

Introductory sub-table (I)(a)

Name of the Company: ESS DEE ALUMINIUM LIMITED			
Scrip Code, Name of the scrip, class of security: 532787, ESSDEE			
Quarter ended: 30th June, 2014			
<b>Partly paid-up shares:-</b>			
	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group		0	0
Held by public		0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>			
	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group		0	0
Held by public		0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Warrants:-</b>			
	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group		0	0
Held by public		0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>		<b>32047811</b>	<b>100</b>



## Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Number of Shareholders	Table I(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered		
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage	
									(IV)
(I)	(II)	(III)							
(A)	Shareholding of Promoter and Promoter Group <sup>2</sup>								
1	Indian								
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	0	0.00	
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00	
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00	
(e)	Any Others (Specify)	0	0	0	0.00	0.00	0	0.00	
	<b>Sub Total(A)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	
2	Foreign								
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	2	19068867	19068867	59.50	59.50	435000	2.28	
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
c	Institutions	0	0	0	0.00	0.00	0	0.00	
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
e	Any Others (Specify)	0	0	0	0.00	0.00	0	0.00	
	<b>Sub Total(A)(2)</b>	<b>2</b>	<b>19068867</b>	<b>19068867</b>	<b>59.50</b>	<b>59.50</b>	<b>435000</b>	<b>2.28</b>	
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>2</b>	<b>19068867</b>	<b>19068867</b>	<b>59.50</b>	<b>59.50</b>	<b>435000</b>	<b>2.28</b>	
(B)	Public shareholding								
1	Institutions								
(a)	Mutual Funds/ UTI	1	224	224	0.00	0.00	N. A.	N. A.	
(b)	Financial Institutions/ Banks	3	26632	26632	0.08	0.08	N. A.	N. A.	
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	N. A.	N. A.	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	N. A.	N. A.	
(e)	Insurance Companies	0	0	0	0.00	0.00	N. A.	N. A.	
(f)	Foreign Institutional Investors	36	7353490	7353490	22.95	22.95	N. A.	N. A.	
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	N. A.	N. A.	
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	N. A.	N. A.	
(i)	Any Other (specify)	0	0	0	0.00	0.00	N. A.	N. A.	
	<b>Sub-Total (B)(1)</b>	<b>40</b>	<b>7380346</b>	<b>7380346</b>	<b>23.03</b>	<b>23.03</b>	<b>N. A.</b>	<b>N. A.</b>	
B 2	Non-institutions								
(a)	Bodies Corporate	492	2109289	2109108	6.58	6.58	N. A.	N. A.	
(b)	Individuals								
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	7812	1293860	1293773	4.04	4.04	N. A.	N. A.	
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	55	1953039	1953039	6.09	6.09	N. A.	N. A.	
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	N. A.	N. A.	
(d)	Any Other (specify)				0.00	0.00	N. A.	N. A.	
(d-i)	Trusts	2	5001	5001	0.02	0.02	N. A.	N. A.	
(d-ii)	Clearing Members	89	66627	66627	0.21	0.21	N. A.	N. A.	
(d-iii)	Director / Relatives	3	2301	2301	0.01	0.01	N. A.	N. A.	
(d-iv)	Employee	5	16647	16647	0.05	0.05	N. A.	N. A.	
(d-v)	Non-Resident Indians (Repat)	143	151834	151834	0.47	0.47	N. A.	N. A.	
	<b>Sub-Total (B)(2)</b>	<b>8601</b>	<b>5598598</b>	<b>5598330</b>	<b>17.47</b>	<b>17.47</b>	<b>N. A.</b>	<b>N. A.</b>	
(B)	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>8641</b>	<b>12978944</b>	<b>12978676</b>	<b>40.50</b>	<b>40.50</b>	<b>N. A.</b>	<b>N. A.</b>	
	<b>TOTAL (A)+(B)</b>	<b>8643</b>	<b>32047811</b>	<b>32047543</b>	<b>100.00</b>	<b>100.00</b>	<b>435000</b>	<b>1.36</b>	
(C)	Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00	
2	Public	0	0	0	0	0.00	0	0	
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>8643</b>	<b>32047811</b>	<b>32047543</b>	<b>100</b>	<b>100.00</b>	<b>435000</b>		



Sr.No	Notes
1	For determining public shareholding for the purpose of Clause 40A
2	For definitions of "Promoter" and "Promoter Group", refer to Clause 40A
3	For definitions of "Public Shareholding", refer to Clause 40A
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(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (D)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	$(VI) = (V) / (III) * 100$	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Sudip Bijay Dutta	18755913	58.52	435000	2.32	1.36	0	0	0	0	0
2	Aarti Dutta	3:2954	0.98	0	0.00	0.00	0	0	0	0	0
<b>TOTAL</b>		<b>19068867</b>	<b>59.50</b>	<b>435000</b>	<b>2.28</b>	<b>1.36</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
1	Emerging India Focus Funds	2180765	6.80	0	0	0	0	0	0
2	Hynos Fund Limited	1289661	4.02	0	0	0	0	0	0
3	Orange Mauritius Investments Limited	949123	2.96	0	0	0	0	0	0
4	Ashoka PTE Limited	756628	2.36	0	0	0	0	0	0
5	India Opportunities Growth Fund Ltd - Pinewood Strategy	495000	1.54	0	0	0	0	0	0
	<b>TOTAL</b>	5671177	17.70	0	0	0	0	0	0



**(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Emerging India Focus Funds	2180765	6.80	0	0	0	0	0
<b>TOTAL</b>		<b>2180765</b>	<b>6.80</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



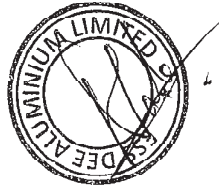
**(D)(d)** Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1	NIL	0	0.00
<b>TOTAL</b>		<b>0</b>	<b>0.00</b>



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL			0.00
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>





**(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares**

Sl. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1	NIL		0	0.00
<b>TOTAL</b>			<b>0</b>	<b>0.00</b>

