

(1)(a)

SHAREHOLDING PATTERN UNDER CLAUSE 35

Introductory sub-table (1)(a)

Name of the Company : Emkay Global Financial Services Ltd			
Scrip Code, Name of the Scrip, class of security : 532737, EMKAY, Equity			
Quarter ended: 31.12.2014			
Partly paid-up shares :-	No. of partly paid up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total D	NA	NA	NA
Outstanding convertible securities :-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the securities
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total E	NA	NA	NA
Warrants:-	No. of warrants	As % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of the warrants
Held by promoter / promoter group	NA	NA	NA
Held by public	NA	NA	NA
Total F	NA	NA	NA
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total)	24,437,750		



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Name of the Company:		EMKAY GLOBAL FINANCIAL SERVICES LIMITED						
Scrip Code: 532737		Quarter ended: 31.12.2014						
Table (I)(a) Category code (I)	Category of shareholder(II)	Number of shareholder s (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)(VI)	As a percentage of (A+B+C)(VII)	Number of shares (VIII)	As a percentage (IX) = (VIII/IV)*100
(A)	Shareholding of Promoter and Promoter Group							
-1	Indian							
(a)	Individuals/ Hindu Undivided	6	13,747,500	13,747,500	56.26	56.26	-	-
(b)	Central Government/ State Government(s)				-	-	-	-
(c)	Bodies Corporate	1	4,150,000	4,150,000	16.98	16.98	-	-
(d)	Financial Institutions/ Banks				-	-	-	-
(e)	Any Other (specify)				-	-	-	-
	Sub-Total (A)(1)	7	17,897,500	17,897,500	73.24	73.24	-	-
-2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investors	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)=	7	17,897,500	17,897,500	73.24	73.24	-	-
(B)	Public shareholding							
-1	Institutions							
(a)	Mutual Funds/ UTI	1	314,530	314,530	1.29	1.29	-	-
(b)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(c)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(d)	Venture Capital Fund	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-
(f)	FII's	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Qualified Foreign Investors	-	-	-	-	-	-	-
(i)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (B)(1)	1	314,530	314,530	1.29	1.29	-	-



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-2	Non-institutions				-	-	-	-
(a)	Bodies Corporate	130	622,121	612,121	2.55	2.55	-	-
(b)	Individuals				-	-	-	-
	i. Individual shareholders holding nominal share capital up to Rs. 1	5181	1584908	1567528	6.49	6.49	-	-
	ii. Individual shareholders holding nominal share capital in excess of	49	3143903	3143903	12.86	12.86	-	-
(c)	Qualified Foreign Investors							
(d)	Any Other (Specify)				.	.	.	-
	1. Directors/Relative							
	2. Clearing Member	29	27,995	27,995	0.11	0.11	-	-
	3. Trust	1	754,648	754,648	3.09	3.09	-	-
	4. NRIs	31	26,840	26,840	0.11	0.11	-	-
	5. NRN	16	65,305	65,305	0.27	0.27	-	-
	6. Foreign National	-	-	-	-	-	-	-
	Sub-Total (B)(2)	5,437	6,225,720	6,198,340	25.48	25.48	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)	5,438	6,540,250	6,512,870	26.77	26.77	-	-
	TOTAL (A)+(B)	5,445	24,437,750	24,410,370	100.00	100.00	-	-
(C)	Shares held by Custodians and against which Depository Receipts	-	-	-	-	-	-	-
(1)	Promoter and Promoter Group	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	5,445	24,437,750	24,410,370	100.00	100.00	-	-



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(l) (b)		Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"									
Sr. No.(l)	Name of the shareholder	Details of Shares Held		Encumbered Shares			Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted share capital
		Number of shares	As a % of grand total (A)+(B)+(C)	Number of shares	As a Percentage	As a % of Grand Total (A) + (B)+ (C) of Sub clause (I) (a)	Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible Securities of the same class	
1	Krishna Kumar Karwa	4,922,500	20.14	-	-	-	-	-	-	-	-
2	Prakash Kacholia	4,750,000	19.44	-	-	-	-	-	-	-	-
3	Emkay Corporate Services Pvt Ltd	4,150,000	16.98	-	-	-	-	-	-	-	-
4	Preeti Kacholia	2,125,000	8.70	-	-	-	-	-	-	-	-
5	Raunak Karwa	1,750,000	7.16	-	-	-	-	-	-	-	-
6	Krishna Kumar Karwa (HUF)	100,000	0.41	-	-	-	-	-	-	-	-
7	Murlidhar Karwa (HUF)	100,000	0.41	-	-	-	-	-	-	-	-
TOTAL		17,897,500	73.24	-	0.00	-	0	-	-	-	-



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(D) (C) (i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares				Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted shares capital
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above)	Number of Warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible Securities of the same class	
1	Rajiv P Shroff	569,551	2.33	-	--	--	--	--
2	Neeraj Batra	729,846	2.99	-	--	--	--	--
3	Emkay Employees Welfare Trust	754,648	3.09	-	--	--	--	--
4	HDFC Trustee Company Limited	314,530	1.29	-	--	--	--	--
TOTAL		2,368,575	9.70	-	--	--	--	--

(C) (ii) Statement Showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company.

				Details of Warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted shares capital
Sr. No.	Name(s) of the shareholder(s) and the persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above)	Number of Warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible Securities of the same class	
1		-	-	-	--	--	--	--
2		-	-	-	--	--	--	--
TOTAL		-	-	-	--	--	--	--



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(I)(d) Statement showing details of locked-in shares			
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
	N I L		
TOTAL			0.00

(II)(a) Statement showing details of Depository Receipts (DRs)				
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of O/s DRs	Number of shares underlying O/s DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	NIL			
TOTAL				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by "promoter & promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1		NIL		
2				
TOTAL				

