



**Lumax Automotive Systems Limited**

CIN: 57200018991100910

Bombay Stock Exchange Ltd.  
Department of Corporate Services  
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QR: 4:2014-13 09/04/2015

**CLAUSE 35**

**(U(a) Statement Showing Shareholding Pattern**

|   |                                      |  |   |
|---|--------------------------------------|--|---|
| Name of the Company: Lumax Automotive Systems Ltd.  |                                      |  |   |
| Scrip Code, Name of the scrip: 532537 (BSE)/ lumaxauto (NSE)  |                                      |  |   |
| Class of Security: Equity Shares  |                                      |  |   |
| Quarter ended: 31 <sup>st</sup> March 2015  |                                      |  |   |
| <b>Partly paid-up shares:-</b>  | <b>No. of partly paid-up shares</b>  | <b>As a % of total no. of partly paid-up shares</b>              | <b>As a % of total no. of shares of the company</b>   |
| Held by promoter/promoters group  | NIL                                  |  |   |
| Held by public  | NIL                                  |  |   |
| <b>Total</b>  |                                      |  |   |
| <b>Outstanding convertible securities:-</b>   | <b>No. of outstanding securities</b> | <b>As a % of total No. of outstanding convertible securities</b> | <b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b> |
| Held by promoter/promoter group   | NIL                                  |  |   |
| Held by public  | NIL                                  |  |   |
| <b>Total</b>  |                                      |  |   |
| <b>Warrants:-</b>   | <b>No. of warrants</b>               | <b>As a % of total no. of warrants</b>                           | <b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>                   |
| Held by promoter/ promoter group  | NIL                                  |  |   |
| Held by public  | NIL                                  |  |   |
| <b>Total</b>  |                                      |  |   |
| <b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b> | <b>NIL</b>                           |  |   |

| Category code | Category of Shareholder                            | No. of Shareholders | Total no. of shares | No. of shares held in dematerialized form | Total shareholding as a % of total no. of shares |                   | Shares Pledged or otherwise encumbered |              |
|---------------|--|---------------------|---------------------|---|--|-------------------|--|--------------|
|               |  |                     |                     |   | As a % of (A+B)                                  | As a % of (A+B+C) | No. of shares                          | As a %       |
| (A)           | <b>Shareholding of Promoter and Promoter Group</b> |                     |                     |   |  |                   |  |              |
| 1             | <b>Indian</b>                                      |                     |                     |   |  |                   |  |              |
| (a)           | Individuals/ Hindu Undivided Family                | 9                   | 5022799             | 5022799                                   | 67.84  | 67.84             | 2507000                                | 49.91        |
| (b)           | Central Government/ State Government(s)            | 0                   | 0                   | 0   | 0.00   | 0.00              | 0                                      | 0.00         |
| (c)           | Bodies Corporate                                   | 1                   | 315000              | 315000                                    | 4.25   | 4.25              | 0                                      | 0.00         |
| (d)           | Financial Institutions/ Banks                      | 0                   | 0                   | 0   | 0.00   | 0.00              | 0                                      | 0.00         |
| (e)           | Any Others(Specify)                                | 0                   | 0                   | 0   | 0.00   | 0.00              | 0                                      | 0.00         |
|               | <b>Sub Total(A)(1)</b>                             | <b>10</b>           | <b>5337799</b>      | <b>5337799</b>                            | <b>72.09</b>                                     | <b>72.09</b>      | <b>2507000</b>                         | <b>46.97</b> |

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|            |  |              |                |                |               |               |                |              |
|------------|--|--------------|----------------|----------------|---------------|---------------|----------------|--------------|
| <b>2</b>   | <b>Foreign</b>   |              |                |                |               |               |                |              |
| <b>a</b>   | Individuals (Non-Residents<br>Individuals/(Foreign Individuals))                             | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0.00         |
| <b>b</b>   | Bodies Corporate   | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0.00         |
| <b>c</b>   | Institutions   | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0.00         |
| <b>d</b>   | Qualified Foreign Investors  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0.00         |
| <b>e</b>   | Any Others(Specify)  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0.00         |
|            | <b>Sub Total(A)(2)</b>   | <b>0</b>     | <b>0</b>       | <b>0</b>       | <b>0.00</b>   | <b>0.00</b>   | <b>0</b>       | <b>0.00</b>  |
|            | <b>Total Shareholding of Promoter and<br/>Promoter Group (A)= (A)(1)+(A)(2)</b>              | <b>10</b>    | <b>5337799</b> | <b>5337799</b> | <b>72.09</b>  | <b>72.09</b>  | <b>2507000</b> | <b>46.97</b> |
| <b>(B)</b> | <b>Public shareholding</b>   |              |                |                |               |               |                |              |
| <b>I</b>   | <b>Institutions</b>  |              |                |                |               |               |                |              |
| (a)        | Mutual Funds/ UTI  | 3            | 1040           | 690            | 0.01          | 0.01          | 0              | 0            |
| (b)        | Financial Institutions/ Banks  | 7            | 460            | 125            | 0.01          | 0.01          | 0              | 0            |
| (c)        | Central Government/ State<br>Government(s)   | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (d)        | Venture Capital Funds  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (e)        | Insurance Companies  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (f)        | Foreign Institutional Investors  | 2            | 110            | 0              | 0.00          | 0.00          | 0              | 0            |
| (g)        | Foreign Venture Capital Investors  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (h)        | Qualified Foreign Investors  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (i)        | Any Other (specify)  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
|            | <b>Sub-Total (B)(1)</b>  | <b>12</b>    | <b>1610</b>    | <b>815</b>     | <b>0.02</b>   | <b>0.02</b>   | <b>0</b>       | <b>0</b>     |
| <b>B 2</b> | <b>Non-institutions</b>  |              |                |                |               |               |                |              |
| (a)        | Bodies Corporate   | 223          | 431548         | 428306         | 5.83          | 5.83          | 0              | 0            |
| (b)        | Individuals  |              |                |                |               |               |                |              |
| <b>I</b>   | Individuals -i. Individual shareholders<br>holding nominal share capital up to<br>Rs. 1 lakh | 14084        | 1188990        | 1033478        | 16.06         | 16.06         | 0              | 0            |
| <b>II</b>  | ii. Individual shareholders holding<br>nominal share capital in excess of<br>Rs. 1 lakh.     | 8            | 376627         | 251627         | 5.09          | 5.09          | 0              | 0            |
| (c)        | Qualified Foreign Investors  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (d)        | Any Other (NRI)  | 42           | 23048          | 23048          | 0.31          | 0.31          | 0              | 0            |
| (d-i)      | Clearing House/Public Trusts   | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (d-ii)     | Corporate Bodies-OCB   | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (d-iii)    | Intermediary/other Depository A/c  | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
| (d-iv)     | Hindu Undivided Family   | 142          | 33588          | 33588          | 0.45          | 0.45          | 0              | 0            |
| (d-v)      | Clearing Members   | 23           | 10896          | 10896          | 0.15          | 0.15          | 0              | 0            |
|            | <b>Sub-Total (B)(2)</b>  | <b>14522</b> | <b>2064697</b> | <b>1780943</b> | <b>27.89</b>  | <b>27.89</b>  | <b>0</b>       | <b>0</b>     |
| <b>(B)</b> | <b>Total Public Shareholding<br/>(B)= (B)(1)+(B)(2)</b>                                      | <b>14534</b> | <b>2066307</b> | <b>1781758</b> | <b>27.91</b>  | <b>27.91</b>  | <b>0</b>       | <b>0</b>     |
|            | <b>TOTAL (A)+(B)</b>   | <b>14544</b> | <b>7404106</b> | <b>7119557</b> | <b>100.00</b> | <b>100.00</b> | <b>0</b>       | <b>0</b>     |
| <b>(C)</b> | Shares held by Custodians and<br>against which Depository Receipts<br>have been issued       | 0            | 0              | 0              | 0.00          | 0.00          | 0              | 0            |
|            | <b>GRAND TOTAL (A)+(B)+(C)</b>   | <b>14544</b> | <b>7404106</b> | <b>7119557</b> | <b>100.00</b> | <b>100.00</b> | <b>2507000</b> | <b>33.86</b> |

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(f)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| S. No. | Name of the shareholder                                  | Total shares held |                                   | Shares pledged or otherwise encumbered |              |  | Details of warrants  |          | Details of convertible securities  |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------|--|-------------------|-----------------------------------|--|--------------|--|----------------------|----------|------------------------------------|--|--|
|        |  | Number of shares  | As a % of grand total (A)+(B)+(C) | No.                                    | As a %       | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) | No. of warrants held | As a %   | No. of convertible securities held | As a % of convertible securities of the same class |  |
| 1      | SANTOSH JAIN   | 20000             | 0.27                              | 0                                      | 0            | 0.00   | 0                    | 0        | 0                                  | 0  | 0  |
| 2      | U. K. JAIN   | 3523704           | 47.59                             | 2057000                                | 38.74        | 27.78  | 0                    | 0        | 0                                  | 0  | 0  |
| 3      | NITIN JAIN   | 359699            | 4.86                              | 250000                                 | 4.67         | 3.37   | 0                    | 0        | 0                                  | 0  | 0  |
| 4      | LUMAX ANCILLARY LIMITED (FORMERLY DEEPAK AUTO PVT. LTD.) | 315000            | 4.25                              | 0                                      | 0            | 0.00   | 0                    | 0        | 0                                  | 0  | 0  |
| 5      | S. C. JAIN & SONS (HUF)                                  | 282640            | 3.82                              | 0                                      | 0            | 0.00   | 0                    | 0        | 0                                  | 0  | 0  |
| 6      | MILAN JAIN   | 269660            | 3.64                              | 200000                                 | 3.73         | 2.70   | 0                    | 0        | 0                                  | 0  | 0  |
| 7      | KAMLESH JAIN   | 266508            | 3.60                              | 0                                      | 0            | 0.00   | 0                    | 0        | 0                                  | 0  | 0  |
| 8      | U. K. JAIN & SONS (HUF)                                  | 251391            | 3.40                              | 0                                      | 0            | 0.00   | 0                    | 0        | 0                                  | 0  | 0  |
| 9      | TUSHINA JAIN   | 47947             | 0.65                              | 0                                      | 0            | 0.00   | 0                    | 0        | 0                                  | 0  | 0  |
| 10     | MANJU GOEL   | 1250              | 0.02                              | 0                                      | 0            | 0.00   | 0                    | 0        | 0                                  | 0  | 0  |
|        | <b>TOTAL</b>   | <b>5337799</b>    | <b>72.09</b>                      | <b>2507000</b>                         | <b>46.97</b> | <b>33.86</b>   | <b>0</b>             | <b>0</b> | <b>0</b>                           | <b>0</b>   | <b>0</b>   |

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| S. No. | Name of the shareholder      | Number of shares held | Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (f)(a) above) | Details of warrants  |   | Details of convertible securities  |   | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------|------------------------------|-----------------------|--|----------------------|---|------------------------------------|---|--|
|        |                              |                       |  | No. of warrants held | As a % of total no. of warrants of the same class | No. of convertible securities held | % w.r.t. convertible securities of the same class |  |
| 1      | VIJAY KUMAR AGARWAL          | 163663                | 2.21   | 0                    | 0   | 0                                  | 0   | 0  |
| 2      | PRAVEEN ELECTRONICS PVT LTD. | 153382                | 2.07   | 0                    | 0   | 0                                  | 0   | 0  |
| 3      | PRABHAT GARG                 | 125000                | 1.69   | 0                    | 0   | 0                                  | 0   | 0  |
|        | <b>TOTAL</b>                 | <b>442045</b>         | <b>5.97</b>  | <b>0</b>             | <b>0</b>  | <b>0</b>                           | <b>0</b>  | <b>0</b>   |

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 5% of the total number of shares

| S. No.       | Name of the shareholder | No. of shares held | Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) | Details of warrants  |  | Details of convertible securities  |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|-------------------------|--------------------|--|----------------------|--|------------------------------------|--|--|
|              |                         |                    |  | No. of warrants held | As a % total no. of warrants of the same class | No. of convertible securities held | % w.r.t. total no. of convertible securities of the same class |  |
| 1            |                         |                    |  |                      |  |                                    |  |  |
| 2            |                         |                    |  |                      |  |                                    |  |  |
| <b>TOTAL</b> |                         |                    |  |                      |  |                                    |  |  |

(I)(d) Statement showing details of locked-in shares

| Sr. No.      | Name of the shareholder | Category | Number of locked-in shares | Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) |
|--------------|-------------------------|----------|----------------------------|--|
| 1            |                         |          |                            |  |
| 2            |                         |          | NIL                        |  |
| <b>TOTAL</b> |                         |          |                            |  |

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No.      | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) |
|--------------|---|---------------------------|---|---|
| 1.           |   |                           |   |   |
| 2.           |   |                           | NIL   |   |
| <b>TOTAL</b> |   |                           |   |   |

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter/promoter group are in excess of 1% of the total number of shares

| Sr. No.      | Name of the DR Holder Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) |
|--------------|---|---|---|
| 1.           |   |   |   |
| 2.           |   | NIL   |   |
| <b>TOTAL</b> |   |   |   |

(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

NOT APPLICABLE. COMPANY ISSUED SHARES ONLY IN ONE CLASS i.e. EQUITY SHARES.

For Lumax Automotive Systems Limited



Monika Gupta  
Company Secretary