

## (I)(a) Statement showing Shareholding Pattern

Name of the Company : Essar Securities Limited			
Scrip Code : 533149		Name of the scrip : Essar Secur	
Class of security : Equity Shares			
Quarter ended: 31.03.2015			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoters group	-	N.A.	N.A.
Held by public	-	N.A.	N.A.
<b>Total</b>	-	N.A.	N.A.
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	-	N.A.	N.A.
Held by public	-	N.A.	N.A.
<b>Total</b>	-	N.A.	N.A.
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	-	N.A.	N.A.
Held by public	-	N.A.	N.A.
<b>Total</b>	-	N.A.	N.A.
<b>Total paid-up capital of the company assuming full conversion of warrants and convertible securities</b>	14287754	-	-

Category Code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage (IX) = (VIII) / (IV) * 100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoters and Promoter Group <sup>2</sup>							
(1)	Indian							
(a)	Individuals/ Hindu Undivided family	-	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	1	10704557	10704557	74.92	74.92	4286320	40.04
(d)	Financial institutions/ Banks	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-
	<b>Sub-Total (A)(1)</b>	<b>1</b>	<b>10704557</b>	<b>10704557</b>	<b>74.92</b>	<b>74.92</b>	<b>4286320</b>	<b>40.04</b>
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Any other (Specify)	-	-	-	-	-	-	-
	<b>Sub-Total (A)(2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>1</b>	<b>10704557</b>	<b>10704557</b>	<b>74.92</b>	<b>74.92</b>	<b>4286320</b>	<b>40.04</b>
(B)	Public Shareholding <sup>3</sup>						NA	NA
(1)	Institutions						NA	NA
(a)	Mutual Funds/ UTI	2	200	0	0.00	0.00	-	-
(b)	Financial Institutions/ Banks	5	2356	120	0.02	0.02	-	-
(c)	Central Government/State Government(s)	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Any other (Specify)	-	-	-	-	-	-	-
	<b>Sub-Total (B)(1)</b>	<b>7</b>	<b>2556</b>	<b>120</b>	<b>0.02</b>	<b>0.02</b>	<b>NA</b>	<b>NA</b>
(2)	Non-Institutions							
(a)	Bodies Corporate	206	512018	451394	3.58	3.58	-	-
(b)	Individuals -							
	i. Individual shareholders holding nominal share capital upto Rs. 1 lakh	25327	1840570	1050674	12.88	12.88	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	18	1010698	1010698	7.08	7.08	-	-
(c)	Any other (Specify)							
	Non Resident Individuals	985	215295	60355	1.51	1.51	-	-
	Non Domestic Company	4	2060	0	0.01	0.01	-	-
	<b>Sub-Total (B)(2)</b>	<b>26540</b>	<b>3580641</b>	<b>2573121</b>	<b>25.06</b>	<b>25.06</b>	<b>-</b>	<b>-</b>
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>26547</b>	<b>3583197</b>	<b>2573241</b>	<b>25.08</b>	<b>25.08</b>	<b>-</b>	<b>-</b>
	<b>TOTAL (A) + (B)</b>	<b>26548</b>	<b>14287754</b>	<b>13277798</b>	<b>100.00</b>	<b>100.00</b>	<b>4286320</b>	<b>30.00</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	0	0
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>26548</b>	<b>14287754</b>	<b>13277798</b>	<b>100.00</b>	<b>100.00</b>	<b>4286320</b>	<b>30.00</b>

<sup>1</sup> For determining public shareholding for the purpose of Clause 40A<sup>2</sup> For definitions of "Promoter" and "Promoter Group", refer to Clause 40A<sup>3</sup> For definitions of "Public Shareholding", refer to Clause 40A

NA - Not applicable



**"(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the Shareholder	Details of shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		Number of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a percentage (VI)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants the same class	Number of convertible securities held		As a % of total number of convertible securities of the same class
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ESSAR CAPITAL LTD	10704557	74.92	4286320	40.04	30.00	-	-	-	-	74.92
	<b>TOTAL</b>	<b>10704557</b>	<b>74.92</b>	<b>4286320</b>	<b>40.04</b>	<b>30.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>74.92</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of SAST Regulations, 2011



(I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the Shareholder	Number of Shares held	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	HITESH RAMJI JAVERI	463299	3.24	-	-	-	-	-
2	HARSHA HITESH JAVERI	250485	1.75	-	-	-	-	-
3	JUBILEE SHIPPING & LOGISTICS HOLDINGS LIMITED	236500	1.66	-	-	-	-	-
	<b>TOTAL</b>	<b>950284</b>	<b>6.65</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>





(I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company

Sr. No.	Name(s) of the Shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of Shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-
	TOTAL	-	-	-	-	-	-	-



(I) (d) Statement showing details of Locked-in Shares

Sr. No.	Name of the Shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (I)(a) above)
-	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
	TOTAL	-	-

**(II) (a) Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs,GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (I)(a) above)
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-

**(II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holders	Type of outstanding DR (ADRsm GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs, as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at Para (I)(a) above)
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-



(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

(Give description of voting rights for each class of security.

Class X : Equity Shares

Class Y :

Class Z :

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting rights i.e (VI) 14287754	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	<b>Promoters and Promoter Group</b>						
(1)	<b>Indian</b>						
(a)	Individuals/ Hindu Undivided family	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-
(c)	Bodies Corporate	10704557	-	-	10704557	74.92	74.92
(d)	Financial Institutions/ Banks	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-
	<b>Sub-Total (A)(1)</b>	<b>10704557</b>	<b>-</b>	<b>-</b>	<b>10704557</b>	<b>74.92</b>	<b>74.92</b>
(2)	<b>Foreign</b>						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-
(d)	Any other (Specify)	-	-	-	-	-	-
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>-</b>	<b>-</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>10704557</b>	<b>-</b>	<b>-</b>	<b>10704557</b>	<b>74.92</b>	<b>74.92</b>
(B)	<b>Public Shareholding</b>						
(1)	<b>Institutions</b>						
(a)	Mutual Funds/ UTI	200	-	-	200	0.00	0.00
(b)	Financial Institutions/ Banks	2356	-	-	2356	0.02	0.02
(c)	Central Government/State Government(s)	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Any other (Specify)	-	-	-	-	-	-
	<b>Sub-Total (B)(1)</b>	<b>2556</b>	<b>-</b>	<b>-</b>	<b>2556</b>	<b>0.02</b>	<b>0.02</b>
(2)	<b>Non-Institutions</b>						
(a)	Bodies Corporate	512018	-	-	512081	3.58	3.58
(b)	Individuals -	-	-	-	-	-	-
	i. Individual shareholders holding nominal share capital upto Rs.1 lakh.	1840570	-	-	1840513	12.88	12.88
	ii. Individual shareholders holding nominal share capital in excess of Rs.1 lakh	1010698	-	-	1010692	7.08	7.08
(c)	Any other (Specify)						
	Non Resident Individuals	215295	-	-	215295	1.51	1.51
	Non Domestic Company	2060	-	-	2060	0.01	0.01
	<b>Sub-Total (B)(2)</b>	<b>3580641</b>	<b>-</b>	<b>-</b>	<b>3580641</b>	<b>25.06</b>	<b>25.06</b>
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>3583197</b>	<b>-</b>	<b>-</b>	<b>3583197</b>	<b>25.08</b>	<b>25.08</b>
	<b>TOTAL (A) + (B)</b>	<b>14287754</b>	<b>-</b>	<b>-</b>	<b>14287754</b>	<b>100.00</b>	<b>100.00</b>
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>	-	-	-	-	-	-
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>14287754</b>	<b>-</b>	<b>-</b>	<b>14287754</b>	<b>100.00</b>	<b>100.00</b>

