

(I)(a) Statement showing Shareholding Pattern

Name of the Company : Essar Securities Limited					
Scrip Code : 533149		Name of the scrip : Essar Secur		Class of security : Equity Shares	
Quarter ended: 30.09.2014					
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company		
Held by promoter/promoters group	-	N.A.	N.A.		
Held by public	-	N.A.	N.A.		
Total	-	N.A.	N.A.		
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities		
Held by promoter/promoter group	-	N.A.	N.A.		
Held by public	-	N.A.	N.A.		
Total	-	N.A.	N.A.		
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants		
Held by promoter/ promoter group	-	N.A.	N.A.		
Held by public	-	N.A.	N.A.		
Total	-	N.A.	N.A.		
Total paid-up capital of the company assuming full	14287754	-	-		

Category Code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage (IX) = (VIII) / (IV) * 100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Promoters and Promoter Group 2	-	-	-	-	-	-	-
(1)	Indian	-	-	-	-	-	-	-
(a)	Individuals/ Hindu Undivided family	-	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	1	10704557	10704557	74.92	74.92	4286320	40.04
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-
	Sub-Total (A)(1)	1	10704557	10704557	74.92	74.92	4286320	40.04
(2)	Foreign	-	-	-	-	-	-	-
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Any other (Specify)	-	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	1	10704557	10704557	74.92	74.92	4286320	40.04



(B)	Public Shareholding³	-	-	-	-	-	NA	NA
(1)	Institutions	-	-	-	-	-	-	-
(a)	Mutual Funds/ UTI	2	200	0	0.00	0.00	-	-
(b)	Financial Institutions/ Banks	5	2356	120	0.02	0.02	-	-
(c)	Central Government/State Government(s)	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Any other (Specify)	-	-	-	-	-	-	-
	Sub-Total (B)(1)	7	2556	120	0.02	0.02	NA	NA
(2)	Non-Institutions	-	-	-	-	-	-	-
(a)	Bodies Corporate	212	536777	476073	3.76	3.76	-	-
(b)	Individuals -	-	-	-	-	-	-	-
	i. Individual shareholders holding nominal share capital upto Rs.1 lakh.	25627	1844175	1046052	12.91	12.91	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs.1 lakh	17	978797	978797	6.85	6.85	-	-
(c)	Any other (Specify)	-	-	-	-	-	-	-
	Non Resident Individuals	1003	218832	62062	1.53	1.53	-	-
	Non Domestic Company	4	2060	0	0.01	0.01	-	-
	Sub-Total (B)(2)	26863	3580641	2562984	25.06	25.06	-	-
	Total Public Shareholding (B)=(B)(1)+B(2)	26870	3583197	2563104	25.08	25.08	-	-
	TOTAL (A) + (B)	26871	14287754	13267661	100.00	100.00	4286320	30.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	0	0
	GRAND TOTAL (A)+(B)+(C)	26871	14287754	13267661	100.00	100.00	4286320	30.00

¹ For determining public shareholding for the purpose of Clause 40A

² For definitions of "Promoter" and "Promoter Group", refer to Clause 40A

³ For definitions of "Public Shareholding", refer to Clause 40A

NA - Not applicable



(b) for sub-clause (l)(b), the following sub-clause shall be substituted, namely:-

"(l) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholder	Details of shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		Number of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a percentage	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class		
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ESSAR CAPITAL LTD	10704557	74.92	4286320	40.04	30.00	-	-	-	-	74.92
	TOTAL	10704557	74.92	4286320	40.04	30.00	-	-	-	-	74.92

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of SAST Regulations, 2011



(i) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the Shareholder	Number of Shares held	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	HITESH RAMJI JAVERI	463299	3.24	-	-	-	-	-
2	HARSHA HITESH JAVERI	250485	1.75	-	-	-	-	-
3	JUBILEE SHIPPING & LOGISTICS HOLDINGS LIMITED	236500	1.66	-	-	-	-	-
	Total	950284	6.65					



(l) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company

Sr. No.	Name(s) of the Shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of Shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (l)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total convertible securities of the same class	
-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-
-	TOTAL	-	-	-	-	-	-	-



(l) (d) Statement showing details of Locked-in Shares

Sr. No.	Name of the Shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (l)(a) above)
-	-	-	-
-	-	-	-
-	-	-	-
-	TOTAL	-	-

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (I)(a) above)
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-

(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holders	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs, as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (I)(a) above)
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-



(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

(Give description of voting rights for each class of security.)

Class X : Equity Shares

Class Y :

Class Z :

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting rights i.e (VI) 41045552	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoters and Promoter Group	-	-	-	-	-	-
(1)	Indian	-	-	-	-	-	-
(a)	Individuals/ Hindu Undivided family	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-
(c)	Bodies Corporate	10704557	-	-	10704557	74.92	74.92
(d)	Financial Institutions/ Banks	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-
	Sub-Total (A)(1)	10704557	-	-	10704557	74.92	74.92
(2)	Foreign	-	-	-	-	-	-
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-
(d)	Any other (Specify)	-	-	-	-	-	-
	Sub-Total (A)(2)	0	-	-	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	10704557	-	-	10704557	74.92	74.92
(B)	Public Shareholding	-	-	-	-	-	-
(1)	Institutions	-	-	-	-	-	-
(a)	Mutual Funds/ UTI	200	-	-	200	0.00	0.00
(b)	Financial Institutions/ Banks	2356	-	-	2356	0.02	0.02
(c)	Central Government/State Government(s)	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Any other (Specify)	-	-	-	-	-	-
	Sub-Total (B)(1)	2556	-	-	2556	0.02	0.02
(2)	Non-Institutions	-	-	-	-	-	-
(a)	Bodies Corporate	536777	-	-	536777	3.76	3.76
(b)	Individuals -	-	-	-	-	-	-
	i Individual shareholders holding nominal share capital upto Rs.1 lakh.	1844175	-	-	1844181	12.91	12.91
	ii. Individual shareholders holding nominal share capital in excess of Rs.1 lakh	978797	-	-	978791	6.85	6.85
(c)	Any other (Specify)	-	-	-	-	-	-
	Non Resident Individuals	218832	-	-	218832	1.53	1.53
	Non Domestic Company	2060	-	-	2060	0.01	0.01
	Sub-Total (B)(2)	3580641	-	-	3580641	25.06	25.06
	Total Public Shareholding (B)=(B)(1)+B(2)	3583197	-	-	3583197	25.08	25.08
	TOTAL (A) + (B)	14287754	-	-	14287754	100.00	100.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	14287754	-	-	14287754	100.00	100.00

