

Bombay Stock Exchange Ltd.  
Department of Corporate Services  
1<sup>st</sup> Floor, New Trading Ring,  
Rotunda Building, P.J. Towers,  
Dalal Street, Fort, Mumbai-400001

Corporate Office :  
Plot No. - 99, Udyog Vihar Phase - IV, Gurgaon - 122015  
Phone : +91-124-4551000  
Fax : +91-124-4551017  
E-mail : ho@lumaxauto.com  
Website : www.lumaxauto.com  
CIN: L72900DL1999PLC099103  
Our Ref: LUM/2013/147  
Date: 15/04/2014

**CLAUSE 35**

**(I)(a) Statement Showing Shareholding Pattern**

<b>Name of the Company: Lumax Automotive Systems Ltd.</b>			
<b>Scrip Code, Name of the scrip: 532537 (BSE)/ lumaxauto (NSE)</b>			
<b>Class of Security: Equity Shares</b>			
<b>Quarter ended: 31<sup>st</sup> March 2014</b>			
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoters group	NIL		
Held by public	NIL		
<b>Total</b>			
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total No. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	NIL		
Held by public	NIL		
<b>Total</b>			
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/ promoter group	NIL		
Held by public	NIL		
<b>Total</b>			
<b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b>	<b>NIL</b>		

Category code	Category of Shareholder	No. of Shareholders	Total no. of shares	No. of shares held in dematerialized form	Total shareholding as a % of total no. of shares		Shares Pledged or otherwise encumbered	
					As a % of(A+B) <sup>1</sup>	As a % of (A+B+C)	No. of shares	As a %
(A)	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
1	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	9	5041599	5041599	68.09	68.09	900000	17.85
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	1	315000	315000	4.25	4.25	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(1)</b>	<b>10</b>	<b>5356599</b>	<b>5356599</b>	<b>72.35</b>	<b>72.35</b>	<b>900000</b>	<b>16.80</b>

Regd. Office • 63-64, Gokhale Market, Delhi - 110054 (INDIA) • Ph. : +91-11-23984652; 23915364 • Fax : +91-11-23916350  
 Factories • Plot No. 78, Sector-6, Faridabad (INDIA) • Ph. : (0129) 4088951 • Fax : (0129) 2241930  
 • C-10, M.I.D.C. Industrial Area, Waluj, Aurangabad (INDIA) • Ph. : (0240) 2564439, 2554107 • Fax : (0240) 2554832  
 • D-2/44, M.I.D.C. Industrial Area, Chinchwad, Pune (INDIA) • Ph. : (020) 27474362, 27450239 • Fax : (020) 27471998  
 • 10, I.P. Estate, 12/2, Mathura Road, Faridabad (INDIA) • Ph. : (0129) 4280800 • Fax : (0129) 4280802  
 • Plot No. 46, Sector-3, IMT Manesar, Gurgaon (Haryana) • Ph. : (0124) 4784920-921 • Telefax : (0124) 2290258

2	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/(Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investors	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>10</b>	<b>5356599</b>	<b>5356599</b>	<b>72.35</b>	<b>72.35</b>	<b>900000</b>	<b>16.80</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	3	1040	690	0.01	0.01	0	0
(b)	Financial Institutions /Banks	7	460	125	0.01	0.01	0	0
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0
(f)	Foreign Institutional Investors	2	110	0	0.00	0.00	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0
(h)	Qualified Foreign Investors	0	0	0	0.00	0.00	0	0
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0
	<b>Sub-Total (B)(1)</b>	<b>12</b>	<b>1610</b>	<b>815</b>	<b>0.02</b>	<b>0.02</b>	<b>0</b>	<b>0</b>
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	177	589152	585910	7.96	7.96	0	0
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	15534	1073828	910833	14.50	14.50	0	0
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	6	354398	229398	4.79	4.79	0	0
(c)	Qualified Foreign Investors	0	0	0	0.00	0.00	0	0
(d)	Any Other (NRI]	24	3677	3677	0.05	0.05	0	0
(d-i)	Clearing House/Public Trusts	0	0	0	0.00	0.00	0	0
(d-ii)	Corporate Bodies-OCB	0	0	0	0.00	0.00	0	0
(d-iii)	Intermediary/other Depository A/c	0	0	0	0.00	0.00	0	0
(d-iv)	Hindu Undivided Family	137	23519	23519	0.32	0.32	0	0
(d-v)	Clearing Members	10	1323	1323	0.02	0.02	0	0
	<b>Sub-Total (B)(2)</b>	<b>15888</b>	<b>2045897</b>	<b>1754660</b>	<b>27.63</b>	<b>27.63</b>	<b>0</b>	<b>0</b>
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>15900</b>	<b>2047507</b>	<b>1755475</b>	<b>27.65</b>	<b>27.65</b>	<b>0</b>	<b>0</b>
	<b>TOTAL (A)+(B)</b>	<b>15910</b>	<b>7404106</b>	<b>7112074</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0</b>
<b>(C)</b>	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00	0	0
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>15910</b>	<b>7404106</b>	<b>7112074</b>	<b>100.00</b>	<b>100.00</b>	<b>900000</b>	<b>12.16</b>

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

S. No.	Name of the shareholder	Total shares held		Shares pledged or otherwise encumbered			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of grand total (A)+(B)+(C)	No.	As a %	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No. of warrants held	As a % total no. of warrants of the same class	No. of convertible securities held	As a % total no. of convertible securities of the same class	
1	SANTOSH JAIN	20000	0.27	0	0	0.00	0		0	0	0
2	U. K. JAIN	3523704	47.59	450000	8.40	6.07	0		0	0	0
3	NITIN JAIN	359699	4.86	250000	4.67	3.37	0		0	0	0
4	LUMAX ANCILLARY LIMITED (FORMERLY DEEPAK AUTO PVT. LTD.)	315000	4.25	0	0	0.00	0		0	0	0
5	S. C. JAIN & SONS (HUF)	282640	3.82	0	0	0.00	0		0	0	0
6	MILAN JAIN	269660	3.64	200000	3.73	2.70	0		0	0	0
7	KAMLESH JAIN	266508	3.60	0	0	0.00	0		0	0	0
8	U. K. JAIN & SONS (HUF)	251391	3.40	0	0	0.00	0		0	0	0
9	TUSHINA JAIN	47947	0.65	0	0	0.00	0		0	0	0
10	MANJU GOEL	20050	0.27	0	0	0.00	0		0	0	0
<b>TOTAL</b>		<b>5356599</b>	<b>72.35</b>	<b>900000</b>	<b>16.80</b>	<b>12.14</b>	<b>0</b>		<b>0</b>	<b>0</b>	<b>0</b>

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

S. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total no. of warrants of the same class	No. of convertible securities held	% w.r.t. total no. of convertible securities of the same class	
1	VIJAY KUMAR AGARWAL	163663	2.21	0	0	0	0	0
2	PRAVEEN ELECTRONICS PVT LTD.	153382	2.07	0	0	0	0	0
3	PRABHAT GARG	125000	1.69	0	0	0	0	0
4	AMRIT STEELS (P) LTD	173849	2.35	0	0	0	0	0
<b>TOTAL</b>		<b>615894</b>	<b>8.32</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 5% of the total number of shares

S. No.	Name of the shareholder	No. of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total no. of warrants of the same class	No. of convertible securities held	% w.r.t. total no. of convertible securities of the same class	
1								
2								
<b>TOTAL</b>								

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1				
2			NIL	
<b>TOTAL</b>				

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1.				
2.			NIL	
<b>TOTAL</b>				

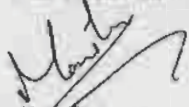
(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter/promoter group are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1.			
2.		NIL	
<b>TOTAL</b>			

(II)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

NOT APPLICABLE. COMPANY ISSUED SHARES ONLY IN ONE CLASS i.e. EQUITY SHARES.

For Lumax Automotive Systems Limited

  
**Monika Gupta**  
 Company Secretary