |
| (b)           | Financial Institutions / Banks   | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (c)           | Central Government / State Government(s)                                     | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (d)           | Venture Capital Funds  | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (e)           | Insurance Companies  | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (f)           | Foreign Institutional Investors  | 1                      | 212973                 | 212973                                       | 0.34   | 0.34                             | -  | -                                      |
| (g)           | Foreign Venture Capital Investors  | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (h)           | Qualified Foreign Investor   | -                      | -                      | -  | -  | -                                | -  | -                                      |
| (i)           | Any Other (Specify)  | -                      | -                      | -  | -  | -                                | -  | -                                      |
|               | <b>Sub Total (B) (1)</b>   | <b>2</b>               | <b>213848</b>          | <b>213848</b>                                | <b>0.34</b>  | <b>0.34</b>                      | -  | -                                      |



|         |  |              |                 |                 |              |              |   |   |   |
|---------|--|--------------|-----------------|-----------------|--------------|--------------|---|---|---|
| [2]     | <b>Non-Institutions</b>  |              |                 |                 |              |              |   |   |   |
| (a)     | Bodies Corporate   | 280          | 11783478        | 11397170        | 18.68        | 18.68        | - | - | - |
| (b) (i) | Individual Shareholders Holding Nominal Share Capital Upto Rs. 1 Lakh            | 9908         | 8932498         | 7755521         | 14.16        | 14.16        | - | - | - |
| (ii)    | Individual Shareholders Holding Nominal Share Capital In Excess of Rs. 1 Lakh    | 16           | 1500057         | 1158807         | 2.38         | 2.38         | - | - | - |
| (c)     | Qualified Fore. Investor-Corporate   | -            | -               | -               | -            | -            | - | - | - |
| (d)     | Any Other  | -            | -               | -               | -            | -            | - | - | - |
| i       | Non Resident Indians (Repat)   | 61           | 177190          | 168740          | 0.28         | 0.28         | - | - | - |
| ii      | Non Resident Indians (Non Repat)   | 22           | 181227          | 181227          | 0.29         | 0.29         | - | - | - |
| iii     | Foreign Companies  | -            | -               | -               | -            | -            | - | - | - |
| iv      | Clearing Members   | 69           | 190249          | 190249          | 0.30         | 0.30         | - | - | - |
| v       | Directors / Relatives  | -            | -               | -               | -            | -            | - | - | - |
| vi      | Trusts   | 1            | 500             | 500             | 0.00         | 0.00         | - | - | - |
|         | <b>Sub Total (B) (2)</b>   | <b>10360</b> | <b>22765499</b> | <b>20852214</b> | <b>36.09</b> | <b>36.09</b> | - | - | - |
|         | <b>Total Public Shareholding Public Group (B)=(B)(1)+(B)(2)</b>                  | <b>10362</b> | <b>22979347</b> | <b>21066062</b> | <b>36.43</b> | <b>36.43</b> | - | - | - |
|         | <b>Total (A)+(B)</b>   | <b>10376</b> | <b>63077215</b> | <b>61163930</b> | <b>100</b>   | <b>100</b>   | - | - | - |
| (C)     | Shares held by custodians and against which Depository Receipts have been issued |              |                 |                 |              |              |   |   |   |
| i       | Promoter and Promoter group  | -            | -               | -               | -            | -            | - | - | - |
| ii      | Public   | -            | -               | -               | -            | -            | - | - | - |
|         | <b>Sub Total (C)</b>   | -            | -               | -               | -            | -            | - | - | - |
|         | <b>Grand Total (A)+(B)+(C)</b>   | <b>10376</b> | <b>63077215</b> | <b>61163930</b> | <b>100</b>   | <b>100</b>   | - | - | - |



(I)(b) Group Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter

| Sr. No. | Name of the shareholder                | Details of Shares held |                                   | Encumbered shares |                                   |  | Details of warrants     |   | Details of convertible securities     |   | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share of capital |
|---------|--|------------------------|-----------------------------------|-------------------|-----------------------------------|--|-------------------------|---|---------------------------------------|---|---|
|         |  | Number of shares held  | As a % of grand total (A)+(B)+(C) | No.               | As a percentage (VI)=(V)/(II)*100 | As a % of grand total (A)+(B)+(C) of sub-clause (f)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class |   |
| (I)     | (II)                                   | (III)                  | (IV)                              | (V)               | (VI)                              | (VII)  | (VIII)                  | (IX)  | (X)                                   | (XI)  | (XII)   |
| 1       | Sunil Jaykumar Pathare (ABPP0138L)     | 16107425               | 25.54                             | 0                 | 0.00                              | 0.00   | 0                       | 0.00  | 0                                     | 0.00  | 25.54   |
| 2       | Kapil Jaykumar Pathare (AAWPP8790N)    | 11684465               | 18.52                             | 0                 | 0.00                              | 0.00   | 0                       | 0.00  | 0                                     | 0.00  | 18.52   |
| 3       | Jaykumar Khanderao Pathare (AASP1092P) | 5424125                | 8.60                              | 0                 | 0.00                              | 0.00   | 0                       | 0.00  | 0                                     | 0.00  | 8.60  |
| 4       | Lalita Jaykumar Pathare (AAHPP5820C)   | 5002812                | 7.93                              | 0                 | 0.00                              | 0.00   | 0                       | 0.00  | 0                                     | 0.00  | 7.93  |
| 5       | Jaykumar K Pathare - HUF (AABHJ9903N)  | 1262166                | 2.00                              | 0                 | 0.00                              | 0.00   | 0                       | 0.00  | 0                                     | 0.00  | 2.00  |
| 6       | Heena S Pathare (AISPP2887R)           | 612500                 | 0.97                              | 0                 | 0.00                              | 0.00   | 0                       | 0.00  | 0                                     | 0.00  | 0.97  |
| 7       | Ashwini Kapil Pathare (ADUPR6756R)     | 4375                   | 0.01                              | 0                 | 0.00                              | 0.00   | 0                       | 0.00  | 0                                     | 0.00  | 0.01  |
|         | <b>TOTAL</b>                           | <b>40097868</b>        | <b>63.57</b>                      | <b>0</b>          | <b>0.00</b>                       | <b>0.00</b>  | <b>0</b>                | <b>0.00</b>                                       | <b>0</b>                              | <b>0.00</b>   | <b>63.57</b>  |



Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category (I)(c)(i) "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder               | Number of shares held | Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] | Details of warrants     |   | Details of convertible securities     |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---------------------------------------|-----------------------|--|-------------------------|---|---------------------------------------|--|--|
|         |                                       |                       |  | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |  |
| 1       | Reliance Capital Limited (AAIFR9553P) | 9177215               | 14.55  | 0                       | 0.00  | 0                                     | 0.00   | 14.55  |
| TOTAL   |                                       | 9177215               | 14.55  | 0                       | 0.00  | 0                                     | 0.00   | 14.55  |

Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) (I)(c)(ii) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] | Details of warrants |   | Details of convertible securities     |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---|------------------|--|---------------------|---|---------------------------------------|--|--|
|         |   |                  |  | Number of warrants  | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |  |
| 1       | Reliance Capital Limited (AAIFR9553P)   | 9177215          | 14.55  | 0                   | 0.00  | 0                                     | 0.00   | 14.55  |
| TOTAL   |   | 9177215          | 14.55  | 0                   | 0.00  | 0                                     | 0.00   | 14.55  |



(I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | Category of shareholder (Promoters/public) | Number of Locked in Shares | Locked-in shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] |
|---------|-------------------------|--|----------------------------|--|
| 1       |                         |  | 0                          | 0.00   |
| TOTAL   |                         |  | 0                          | 0  |

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] |
|---------|---|---------------------------|---|---|
| 1       | NIL   | NIL                       | NIL   | NIL   |
| TOTAL   |   | NIL                       | NIL   | NIL   |

(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by

| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] |
|---------|-----------------------|---|---|---|
| 1       | NIL                   | NIL   | NIL   | NIL   |
| TOTAL   |                       | NIL   | NIL   | NIL   |

