



Introductory sub-table (I)(a)

Name of the Company: ESS DEE ALUMINIUM LIMITED			
Scrip Code, Name of the scrip, class of security: 532787, ESSDEE			
Quarter ended: 31st March, 2014			
Partly paid-up shares:-			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group		0	0
Held by public		0	0
Total	0	0	0
Outstanding convertible securities:- No. of outstanding securities			
		As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group		0	0
Held by public		0	0
Total	0	0	0
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group		0	0
Held by public		0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities		32047811	100



ESS DEE ALUMINIUM LIMITED CIN: L27200WB2004PLC170941

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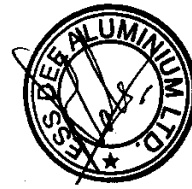
Registered Office : 1, Sagore dutta Ghat Road , Kamarhati, Kolkata -700 058. West Bengal

Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Table (I)(a)			Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
		Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
	1 Indian							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others (Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	0	0	0	0.00	0.00	0	0.00
	2 Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	2	19068867	19068867	59.50	59.50	435000	2.28
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others (Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	2	19068867	19068867	59.50	59.50	435000	2.28
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	2	19068867	19068867	59.50	59.50	435000	2.28
(B)	Public shareholding							
	1 Institutions							
(a)	Mutual Funds/ UTI	1	253	253	0.00	0.00	N.A.	N.A.
(b)	Financial Institutions/ Banks	4	14146	14146	0.04	0.04	N.A.	N.A.
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	N.A.	N.A.
(d)	Venture Capital Funds	0	0	0	0.00	0.00	N.A.	N.A.
(e)	Insurance Companies	0	0	0	0.00	0.00	N.A.	N.A.
(f)	Foreign Institutional Investors	27	7061381	7061381	22.03	22.03	N.A.	N.A.
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	N.A.	N.A.
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A.	N.A.
(i)	Any Other (specify)	0	0	0	0.00	0.00	N.A.	N.A.
	Sub-Total (B)(1)	32	7075780	7075780	22.08	22.08	N.A.	N.A.
	B 2 Non-institutions							
(a)	Bodies Corporate	444	2085629	2085448	6.51	6.51	N.A.	N.A.
(b)	Individuals							
	1							
	i. Individual shareholders holding nominal share capital up to Rs 1 lakh	7298	1209633	1209546	3.77	3.77	N.A.	N.A.
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	59	1974287	1974287	6.16	6.16	N.A.	N.A.
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A.	N.A.
(d)	Any Other (specify)	0	0	0	0.00	0.00	N.A.	N.A.
(d-i)	Trusts	2	4821	4821	0.02	0.02	N.A.	N.A.
(d-ii)	Clearing Members	89	463742	463742	1.45	1.45	N.A.	N.A.
(d-iii)	Director / Relatives	3	2301	2301	0.01	0.01	N.A.	N.A.
(d-iv)	Employee	4	16645	16645	0.05	0.05	N.A.	N.A.
(d-v)	Non-Resident Indians (Repat)	138	146106	146106	0.46	0.46	N.A.	N.A.
	Sub-Total (B)(2)	8037	5903164	5902896	18.42	18.42	N.A.	N.A.
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	8069	12978944	12978676	40.50	40.50	N.A.	N.A.
	TOTAL (A)+(B)	8071	32047811	32047543	100.00	100.00	435000	1.36
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0
	Sub-Total (C)	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	8071	32047811	32047543	100	100.00	435000	



Sr.No	Notes
1	For determining public shareholding for the purpose of Clause 40A
2	For definitions of "Promoter" and "Promoter Group", refer to Clause 40A
3	For definitions of "Public Shareholding", refer to Clause 40A
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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) + (B) + (C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Sudip Bijoy Dutta	18755913	58.52	435000	2.32	1.36	0	0	0	0	0
2	Aarti Dutta	312954	0.98	0	0.00	0.00	0	0	0	0	0
TOTAL		19068867	59.50	435000	2.28	1.36	0	0	0	0	0

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Emerging India Focus Funds	2146465	6.70	0	0	0	0	0
2	Hypnos Fund Limited	1375173	4.29	0	0	0	0	0
3	Orange Mauritius Investments Limited	838427	2.62	0	0	0	0	0
4	Ashoka PTE Limited	756628	2.36	0	0	0	0	0
5	India Opportunities Growth Fund Ltd - Pinewood Strategy	470000	1.47	0	0	0	0	0
	TOTAL	5586693	17.43	0	0	0	0	0



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Emerging India Focus Funds	2146465	6.70	0	0	0	0	0
TOTAL		2146465	6.70	0	0	0	0	0



(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0.00
TOTAL		0	0.00



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL			0.00
TOTAL		0	0	0.00



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL			0.00
TOTAL			0	0.00

