

(I)(a)

SHAREHOLDING PATTERN UNDER CLAUSE 35

Introductory sub-table (I)(a)

Name of the Company :Emkay Global Financial Services Ltd			
Scrip Code, Name of the Scrip, class of security : 532737, EMKAY, Equity			
Quarter ended: 31.03.2015			
Partly paid-up shares :-	No. of partly paid up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Outstanding convertible securities :-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the securities
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Warrants:-	No. of warrants	As % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of the warrants
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total	NA	NA	NA
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total)	24,437,750		

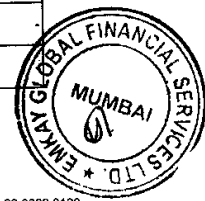


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 Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel : +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC008489

Name of the Company:		EMKAY GLOBAL FINANCIAL SERVICES LIMITED						
Scrip Code: 532737		Quarter ended: 31.03.2015						
Table (I)(a) Category code (I)	Category of shareholder(II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C)(VII)	Number of shares (VIII)	As a percentage (IX) = (VIII/IV)*100
(A)	Shareholding of Promoter and Promoter Group							
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	5	13,747,500	13,747,500	56.26	56.26	-	-
(b)	Central Government/ State Government(s)				-	-	-	-
(c)	Bodies Corporate	1	4,150,000	4,150,000	16.98	16.98	-	-
(d)	Financial Institutions/ Banks				-	-	-	-
(e)	Any Other (specify)				-	-	-	-
	Sub-Total (A)(1)	7	17,897,500	17,897,500	73.24	73.24	-	-
-2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)				-	-	-	-
(b)	Bodies Corporate				-	-	-	-
(c)	Institutions				-	-	-	-
(d)	Qualified Foreign Investors				-	-	-	-
(e)	Any Other (specify)				-	-	-	-
	Sub-Total (A)(2)							
	Total Shareholding of Promoter and Promoter Group (A)=	7	17,897,500	17,897,500	73.24	73.24	-	-
(B)	Public shareholding							
-1	Institutions							
(a)	Mutual Funds/ UTI	1	314,530	314,530	1.29	1.29	-	-
(b)	Financial Institutions/ Banks				-	-	-	-
(c)	Central Government/ State Government(s)				-	-	-	-
(d)	Venture Capital Fund				-	-	-	-
(e)	Insurance Companies				-	-	-	-
(f)	FIs				-	-	-	-
(g)	Foreign Venture Capital Investors				-	-	-	-
(h)	Qualified Foreign Investors				-	-	-	-
(i)	Any Other (specify)				-	-	-	-
	Sub-Total (B)(1)	1	314,530	314,530	1.29	1.29	-	-
-2	Non-institutions							
(a)	Bodies Corporate	122	543,759	533,759	2.23	2.23	-	-
(b)	Individuals							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	4991	1486130	1468750	6.08	6.08	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	48	3334666	3334666	13.65	13.65	-	-
(c)	Qualified Foreign Investors				-	-	-	-
(d)	Any Other (Specify)				-	-	-	-
	1. Directors/Relative							
	2. Clearing Member	30	15,392	15,392	0.06	0.06	-	-



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3. Trust	1	754,648	754,648	3.09	3.09	-	-
4. NRIs	30	26,690	26,690	0.11	0.11	-	-
5. NRN	16	64,435	64,435	0.26	0.26	-	-
6. Foreign National	-	-	-	-	-	-	-
Sub-Total (B)(2)	5,238	6,225,720	6,198,340	25.48	25.48	-	-
Total Public Shareholding (B)= (B)(1)+(B)(2)	5,239	6,540,250	6,512,870	26.77	26.77	-	-
TOTAL (A)+(B)	5,246	24,437,750	24,410,370	100.00	100.00	-	-
(C) Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	-	-
(1) Promoter and Promoter Group	-	-	-	-	-	-	-
(2) Public	-	-	-	-	-	-	-
GRAND TOTAL (A)+(B)+(C)	5,246	24,437,750	24,410,370	100.00	100.00	-	-



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(1) (b)	Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"							Encumbered Shares			Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital
	Details of Shares Held		As a % of grand total (A)+(B)+(C)		Number of shares	As a Percentage	As a % of Grand Total (A)+(B)+(C) of Sub clause (1) (a)	Number of Warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % total convertible Securities of the same class				
Sr. No. (1)	Name of the shareholder	Number of shares	As a % of grand total (A)+(B)+(C)	Number of shares	As a Percentage	As a % of Grand Total (A)+(B)+(C) of Sub clause (1) (a)	Number of Warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % total convertible Securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted shares capital				
1	Krishna Kumar Karwa	4,922,500	20.14	-	-	-	-	-	-	-	-				
2	Prakash Kacholia	4,750,000	19.44	-	-	-	-	-	-	-	-				
3	Emkay Corporate Services Pvt Ltd	4,150,000	16.98	-	-	-	-	-	-	-	-				
4	Preeti Kacholia	2,125,000	8.70	-	-	-	-	-	-	-	-				
5	Raunak Karwa	1,750,000	7.16	-	-	-	-	-	-	-	-				
6	Krishna Kumar Karwa (HUF)	100,000	0.41	-	-	-	-	-	-	-	-				
7	Murlihar Karwa (HUF)	100,000	0.41	-	-	-	-	-	-	-	-				
	TOTAL	17,897,500	73.24	-	-	0.00	0	-	-	-	-				



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(i) (C) (i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares				Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted shares capital	
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of Warrants	
				Number of Warrants held	As a % total number of warrants of the same class
1	Rajiv P Shroff	602,379	2.47		
2	Neeraj Batta	729,846	2.99		
3	Emkay Employees Welfare Trust	754,648	3.09		
4	HDFC Trustee Company Limited	314,530	1.29		
	TOTAL	2,401,403	9.83		

(i) (c) (ii) Statement Showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company.				Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted shares capital	
Sr. No.	Name(s) of the shareholder(s) and the persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of Warrants	
				Number of Warrants	As a % total number of warrants of the same class
1					
2					
	TOTAL				

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Statement showing details of locked-in shares

(I)(d) Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
	N I L		
	TOTAL		0.00

Statement showing details of Depository Receipts (DRs)

(II)(a) Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of O/s DR	Number of shares underlying O/s DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	N I L			
	TOTAL			

(II)(b) **Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "promoter & promoter group" are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1		N I L		
2				
	TOTAL			



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