

**(1)(a) STATEMENT SHOWING SHAREHOLDING PATTERN IN CLAUSE- 35**

Name of the Company :	LUMAX AUTO TECHNOLOGIES LIMITED		
Script Code,Name of the scrip, class of security :	532796/LUMAXTECH		
Quarter ended :	30/06/2014		
Partly paid-up shares :-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Warrants	No. of Warrants	As a % of total no.of Warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants convertible securities	13631541		100.00

Note:- The Company do not have any Partly Paid-up Shares, Outstanding Convertible Securities and Warrants

For LUMAX AUTO TECHNOLOGIES LTD.

  
COMPANY SECRETARY

**STATEMENT SHOWING SHAREHOLDING PATTERN**

<b>Company Name</b>	LUMAX AUTO TECHNOLOGIES LIMITED
<b>As On</b>	30-Jun-14

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	7	5162622	5162622	37.87	37.87	0	0
(b)	Central Government/ State Government(s)	0	0	0	0	0	0	0
(c)	Bodies Corporate	2	2426524	2426524	17.8	17.8	0	0
(d)	Financial Institutions/ Banks	0	0	0	0	0	0	0
(e)	Any Others(Specify)	0	0	0	0	0	0	0
	<b>Sub Total(A)(1)</b>	<b>9</b>	<b>7589146</b>	<b>7589146</b>	<b>55.67</b>	<b>55.67</b>	<b>0</b>	<b>0</b>
<b>2</b>	<b>Foreign</b>							
(a)	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0	0	0	0
(b)	Bodies Corporate	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0
(d)	Qualified Foreign Investor	0	0	0	0	0	0	0
(e)	Any Others(Specify)	0	0	0	0	0	0	0
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>9</b>	<b>7589146</b>	<b>7589146</b>	<b>55.67</b>	<b>55.67</b>	<b>0</b>	<b>0</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>1</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0	0	0	0
(b)	Financial Institutions / Banks	0	0	0	0	0	0	0
(c)	Central Government/ State Government(s)	0	0	0	0	0	0	0
(d)	Venture Capital Funds	0	0	0	0	0	0	0
(e)	Insurance Companies	0	0	0	0	0	0	0
(f)	Foreign Institutional Investors	4	2009503	2009503	14.74	14.74	0	0
(g)	Foreign Venture Capital Investors	0	0	0	0	0	0	0
(h)	Qualified Foreign Investor	0	0	0	0	0	0	0
(i)	Any Other (specify)	0	0	0	0	0	0	0
	<b>Sub-Total (B)(1)</b>	<b>4</b>	<b>2009503</b>	<b>2009503</b>	<b>14.74</b>	<b>14.74</b>	<b>0</b>	<b>0</b>
<b>2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	209	1433462	1433462	10.52	10.52	0	0
(b)	Individuals							
(i)	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	4562	1534195	1534191	11.25	11.25	0	0
(ii)	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	32	833364	833364	6.12	6.12	0	0
(c)	Qualified Foreign Investor	0	0	0	0	0	0	0
(d)	Any Other (specify)							
	Clearing Member	27	6837	6837	0.05	0.05	0	0
	Directors Relatives	0	0	0	0	0	0	0
	NRI Repatriation	88	225034	150824	1.65	1.65	0	0
	<b>Sub-Total (B)(2)</b>	<b>4918</b>	<b>4032892</b>	<b>3958678</b>	<b>29.59</b>	<b>29.59</b>	<b>0</b>	<b>0</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>4922</b>	<b>6042395</b>	<b>5968181</b>	<b>44.33</b>	<b>44.33</b>	<b>0</b>	<b>0</b>
	<b>TOTAL (A)+(B)</b>	<b>4931</b>	<b>13631541</b>	<b>13557327</b>	<b>100</b>	<b>100</b>	<b>0</b>	<b>0</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
C 1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0
C 2	Public	0	0	0	0.00	0.00	0	0
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>4931</b>	<b>13631541</b>	<b>13557327</b>	<b>100</b>	<b>100</b>	<b>0</b>	<b>0</b>

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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category " PROMOTERS AND PROMOTER GROUP "												
Sr.No	Name of the Shareholder	Details of shares held			Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	
1	Lumax Finance Private Limited	2422264	17.77	0	0.00	0.00	0	0.00	0	0.00	17.77	
2	Dhanesh Kumar Jain	1655257	12.14	0	0.00	0.00	0	0.00	0	0.00	12.14	
3	Dhanesh Kumar Jain (HUF)	1180971	8.66	0	0.00	0.00	0	0.00	0	0.00	8.66	
4	Deepak Jain	914652	6.71	0	0.00	0.00	0	0.00	0	0.00	6.71	
5	Amol Jain	862240	6.33	0	0.00	0.00	0	0.00	0	0.00	6.33	
6	Usha Jain	463712	3.40	0	0.00	0.00	0	0.00	0	0.00	3.40	
7	Shivani Jain	45000	0.33	0	0.00	0.00	0	0.00	0	0.00	0.33	
8	Dhanesh Kumar Jain (Family Trust)	40790	0.30	0	0.00	0.00	0	0.00	0	0.00	0.30	
9	Yardhman Agencies Private Limited	4260	0.03	0	0.00	0.00	0	0.00	0	0.00	0.03	
	TOTAL :	7589146	55.67	0		0.00	0	0.00	0	0.00	55.67	

(\* ) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

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(I)(C)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total(A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	Orange Mauritius Investments Limited	675000	4.95	0	0.00	0	0.00	4.95
2	Asia Investment Corporation (Mauritius) Ltd	650000	4.77	0	0.00	0	0.00	4.77
3	Albula Investment Fund Ltd	556627	4.08	0	0.00	0	0.00	4.08
4	Krisma Investments Private Limited	229691	1.69	0	0.00	0	0.00	1.69
5	Anmol Finpro Pvt Limited	190000	1.39	0	0.00	0	0.00	1.39
6	Krisma Investments Pvt. Ltd.	150500	1.10	0	0.00	0	0.00	1.10
7	JNJ Holdings Pvt. Ltd.	150000	1.10	0	0.00	0	0.00	1.10
	<b>TOTAL :</b>	<b>2601818</b>	<b>19.08</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>19.08</b>

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(I)(c)(ii)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company						
SR.NO	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class
1	NIL	0	0.00	0	0.00	0	0.00
	<b>TOTAL :</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>

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(I)(d) Statement showing details of " LOCKED - IN - SHARES "				
SR NO	NAME OF THE SHAREHOLDER	Number of locked- in shares	Locked-in shares as a (%) percentage of total number of shares {Grand Total (A)+(B)+( C) indicated at statement in para (I)(a) above}	Promoter/Promoter Group/Public
	NIL	0	0	0
	TOTAL:	0	0	0

(II)(a) Statement showing details of "DEPOSITORY RECEIPTS (DR's)"				
Sr.No.	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No of Outstanding DRs.	No of Shares underlying outstanding DRs.	Shares underlying outstanding DRs as a Percentage of total number of shares (i.e Total (A)+ (B) + (C) Indicated in statement at para(I) (a) above)
	NIL	0	0	0
	TOTAL :	0	0	0

(II) (b) Statement showing Holding of Depository Receipts (DR's), while underlying shares held by "PROMOTER/PROMOTER GROUP" are in excess of 1% of the total number of shares				
Sr.No.	Name of the DR Holder	Type of Outstanding DR (ADRs,GDRs,S DRs etc.)	No.of Shares underlying outstanding DRs.	Shares underlying outstanding DRs.as a Percentage of total number of shares (i.e Total (A)+(B)+(C) Indicated in Statement at Para (I) (a) above)
	NIL	0	0	0
	TOTAL :	0	0	0

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