

(I)(a)

SHAREHOLDING PATTERN UNDER CLAUSE 35

Introductory sub-table (1)(a)

Name of the Company :Emkay Global Financial Services Ltd		
Scrip Code, Name of the Scrip, class of security : 532737, EMKAY, Equity		
Quarter ended: 30.09.2014		
Partly paid-up shares :-	No. of partly paid up shares	As a % of total no. of partly paid-up shares
Held by promoter / promoter group	NA	NA
Held by public	NA	NA
Total D	NA	NA
Outstanding convertible securities :-	No. of outstanding securities	As a % of total no. of outstanding convertible securities
Held by promoter / promoter group	NA	NA
Held by public	NA	NA
Total E	NA	NA
Warrants:-	No. of warrants	As % of total no. of warrants
Held by promoter / promoter group	NA	NA
Held by public	NA	NA
Total F	NA	NA
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total A+B+C+D+E+F)	24,437,750	



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Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel : +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC084899

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Name of the Company:		EMKAY GLOBAL FINANCIAL SERVICES LIMITED					
Scrip Code: 532737							
Table (I)(a)							
Category code (I)	Category of shareholder(II)	Number of shareholders (III)	Total number of shares (IV)	Total shareholding as a percentage of total number of		Shares pledged or otherwise encumbered	
				As a percentage of (A+B) / (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII/IV)*100
(A)	Shareholding of Promoter and Promoter Group						
-1	Indian						
(a)	Individuals/ Hindu Undivided Family	6	13,747,500	56.26	56.26	-	-
(b)	Central Government/ State Government(s)			-	-	-	-
(c)	Bodies Corporate	1	4,150,000	16.98	16.98	-	-
(d)	Financial Institutions/ Banks			-	-	-	-
(e)	Any Other (specify)			-	-	-	-
	Sub-Total (A)(1)	7	17,897,500	73.24	73.24	-	-
-2	Foreign						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-
(d)	Qualified Foreign Investors	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	7	17,897,500	73.24	73.24	-	-
(B)	Public shareholding						
-1	Institutions						
(a)	Mutual Funds/ UTI	1	200,000	0.82	0.82	-	-
(b)	Financial Institutions/ Banks	-	-	-	-	-	-
(c)	Central Government/ State Government(s)	-	-	-	-	-	-
(d)	Venture Capital Fund	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-
(f)	FIs	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Qualified Foreign Investors	-	-	-	-	-	-
(i)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (B)(1)	1	200,000	0.82	0.82	-	-
-2	Non-institutions						
(a)	Bodies Corporate	144	580,710	2.38	2.38	-	-
(b)	Individuals						
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	5355	1645422	6.73	6.73	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1	54	3240443	13.26	13.26	-	-
(c)	Qualified Foreign Investors						
(d)	Any Other (Specify) i. Directors/Relative						
	2. Clearing Member	30	25,853	0.11	0.11	-	-
	3. Trust	1	754,648	3.09	3.09	-	-
	4. NRIs	32	27,849	0.11	0.11	-	-
	5. NRN	16	65,305	0.27	0.27	-	-
	6. Foreign National	-	-	-	-	-	-
	Sub-Total (B)(2)	5,632	6,340,230	25.95	25.95	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)	5,633	6,540,230	26.77	26.77	-	-



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	TOTAL (A)+(B)	5,640	24,437,730	100.00	100.00	-	-
(C)	Shares held by Custodians and against which Depository Receipts	-	-	-	-	-	-
(1)	Promoter and Promoter Group	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	5,640	24,437,730	100.00	100.00	-	-



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EMKAY GLOBAL FINANCIAL SERVICES LIMITED

(I) (b)		Statement showing Shareholding of persons belonging to the category									
		"Promoter and Promoter Group"									
		Details of Shares Held		Encumbered Shares			Details of Warrants		Details of convertible securities		(including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted shares capital
Sr. No.(I)	Name of the shareholder	Number of shares	As a % of grand total (A)+(B)+(C)	Number of shares	As a Percentage	As a % of Grand Total (A) + (B) + (C) of Sub clause (I)	Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible Securities of the same class	
1	Krishna Kumar Karwa	4,922,500	20.14	-	-	-	-	--	--	--	--
2	Prakash Kacholia	4,750,000	19.44	-	-	-	-	--	--	--	--
3	Emkay Corporate Services Pvt Ltd	4,150,000	16.98	-	-	-	-	--	--	--	--
4	Preeti Kacholia	2,125,000	8.70	-	-	-	-	--	--	--	--
5	Raunak Karwa	1,750,000	7.16	-	-	-	-	--	--	--	--
6	Krishna Kumar Karwa (HUF)	100,000	0.41	-	-	-	-	--	--	--	--
7	Murlidhar Karwa (HUF)	100,000	0.41	-	-	-	-	--	--	--	--
TOTAL		17,897,500	73.24	-	0.00	-	0	--	--	--	--



(I) (C) (i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares								Total Shares (including underlying shares)
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of Warrants		Details of convertible securities		assuming full conversion of warrants and convertible securities as a % diluted shares capital
				Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Rajiv P Shroff	609,462	2.49	-	-	-	-	-
2	Neeraj Batra	750,400	3.07	-	-	-	-	-
3	Emkay Employees Welfare Trust	754,648	3.09	-	-	-	-	-
TOTAL		2,114,510	8.66	-	-	-	-	-

(I) (c) (ii) Statement Showing holding of securities (including shares, warrants, convertible securities) of persons (together to the category "Public" and holding more than 5% of the total number of shares of the Company.

Sr. No.	Name(s) of the shareholder(s) and the persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of Warrants		Details of convertible securities		Total Shares (including underlying shares)
				Number of Warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities as a % diluted shares capital
1		-	-	-	-	-	-	-
2		-	-	-	-	-	-	-
TOTAL		-	-	-	-	-	-	-



(I)(d) Statement showing details of locked-in shares			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
	N I L		
	TOTAL		0.00

(II)(a) Statement showing details of Depository Receipts (DRs)			
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of O/s DRs	Number of shares underlying O/s DRs
1	NIL		
	TOTAL		

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "promoter & promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	NIL			
2				
	TOTAL			

