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|---|--|--|---|--------------------------|------------------------|--|--|
| DETAILS OF INVESTOR CORPLAINTS RECEIVED DURING THE PERIOD SHIVACY TO \$11/32016 | | | | | | | |
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| DETAILS OF INVESTOR CORPLAINTS RECEIVED DURING THE PERIOD SHIVACY TO \$11/32016 | | | | | | | <u></u> |
| Received from Investors Type I No. of complaints pending as on of 1/10/2016 Received from Investors Type I NIL NIL NIL NIL NIL NIL NIL NIL NIL NI | ···· | | | TATA ELXSI LT | D | | |
| Panding as on or of the said parties Passid parties | | | | | | | and the second |
| Received from Investors | | | | | | Reason for pending | 1 A 4 A 1 |
| Received from Investors | | | | | | to the second second | and the second |
| Type Nil. Nil. | Pacahrad from Investors | | po | une cana period | 0.7.22010 | | |
| Type | | NIL | NIL | NIL | NII | NII | |
| Type Nil. | | | | | | | |
| Type V NIL | | | | | | | |
| Referred by SEB | | | | | | | |
| Type | Type v | NIL | NIL | NIL | NIL | NIL | |
| Type | Referred by SEBI | | | | | | |
| Type III NIL NIL NIL NIL NIL NIL NIL NIL NIL | | NIL | NIL | NIL | NIL | | |
| Type IV NIL | Type II | NIL | NIL | NIL | NIL | | |
| Type IV NIL | Type III | NIL | NIL | NIL | NIL | NIL | |
| Referred by Stock Exchanges Type I NIL NIL NIL NIL NIL NIL NIL NIL NIL N | | NIL | NIL | NIL | NIL | | |
| Type I NIL NIL NIL NIL NIL NIL NIL NIL NIL N | Type V | NIL | NIL | NIL | NIL | NIL | |
| Type I NIL NIL NIL NIL NIL NIL NIL NIL NIL N | Referred by Stock Evolunces | | | - | | | |
| Type II NIL 1 1 NIL | | NIII | A.III | | | | |
| Type III NIL NIL NIL NIL NIL NIL NIL NIL NIL | | | | | | | |
| Type IV NIL 1 NIL 1 # The complaint has been handled by Company directly. "Vide our letter no. CT/ 7402239 dated 20.10.16, in May*15, we had informed that survior join holders signature required alongwith notorized copy of death certificate of deceased shareholder and in Aug*15, we still not able to update change of address as the name of the deceased holder on the death certificate and that recorded with us was different. Referred by RBI/Ministry NIL | | | | | | | |
| "Vide our letter no. CT/740239 dated 20.10.16, in May'15, we had informed that survior/ joint holders signature required alongwith notorized copy of death cefficate of deceased shareholder and in Aug'15, we still not able to update change of address as the name of the deceased holder on the death certificate and that recorded with us was different. Referred by RBI/Ministry NIL | | | | | | | |
| Referred by RBI/Ministry NIL | | | | | | required alongwith notorized copy of death deceased shareholder and in Aug'15, we st update change of address as the name of the | cerficate of ill not able to he deceased |
| of Company Affairs Referred by NSDL/CDSL NIL NIL NIL NIL NIL NIL NIL N | Type V | NIL | 1 | NIL | 1 | | idea willi as |
| of Company Affairs Referred by NSDL/CDSL NIL NIL NIL NIL NIL NIL NIL N | Referred by RBI/Ministry | NIL | NIL | NII | NII | | |
| Referred by other Government NIL | | | | | 1112 | NIL | |
| Referred by other Government NIL | | | | | | | |
| Total NIL 3 1 2 2 tails of complaints 1 complaint received from Narinder Kaur through SCORES regarding change of address is pending.* 1 complaint received from S Meenakshithrough SCORES regarding non-receipt of dividend electronically is resolved. 1 complaint received from Biswanath Bhattacharjee through SCORES regarding non-receipt of redemption amount is pending.# complaint received from NSDL / CDSL/ MOCA in the above mentioned period. PE I : Non-receipt of Refund Order / Allotment Letters etc. PE II : Non-receipt of dividend on shares issue/dematerialisation of shares, Loss of shares PE III : Non-receipt of share certificates after transfer / exchange / consolidation / splitting / transmission / conversion / endorsement/ public issue/dematerialisation of shares, Loss of shares PE IV : Non-receipt of interest / redemption amount on debentures, Non-receipt of debenture certificates after transfer / exchange / consolidation / splitting / transmission / non-receipt of debentures etc. PE V : Non-receipt of Annual reports / Rights offer forms / Non-registration of change of address / | Referred by NSDL/CDSL | NIL | NIL | NIL | NIL | NIL | |
| tails of complaints 1 complaint received from Narinder Kaur through SCORES regarding change of address is pending.* 1 complaint received from S Meenakshithrough SCORES regarding non-receipt of dividend electronically is resolved. 1 complaint received from Biswanath Bhattacharjee through SCORES regarding non-receipt of redemption amount is pending.# 1 complaint received from NSDL / CDSL/ MOCA in the above mentioned period. PE I : Non-receipt of Refund Order / Allotment Letters etc. PE II : Non-receipt of dividend on shares issue/dematerialisation of shares, Loss of shares PE III : Non-receipt of share certificates after transfer / exchange / consolidation / splitting / transmission / conversion / endorsement/ public issue/dematerialisation of shares, Loss of shares PE IV : Non-receipt of interest / redemption amount on debentures, Non-receipt of debenture certificates after transfer / exchange / consolidation / splitting / transmission / endorsement, Interest on delayed payment / Redemption amount on debentures etc. PE V : Non-receipt of Annual reports / Rights offer forms / Non-registration of change of address / | | NIL | NIL | NIL | NIL | NIL | |
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| PE I : Non-receipt of Refund Order / Allotment Letters etc. PE II : Non-receipt of dividend on shares issue/dematerialisation of shares, Loss of shares PE III : Non-receipt of share certificates after transfer / exchange / consolidation / splitting / transmission / conversion / endorsement/ public issue/dematerialisation of shares, Loss of shares PE IV : Non-receipt of interest / redemption amount on debentures, Non-receipt of debenture certificates after transfer / exchange / consolidation / splitting / transmission / endorsement, Interest on delayed payment / Redemption amount on debentures etc. PE V : Non-receipt of Annual reports / Rights offer forms / Non-registration of change of address / | 1 complaint received from S Meer | nakshithrough SCORE | S regarding non-rece | eipt of dividend elect | ronically is resolved. | pending.# | |
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| PE IV: Non-receipt of interest / redemption amount on debentures, Non-receipt of debenture certificates after transfer / exchange / consolidation / splitting / transmission / endorsement, Interest on delayed payment / Redemption amount on debentures etc. PE V: Non-receipt of Annual reports / Rights offer forms / Non-registration of change of address / | | | | ation / splitting / tran | smission / conversion | n / endorsement/ public | |
| - 2 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 | PE IV: Non-receipt of interest / n consolidation / splitting / tr | edemption amount on ransmission / endorse | debentures, Non-rec ment, Interest on dela | ayed payment / Red | emption amount on o | | |
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