

**SHAREHOLDING PATTERN OF EDELWEISS FINANCIAL SERVICES LIMITED FOR QUARTER ENDED SEPTEMBER 30, 2014**

(1)(a) Statement showing Shareholding Pattern  
 Name of the Company : Edelweiss Financial Services Limited  
 Scrip Code, Name of the scrip, class of security: ESE - 532922, NSE - EDELWEISS, Edelweiss Financial Services Limited, Equity Shares  
 Quarter ended: September 30, 2014

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held dematerialized form	Total shareholding as a percentage of total number of shares	Shares Pledged or otherwise encumbered	
						Number of shares	As a percentage of (VIII)/(VII)*100
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
(A)	Shareholding of Promoter and Promoter Group						
1	Indian						
(a)	Individuals/ Hindu Undivided Family	8	242,765,690	242,765,690	31.22	31.22	27,683,000
(b)	Central Government/ State Government(s)						
(c)	Bodies Corporate	1	41,950,000	41,950,000	5.38	5.38	NIL
(d)	Financial Institutions/Banks						
(e)	Any Other (specify)						
2	Sub-Total (AX1)	9	284,715,690	284,715,690	36.61	36.61	27,683,000
	Foreign						
(a)	Individuals (Non-Resident individuals)/Foreign Individuals	1	1,000,000	1,000,000	0.13	0.13	NIL
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Qualified Foreign Investors						
(e)	Any Other (specify)						
	Sub-Total (AX2)	1	1,000,000	1,000,000	0.13	0.13	NIL
	Total Shareholding of Promoter and Promoter Group (A) = (AX1)+(AX2)	10	285,715,690	285,715,690	36.74	36.74	27,683,000
(B)	Public shareholding						
1	Institutions						
(a)	Mutual Funds/UTI	10	11,577,840	11,577,840	1.49	1.49	
(b)	Financial Institutions/Banks	5	113,910	113,910	0.01	0.01	
(c)	Central Government/ State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors	42	175,829,728	175,829,728	22.61	22.61	
(g)	Foreign Venture Capital Investors						
(h)	Qualified Foreign Investors	1	200,000	200,000	0.03	0.03	
(i)	Any Other (specify)						
	Sub-Total (BX1)	58	187,721,278	187,721,278	24.14	24.14	N.A.



2	Non-Institutions																					
(a)	Bodies Corporate	828	19,953,183	19,953,183																		
(b) (i)	Individual shareholders holding nominal share capital up to Rs. 1 Lakh.	128,888	37,490,972	37,395,762																		
(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	69	98,232,399	98,232,399																		
(c)	Qualified Foreign investors																					
(d)	Any Other (specify):																					
(i)	Clearing Member	316	2,127,093	2,127,093																		
(ii)	Non Resident Indians	551	15,760,411	15,205,411																		
(iii)	Non Resident Indians (Non Repatriable)	211	734,851	734,851																		
(iv)	Foreign Companies	5	78,988,830	78,732,830																		
(v)	Independent Directors	3	8,097,180	8,097,180																		
(vi)	Trusts	9	44,898,601	44,898,601																		
	Sub-Total (B)(2)	130,980	304,183,529	303,347,310																		
	Total Public Shareholding (B) = (B)(1)+(B)(2)	131,038	491,904,798	491,068,589																		
(C)	Total (A) + (B)	131,048	777,620,488	776,784,278																		3.56
	Shares held by custodians and against which Depository Receipts have been issued																					
1	Promoter and Promoter Group																					
2	Public																					
	<b>GRAND TOTAL (A)+(B)+(C)</b>	131,048	777,620,488	776,784,278																		3.56



**Edelweiss Financial Services Limited**

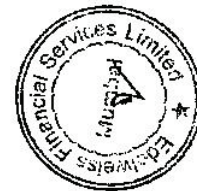
Corporate Identity Number : L99999MH1995PLC094641

Registered Office : Edelweiss House, Off CST Road, Kalina, Mumbai 400098 ☎+91 22 4009 4400 ☎+91 22 4019 4890 [www.edelweissfin.com](http://www.edelweissfin.com)

(f) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group."

Sr. No. (i)	Name of Shareholder (ii)	Details of shares held		Encumbered shares			Details of Warrant		Details of convertible securities		Total shares (including under lying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		No. of shares held (iii)	As a % of grand total (A)+(B)+(C) (iv)	No. (v)	As a percentage (vi) (V)/(iii)*100	As a % of grand total (A)+(B)+(C) of sub-clause (i)(a) (vii)	Number of warrants (viii)	As a % total number of warrants of the same class (ix)	Number of convertible securities held (x)	As a % total number of convertible securities of the same class (xi)	
1	Rashesh Chandrakant Shah	134,301,730	17.27								
2	Venkat Ramaswamy	63,028,950	8.82	4,500,000	8.49	0.58					
3	Spira Investment Advisors LLP	41,950,000	6.39								
4	Miya Rashesh Shah	29,361,200	3.78	23,183,000	78.96	2.98					
5	Kaavya Arakoti Venkat	12,000,000	1.54								
6	Adana I. C.	12,000,000	1.54								
7	Shama Sripad Desai	1,025,000	0.13								
8	Shilpa Urvi Shah Moody	1,001,200	0.13								
9	Saket Prerna Parekh	1,000,000	0.13								
10	Arakoti Venkateshram Ramaswamy	50,000	0.01								
	<b>Total</b>	<b>285,715,690</b>	<b>36.74</b>	<b>27,683,000</b>	<b>8.88</b>	<b>3.58</b>					

Note: Folios of the Promoter & Promoter Group with common PAN have been consolidated as per SEBI directions issued to the Registrars.



(D)(i)(ii) Statement showing holdings of securities (including shares, warrants, convertible securities) of persons holding in the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of Shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)-(B)+(C) indicated in Statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	FIRST CARLYLE VENTURES MAURITIUS	68,048,557	6.75	-	-	-	-	-
2	CALADUM INVESTMENT PTE LIMITED	62,274,300	6.01	-	-	-	-	-
3	Rajesh Chandraiah Shan & Varada Ramaniyamy (Jointly as Trustees of Edelweiss Employee Welfare Trust)	37,565,270	4.85	-	-	-	-	-
4	PRIVATBANK BLAG ZURICH AG	31,707,830	4.98	-	-	-	-	-
5	SAIF ADVISORS MAURITIUS LIMITED A/C	25,653,505	3.30	-	-	-	-	-
6	SAIF INDIA IV FII HOLDINGS LIMITED	14,222,889	1.83	-	-	-	-	-
7	FII INVESTMENTS(MAURITIUS) LTD	14,043,180	1.81	-	-	-	-	-
8	BIH USA	13,406,220	1.72	-	-	-	-	-
9	NARESH LAKSHMINGSINGH KOTNARI	11,900,000	1.53	-	-	-	-	-
10	AMANSA CAPITAL PTE LIMITED A/C	10,775,142	1.39	-	-	-	-	-
11	AMANSA HOLDINGS PRIVATE LTD	10,461,390	1.35	-	-	-	-	-
12	RUJAN HARCHAND PANJWANI	10,000,000	1.29	-	-	-	-	-
13	JHUNJHUNWALA RAKESH RACHESHYAM	8,678,710	1.14	-	-	-	-	-
14	SHRIRAM VENKATESWARAN IYER	7,924,180	1.02	-	-	-	-	-
	NAVTEJ S. NANDRA			-	-	-	-	-
	<b>Total</b>	<b>326,871,183</b>	<b>42.03</b>					



(b)(c)(d) Statement showing holdings of securities (including shares, warrants, convertible securities) of various (together with PAC) belonging to the category "Public" and holding more than 1% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para 0(a) above)	Details of warrants		Details of convertible securities		Total shares (including shares underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total convertible securities of the same class	
1	FIRST CARLYLE VENTURES MAURITIUS	88,043,657	8.75	-	-	-	-	-
2	CALADUM INVESTMENT PTE LIMITED	62,274,300	8.01	-	-	-	-	-
3	PRIVATBANK IHAG ZURICH AG	31,707,630	4.08	-	-	-	-	-
4	BIH SA	14,043,180	1.81	-	-	-	-	-
	<b>Total</b>	<b>176,073,867</b>	<b>22.64</b>	-	-	-	-	-

(b)(c) Statement showing details of locked-in shares

Sr. No.	Name of Shareholder	Number of locked in shares	Locked-in Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in statement at para 0(a) above)	Promoter/ Promoter Group/ Public
	<b>TOTAL</b>	-	-	-



**(II) (a) Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding shares	Number of Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I) (a) above)
1			
<b>Total</b>			

**(III) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares**

Sr. No.	Name of DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (I) (a) above)
1				
2				
<b>Total</b>				

